

**Flavor and chiral oscillations with Dirac wave packets**

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We report about recent results on Dirac wave packets in the treatment of neutrino flavor oscillation where the initial localization of a spinor state implies an interference between positive and negative energy components of mass-eigenstate wave packets. A satisfactory description of fermionic particles requires the use of the Dirac equation as evolution equation for the mass eigenstates. In this context, a new flavor conversion formula can be obtained when the effects of chiral oscillation are taken into account. Our study leads to the conclusion that the fermionic nature of the particles, where chiral oscillations and the interference between positive and negative frequency components of mass-eigenstate wave packets are implicitly assumed, modifies the standard oscillation probability. Nevertheless, for ultrarelativistic particles and sharply peaked momentum distributions, we can analytically demonstrate that these modifications introduce correction factors proportional to $m^2_{1,2}/p^2_0$ which are practically undetectable by any experimental analysis.

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**I. INTRODUCTION**

The Dirac formalism is useful and essential in keeping clear many of the conceptual aspects of quantum oscillation phenomena that naturally arise in a relativistic spin one-half particle theory. The quantum oscillation phenomena has stimulated the analysis of several theoretical approaches [1,2] on the flavor conversion formula which, sometimes, deserve special attention because of carrying valuable physical information. The applicability of the standard plane-wave treatment of oscillations by resorting to intermediate [3,4] and external [5,6] wave packet frameworks has been extensively questioned in the last years [1,7]. Although the standard oscillation formula [8,9] could give the correct result when properly interpreted, the plane-wave approach implies a perfectly well-known energy momentum and an infinite uncertainty on the space-time localization of the oscillating particle which leads to the destruction of the oscillating character [10]. The intermediate wave packet approach eliminates the most controversial points rising up with the plane-wave formalism. Wave packets describing propagating mass eigenstates guarantee the existence of a coherence length [10], avoid the ambiguous approximations in the plane-wave derivation of the phase difference [7] and, under particular conditions of minimal slippage, recover the oscillation probability given by the standard plane-wave treatment [11]. Otherwise, a common argument against the intermediate wave packet formalism is that oscillating neutrinos are neither prepared nor observed [1]. Some authors suggest the calculation of a transition probability between the observable particles involved in the production and detection process in the so-called external wave packet approach [1,5]: the oscillating particle, described as an internal line of a Feynman diagram by a relativistic mixed scalar propagator, propagates between the source and target (external) particles represented by wave packets. Anyway, it can be demonstrated [1] that the overlap function of the incoming and outgoing wave packets in the external wave packet model is mathematically equivalent to the wave function of the propagating mass eigenstate in the intermediate wave packet formalism. However, the overlap function takes into account not only the properties of the source, but also of the detector. This is unusual for a wave packet interpretation and not satisfying for causality [1]. This point was clarified by Giunti [5] who solves this problem by proposing an improved version of the intermediate wave packet model where the wave packet of the oscillating particle is explicitly computed with field-theoretical methods in terms of external wave packets. In order to concentrate the discussion on the Dirac equation properties that we intend to report in this manuscript, in this preliminary investigation, we avoid the field-theoretical methods in detriment to a clearer treatment with intermediate wave packets which commonly simplifies the understanding of physical aspects going with the oscillation phenomena [7,12].

Our final aim is the investigation of how the inclusion of chiral oscillation effects can modify the flavor conversion probability formula which was previously obtained by using fermionic instead of scalar particles, i.e. in treating the time evolution of the spinorial mass-eigenstate wave packets, we shall take into account the chiral nature of charged weak currents and the time evolution of the chiral operator with Dirac wave packets. To do it, we shall use the Dirac equation as the evolution equation for the mass...
eigenstates. Before introducing the Dirac formalism, in section II we briefly review the analytic calculations [11] with the intermediate wave packet model for scalar particles [10]. In particular, a Gaussian wave packet is chosen to describe the localization of the initial flavor state and to obtain an analytical expression for the flavor conversion probability. In section III, we shall recapitulate the Dirac formalism [13,14] and show that a superposition of both positive and negative frequency solutions of the Dirac equation is often a necessary condition to correctly describe the time evolution of mass-eigenstate wave packets. The small modifications obtained in the context of a wave packet treatment of oscillation phenomena are (briefly) compared with quantum field-theoretical calculations [11,15,16]. In section IV, we notice that the use of Dirac equation solutions allows us to observe the additional effect of chiral oscillation already introduced by De Leo and Rotelli [17]. As a natural extension, we show how to couple chiral to flavor oscillations in the intermediate wave packet framework. Finally, we give, for strictly peaked and Rotelli [17]. As a natural extension, we show how to calculate the interference term SFO(t) by solving the following integral

\[ \int_{-\infty}^{\infty} \frac{dp_z}{2\pi} \langle (\partial_t - E(p_z)) \rangle \exp[-i\Delta E(p_z)t] \]

where we have changed the z-integration in a p_z-integration and introduced the quantities \( \Delta p = p_1 - p_0 \) and \( \Delta E(p_z) = E(p_z, m_1) - E(p_z, m_2) \). The oscillation term is bounded by the exponential function of \( \Delta p \) at any instant of time. Under this condition we could never observe a pure flavor eigenstate. Besides, oscillations are considerably suppressed if \( \Delta p \gg 1 \). A necessary condition to observe oscillations is that \( \Delta p \ll 1 \). This constraint can also be expressed by \( \delta p \gg \Delta p \) where \( \delta p \) is the momentum uncertainty of the particle. The overlap between the momentum distributions is indeed relevant only for \( \delta p \gg \Delta p \). Consequently, without loss of generality, we can assume

\[ SFO(t) = \text{Re} \left[ \int_{-\infty}^{\infty} \frac{dp_z}{2\pi} \sin^2(\theta) \phi_1^\dagger(z, t) \phi_2(z, t) \right] \]

In literature, this equation is often obtained by assuming two mass-eigenstate wave packets described by the “same” momentum distribution centered around the average momentum \( \bar{p} = p_0 \). This simplifying hypothesis also guarantees instantaneous creation of a pure flavor eigenstate \( \nu_{\alpha} \) at \( t = 0 \) [7]. In fact, for \( \phi_1(z, 0) = \phi_2(z, 0) \) we get from Eq. (1)
In order to obtain an expression for $\phi_i(z, t)$ by analytically solving the integral in Eq. (5) we first rewrite the energy $E(p_z, m_i)$ as

$$E(p_z, m_i) = E_i \left[ 1 + \frac{p_z^2 - p_0^2}{E_i^2} \right]^{1/2} = E_i \left[ 1 + \sigma_i (\sigma_i + 2v_i) \right]^{1/2}, \quad (9)$$

where

$$E_i = (m_i^2 + p_0^2)^{1/2}, \quad v_i = \frac{p_0}{E_i}, \quad \text{and} \quad \sigma_i = \frac{p_z - p_0}{E_i}.$$

The use of free Gaussian wave packets is justified in non-relativistic quantum mechanics because the calculations can be carried out exactly for these particular functions. The reason lies in the fact that the frequency components of the mass-eigenstate wave packets, $E(p_z, m_i) = p_z^2/2m_i$, modify the momentum distribution into “generalized” Gaussian, easily integrated by well-known methods of analysis. The term $p_z^2$ in $E(p_z, m_i)$ is then responsible for the variation in time of the width of the mass-eigenstate wave packets, the so-called spreading phenomenon. In relativistic quantum mechanics the frequency components of the mass-eigenstate wave packets, $E(p_z, m_i) = \sqrt{p_z^2 + m_i^2}$, do not permit an immediate analytic integration. This difficulty, however, may be remedied by assuming a sharply peaked momentum distribution, i.e. $(aE_i)^{-1} \ll \sigma_i \ll 1$. Meanwhile, the integral in Eq. (5) can be analytically solved only if we consider terms up to order $\sigma_i^2$ in the series expansion. In this case, we can conveniently truncate the power series

$$E(p_z, m_i) = E_i \left[ 1 + \sigma_i v_i + \frac{\sigma_i^2}{2} \left( 1 - v_i^2 \right) \right] + \mathcal{O}(\sigma_i^3)$$

$$(10)$$

and get an analytic expression for the oscillation probability. The zero-order term in the previous expansion, $E_i$, gives the standard plane-wave oscillation phase. The first-order term, $p_0 \sigma_i$, will be responsible for the slippage due to the different group velocities of the mass-eigenstate wave packets and represents a linear correction to the standard oscillation phase [7]. Finally, the second-order term, $\frac{m_i^2}{2E_i} \sigma_i^2$, which is a (quadratic) secondary correction will give the well-known spreading effects in the time propagation of the wave packet and will be also responsible for a new additional phase to be computed in the final calculation. In the case of Gaussian momentum distributions for the mass-eigenstate wave packets, these terms can all be analytically quantified [11]. By substituting (10) in Eq. (5) and changing the $p_z$-integration into a $\sigma_i$-integration, we obtain the explicit form of the mass-eigenstate wave packet time evolution,

$$\phi_i(z, t) \approx (2\pi a^2)^{1/4} \exp \left[ -i(E_i t - p_0 z) \right] \int_{-\infty}^{+\infty} d\sigma_i \frac{E_i^2 \sigma_i^2}{4} \exp \left[ -i(p_0 t - E_i z) \sigma_i - i m_i^2 t \sigma_i^2 \right]$$

$$\times \exp \left[ \frac{2}{\pi a^2(t)} \right] \left[ \exp \left[ -i(E_i t - p_0 z) \right] \frac{2}{\pi a^2(t)} \right]$$

$$\times \exp \left[ \frac{- (z - v_i t)^2}{a_i^2(t)} - i\theta_i(t, z) \right], \quad (11)$$

where

$$a_i(t) = a \left( 1 + \frac{4m_i^4}{a^4 E_i^2} \right)^{1/2}$$

and

$$\theta_i(t, z) = \frac{1}{2} \arctan \left[ \frac{2m_i^2 t}{a_i^2 E_i^2} - \frac{2m_i^2 t (z - v_i t)^2}{a_i^2(t)} \right].$$

The time-dependent quantities $a_i(t)$ and $\theta_i(t, z)$ contain all the physically significant information [11] which arise from the second-order term in the power series expansion (10). By solving the integral (7) with the approximation (9) and performing some mathematical manipulations, we obtain

$$\text{SFO}(t) = \text{BND}(t) \times \text{OSC}(t),$$

where we have factored the time-vanishing bound of the interference term given by

$$\text{BND}(t) = [1 + \text{SP}^2(t)]^{-1/4} \exp \left[ -\frac{(\Delta v t)^2}{2a^2[1 + \text{SP}^2(t)]} \right]$$

and the time-oscillating character of the flavor conversion formula given by

$$\text{OSC}(t) = \text{Re}[\exp\{-i\Delta E t - i\Theta(t)\}]$$

$$= \cos[\Delta E t + \Theta(t)], \quad (14)$$

where

$$\text{SP}(t) = \frac{t}{a^2} \Delta \left( \frac{m_i^2}{E_i^2} \right) = \rho \frac{\Delta v t}{a^2 p_0},$$

and

$$\Theta(t) = \left[ \frac{1}{2} \arctan[\text{SP}(t)] - \frac{a^2 p_0^2}{2\rho^2} \frac{\text{SP}^3(t)}{1 + \text{SP}^2(t)} \right], \quad (16)$$

with
\[
\rho = 1 - \left[ 3 + \left( \frac{\Delta E}{E} \right)^2 \right] \frac{\rho_0^2}{E^2} \quad \text{and} \quad \dot{E} = \sqrt{E_1 E_2}. \quad (17)
\]

The time-dependent quantities \(SP(t)\) and \(\Theta(t)\) carry the second-order corrections and, consequently, the spreading effect to the oscillation probability formula. If \(\Delta E \ll \dot{E}\), the parameter \(\rho\) is limited by the interval \([1, -2]\) and it assumes the zero value when \(\frac{\rho_0^2}{E^2} = \frac{1}{3}\). Therefore, by considering increasing values of \(\rho_0\), from nonrelativistic (NR) to ultrarelativistic (UR) propagation regimes, and fixing \(\frac{\Delta E}{a^2 E}\), the time derivatives of \(SP(t)\) and \(\Theta(t)\) have their signals inverted when \(\frac{\rho_0^2}{E^2}\) reaches the value \(\frac{1}{3}\). The slippage between the mass-eigenstate wave packets is quantified by the vanishing behavior of \(BND(t)\). In order to compare \(BND(t)\) with the correspondent function without the second-order corrections (without spreading),

\[
BND_{WS}(t) = \exp\left[ -\frac{(\Delta \nu t)^2}{2a^2} \right], \quad (18)
\]

we substitute \(SP(t)\) given by the expression (14) in Eq. (13) and we obtain the ratio

\[
\frac{BND(t)}{BND_{WS}(t)} = \left[ 1 + \rho^2 \left( \frac{\Delta E t}{a^2 E} \right)^2 \right]^{-1/4} \times \exp\left[ \frac{\rho^2 \rho_0^2 (\Delta E t)^4}{2a^6 E^8 \left[ 1 + \rho^2 (\Delta E t)^2 \right]^2} \right]. \quad (19)
\]

The NR limit is obtained by setting \(\rho^2 = 1\) and \(\rho_0 = 0\) in Eq. (18). In the same way, the UR limit is obtained by setting \(\rho^2 = 4\) and \(\rho_0 = \dot{E}\). In fact, the minimal influence due to second-order corrections occurs when \(\frac{\rho_0^2}{E^2} = \frac{1}{3}\) (\(\rho = 0\)). Returning to the exponential term of Eq. (13), we observe that the oscillation amplitude is more relevant when \(\Delta \nu t \ll a\). It characterizes the minimal slippage between the mass-eigenstate wave packets which occur when the complete spatial intersection between themselves starts to diminish during the time evolution. Anyway, under minimal slippage conditions, we always have \(\frac{BND(t)}{BND_{WS}(t)} = 1\).

The oscillating function \(OSC(t)\) of the interference term \(SFO(t)\) differs from the standard oscillating term, \(cos[\Delta E t]\), by the presence of the additional phase \(\Theta(t)\) which is essentially a second-order correction. The modifications introduced by the additional phase \(\Theta(t)\) are discussed in Fig. 1 [11] where we have compared the time behavior of \(OSC(t)\) to \(cos[\Delta E t]\) for different propagation regimes. The bound effective value assumed by \(\Theta(t)\) is determined by the vanishing behavior of \(BND(t)\).

To illustrate this scalar flavor oscillation behavior, we plot both the curves representing \(BND(t)\) and \(\Theta(t)\) in Fig. 2 [11]. We note the phase slowly changing in the NR regime. The modulus of the phase \(|\Theta(t)|\) rapidly reaches its upper limit when \(\frac{\rho_0^2}{E^2} > \frac{1}{3}\) and, after a certain time, it continues to evolve approximately linearly in time. But, effectively, the oscillations rapidly vanish. By superposing the effects of
III. DIRAC FORMALISM

The results in the previous section have been obtained by considering scalar mass eigenstates. Neutrinos are, however, fermions. The time evolution of a spin one-half particle must be described by the Dirac equation. To introduce the fermionic character in the study of quantum oscillation phenomena, we shall use the Dirac equation as the evolution equation for the mass eigenstates. The Eq. (1) now becomes

$$\Psi(z, t) = \psi_1(z, t) \cos \theta \nu_1 + \psi_2(z, t) \sin \theta \nu_2$$

$$= [\psi_1(z, t) \cos^2 \theta + \psi_2(z, t) \sin^2 \theta] \nu_\alpha$$

$$+ [\psi_1(z, t) - \psi_2(z, t)] \cos \theta \sin \theta \nu_\beta$$

$$= \psi_\alpha(z, t; \theta) \nu_\alpha + \psi_\beta(z, t; \theta) \nu_\beta,$$  \hspace{1cm} (23)

where \( \psi_\alpha(z, t) \) satisfies the Dirac equation for a mass \( m_i \). The natural extension of Eq. (8) reads

$$\psi_\alpha(z, 0, \theta) = \phi_\alpha(z, 0, \theta) w,$$  \hspace{1cm} (24)

where \( w \) is a constant spinor which satisfies the normalization condition \( w^\dagger w = 1 \).

A. Dirac wave packets and the oscillation formula

To describe the time evolution of mass-eigenstate Dirac wave packets, we could be inclined to superpose only positive frequency solutions of the Dirac equation. It seems, at first glance, a reasonable choice. However, when the initial state has the form given in Eq. (24), it is necessary to superpose both positive and negative frequency solutions of Dirac equation. In order to clear up this point, let us express the flavor state \( \psi_\alpha(z, t; \theta) \) in terms of

$$\psi_i(z, t) = \int_{-\infty}^{+\infty} \frac{dp_z}{2\pi} \exp[ip_z z] \sum_{i=1,2} \left\{ b^i(p_z, m_i) u^i(p_z, m_i) \right\} \times \exp[-iE(p_z, m_i)t]$$

$$+ d^*(-p_z, m_i) u^i(-p_z, m_i) \exp[+iE(p_z, m_i)t].$$  \hspace{1cm} (25)

At time \( t = 0 \) the mass-eigenstate wave functions satisfy \( \psi_1(z, 0) = \psi_2(z, 0) \) (this guarantees the instantaneous creation of a pure flavor eigenstate \( \nu_\alpha \) as we have appointed in section II). The Fourier transform of \( \psi_\alpha(z, 0) \) is

$$\sum_{i=1,2} [b^i(p_z, m_i) u^i(p_z, m_i) + d^*(-p_z, m_i) u^i(-p_z, m_i)].$$  \hspace{1cm} (26)

By observing that the Fourier transform of \( \phi_\alpha(z, 0, \theta) \) is given by \( \varphi(p_z - p_0) \) (see Eq. (8)), we immediately obtain the Fourier transform of \( \psi_\alpha(z, 0, \theta) \),
By simple mathematical manipulations, the new interfer-
between mass-eigenstate components of equal sign frequencies, is multiplied by a new factor obtained by the products $u^1(p_z, m_i)u(p_z, m_2)$, $v^1(-p_z, m_i)v(-p_z, m_2)$ and H.c. The second one is a new oscillating term, $\cos[\varepsilon_1(p_z, m_1, m_2)t]$, which comes from the interference between mass-eigenstate components of positive and negative frequencies. The factor multiplying such an additional oscillating term is obtained by the products $u^1(p_z, m_1)v(-p_z, m_2)$, $v^1(-p_z, m_1)u(p_z, m_2)$ and H.c. The new oscillations have very high frequencies. Such a wave packet made up exclusively of positive frequency components is multiplied by a frequency oscillation formula. This implies

$$f(p_z, m_1, m_2) \approx \frac{(\Delta m^2)}{2p_0^2} \left( 1 - \frac{2p_z - p_0}{p_0} \right) \exp \left[ \frac{-i\Delta t}{2a^2} \left( 1 - \frac{m_1^2 + m_2^2}{2p_0^2} \right) \right] \left[ 1 + \frac{m_1m_2}{p_0^2} \left( 1 - \frac{m_1^2 + m_2^2}{2p_0^2} \right) \right] \left[ 1 + \frac{m_1m_2}{p_0^2} \left( 1 - \frac{m_1^2 + m_2^2}{2p_0^2} \right) \right]$$

This implies

$$f(p_z, m_1, m_2) \approx \frac{(\Delta m^2)}{2p_0^2} \left( 1 - \frac{2p_z - p_0}{p_0} \right) \exp \left[ \frac{-i\Delta t}{2a^2} \left( 1 - \frac{m_1^2 + m_2^2}{2p_0^2} \right) \right] \left[ 1 + \frac{m_1m_2}{p_0^2} \left( 1 - \frac{m_1^2 + m_2^2}{2p_0^2} \right) \right] \left[ 1 + \frac{m_1m_2}{p_0^2} \left( 1 - \frac{m_1^2 + m_2^2}{2p_0^2} \right) \right]$$

and

$$\epsilon_\pm(p_z, m_1, m_2) \approx E_1 \pm E_2 + (v_1 \pm v_2)(p_z - p_0).$$

For UR particles ($m_i \ll p_0$), we can also use the following expression for the central energy values ($E_i$) and the group velocities ($v_i$) of the mass-eigenstate wave packets,

$$E_i = p_0 + \frac{m_i^2}{2p_0} \quad \text{and} \quad v_i = 1 - \frac{m_i^2}{2p_0^2}.$$
probability of Eq. (12), we notice a deviation of the order comparing the result of Eq. (38) with the scalar oscillation when the rapid oscillations are still relevant (38). By taking the one-dimensional representation of Eq. (41),

\[
\mathcal{A} = \langle p_F, D_F | T \left( \exp \left[ -i \int dx^4 \mathcal{H}_I \right] \right) - 1 | p_I, D_I \rangle,
\]

where \( \mathcal{H}_I \) is the interaction Hamiltonian for the intermediate particle and \( T \) is the time ordering operator. After some mathematical manipulations [1], this amplitude can be represented by the integral

\[
\mathcal{A} = \int \frac{dEd^4p}{(2\pi)^4} F(E, p) G(E, p, t_D, t_F) \times \exp [i \mathbf{p} \cdot (\mathbf{x}_D - \mathbf{x}_F)],
\]

where the function \( F(E, p) \) represents the overlap of the incoming and outgoing wave packets, both at the source and at the detector, and the Green function in the momentum space, \( G(E, p, t_D, t_F) \), represents the fermion propagator which carries the information of the oscillation process. The overlap function is independent of production and detection times and positions \( (t_F, t_D, \mathbf{x}_F, \mathbf{x}_D) \) and depends on the directions of incoming and outgoing momenta. In a certain way, the physical conditions of source and detector, in terms of time and space intervals, are better defined in this framework than in the intermediate wave packet framework. Anyway, to understand the oscillation process we must turn back to the definition of mixing in quantum mechanics. It is similar in field theory, except that it applies to fields, not to physical states. This difference allows to bypass the problems arising in the definition of flavor and mass bases [1]. In one-dimensional spatial coordinates, the mixing is illustrated by the unitary transformation

\[
\psi_\sigma(z, t; \theta) = \mathcal{G}^{-1}(\theta; t) \psi_1(z, t) \mathcal{G}(\theta; t)
\]

as the result of the noncoincidence of the flavor basis \( (\sigma = \alpha, \beta) \) and the mass basis \( (i = 1, 2) \). The Eq. (42) gives the Eq. (23) when the generator of mixing transformations \( \mathcal{G}(\theta; t) \) is given by

\[
\mathcal{G}(\theta; t) = \exp \left[ \theta \int dz \psi_1(z, t) \bar{\psi}_2(z, t) - \bar{\psi}_2(z, t) \psi_1(z, t) \right].
\]

By taking the one-dimensional representation of Eq. (41), the propagator \( G(E, p, t_D, t_F) \) can also be written in the flavor basis as

\[
P_{\text{Dirac}}(\nu_\alpha \rightarrow \nu_\beta; L) = \sin^2(2\theta) \left[ 1 - \exp \left( - \left( \frac{\Delta m^2 L}{2 \sqrt{2} \alpha p_0} \right)^2 \right) \right] \\
\times \left[ 1 - \left( \frac{\Delta m}{2 p_0} \right)^2 \right] \cos \left( \frac{\Delta m^2 L}{2 p_0} \right).
\]

For distances which are restricted to the interval \( a \ll L \ll \frac{\sqrt{2\alpha p_0}}{\Delta m} \) we observe the minimal slippage between the wave packets. In this case, we could suddenly approximate the oscillation probability to

\[
P_{\text{Dirac}}(\nu_\alpha \rightarrow \nu_\beta; L) \approx \frac{\sin^2(2\theta)}{2} \left[ 1 - \frac{\Delta m^2 L}{2 \sqrt{2} \alpha p_0} \right] \\
\times \left[ 1 - \left( \frac{\Delta m}{2 p_0} \right)^2 \right] \cos \left( \frac{\Delta m^2 L}{2 p_0} \right).
\]

however, we reemphasize that it is not valid for \( T = L \sim 0 \) when the rapid oscillations are still relevant \( (L < a) \). By comparing the result of Eq. (38) with the scalar oscillation probability of Eq. (12), we notice a deviation of the order \( \left( \frac{\Delta m}{2 p_0} \right)^2 \) that appears as an additional coefficient of the cosine function. It is not relevant in the UR limit as we have noticed after studying the function \( f(p, m_1, m_2) \).

### C. A brief extension to quantum field treatment

Now we try to establish a tenuous correspondence between our results and the quantum field theory (QFT) treatment. It was extensively demonstrated in the literature [5,6,16] that the oscillating particle cannot be treated in isolation. The oscillation process must be considered globally: the oscillating states become intermediate states, not directly observed, which propagate between a source and a detector. This idea can be implemented in QFT when the intermediate oscillating states are represented by internal lines of Feynman diagrams and the interacting particles at source/detector are described by external wave packets [1,16]. In this context, let us consider the weak flavor-changing processes occurring through the intermediate propagation of a neutrino,

\[
p_I \rightarrow p_F + \alpha + \nu_\alpha \quad \text{(oscillation)} \quad \nu_\beta + D_I \rightarrow \beta + D_F,
\]

where \( p_I \) and \( p_F \) (\( D_I \) and \( D_F \)) are, respectively, the initial and final production (detection) particles. The amplitude for the process is represented by
with $T = t_D - t_p$.

In particular, by following the Blasone and Vitiello (BV) prescription [15,19], the definition of a Fock space of weak eigenstates becomes possible and a nonperturbative flavor oscillation amplitude can be derived. In this case, the complete Lagrangian (density) is split in a propagation Lagrangian,

$$
L_p(z, t) = \tilde{\psi}_1(z, t)(i\not{\!p} - m_1)\psi_1(z, t)
+ \tilde{\psi}_2(z, t)(i\not{\!p} - m_2)\psi_2(z, t),
$$

and an interaction Lagrangian

$$
L_i(z, t) = \tilde{\psi}_\alpha(z, t)\not{\psi}_\alpha(z, t; \theta)
+ \tilde{\psi}_\beta(z, t; \theta)(i\not{\!p} - m_\beta)\psi_\beta(z, t; \theta)
- m_\alpha\beta(\tilde{\psi}_\alpha(z, t; \theta)\psi_\beta(z, t; \theta)
+ \tilde{\psi}_\beta(z, t; \theta)\psi_\alpha(z, t; \theta)),
$$

where

$$m_\alpha\beta = m_{1(2)}\cos^2\theta + m_{2(1)}\sin^2\theta$$

and

$$m_\alpha\beta = (m_1 - m_2)\cos\theta \sin\theta.$$

In general, the two subsets of the Lagrangian can be distinguished if there is a flavor transformation which is a symmetry of $L_i(z, t)$ but not of $L_p(z, t)$. Particle mixing occurs if the propagator built from $L_p(z, t)$, and representing the creation of a particle of flavor $\alpha$ at point $z$ and the annihilation of a particle of flavor $\beta$ at point $z'$, is not diagonal, i.e. not zero for $\beta = \alpha$. The free fields $\psi_i(z, t)$ can be quantized in the usual way by rewriting the momentum distributions $b^\dagger(p_z, m_i)$ and $d^\dagger(-p_z, m_i)$ in Eq. (25) as creation and annihilation operators $B^\dagger(p_z, m_i)$ and $D^\dagger(-p_z, m_i)$. The interacting fields are then given by

$$
\psi_\alpha(z, t) = \int_{-\infty}^{+\infty} \frac{dp_z}{2\pi} \exp[i p_z z] \sum_{i=1,2} \{B^\dagger_i(p_z; t)u^i_\alpha(p_z; t)
+ D^\dagger_i(-p_z; t)v^i_\alpha(-p_z; t)\},
$$

where the new flavor creation and annihilation operators which satisfy canonical anticommutation relations are defined by means of Bogoliubov transformations [19] as

$$
B^\dagger_i(p_z; t) = G^{-1}(\theta; t)B^i(p_z, m_i)G(\theta; t),
D^\dagger_i(-p_z; t) = G^{-1}(\theta; t)D^i(-p_z, m_i)G(\theta; t).
$$

By following the BV prescription [15], which takes into account the above definitions, it was demonstrated [20] that the flavor conversion formula can be written as

$$
P(\nu_\alpha \rightarrow \nu_\beta; t) = \left|\left[B^\dagger_\beta(p_0; t), B^\ast_\alpha(p_0; t)\right]\right|^2
+ \left|\left[D^\dagger_\beta(-p_0; t), B^\ast_\alpha(p_0; t)\right]\right|^2,
$$

which is calculated without considering the localization conditions imposed by wave packets, i.e. by assuming that $\vert z - z' \vert \approx p_0$. When the explicit form of the flavor annihilation and creation operators are substituted in Eq. (48), it was also demonstrated [19] that the flavor oscillation formula becomes

$$
P(\nu_\alpha \rightarrow \nu_\beta; t) = \frac{\sin^2[2\theta]}{2}\left[1 - f(p_0, m_1, m_2)\cos[\epsilon_-(p_0, m_1, m_2)t] + f(p_0, m_1, m_2)\cos[\epsilon_+(p_0, m_1, m_2)t]\right]
= \sin^2[2\theta]\left[1 - \left(\frac{\Delta m^2}{2p_0}\right)^2\sin^2\left[\left(\frac{\Delta m^2}{4p_0}\right)t\right] + \left(\frac{\Delta m^2}{2p_0}\right)^2\sin^2\left[p_0t\left(1 + \frac{m_1^2 + m_2^2}{4p_0^2}\right)\right]\right].
$$

where the last approximation takes place in the relativistic limit $p_0 \gg \sqrt{m_1m_2}$. After some simple mathematical manipulations, the Eq. (49) gives exactly the oscillation probability $P_{\text{Dirac}}(\nu_\alpha \rightarrow \nu_\beta; L)$ calculated from Eq. (36) when it is assumed that the wave packet width $a$ tends to infinity and $t = L$.

This new oscillation formula tends to the standard one (22) in the UR limit. If the mass eigenstates were nearly degenerate, we could have focused on the case of a non-relativistic oscillating particle having very distinct mass eigenstates. Under these conditions, the quantum theory of measurement says that interference vanishes. Therefore, as we have already appointed, the effects are, under realistic conditions, far from observable. Besides, in spite of working on a QFT framework, the lack of observability conditions must be overcome by implementing external wave
IV. FLAVOR AND CHIRAL OSCILLATIONS

In treating the time evolution of the spinorial mass-eigenstate wave packets in the previous section, we have overlooked an important feature. We have completely disregarded the chiral nature of charged weak currents and the time evolution of the chiral operator. In the following, we aim to investigate if (and eventually how) the flavor oscillation formula could be modified by this additional effect.

It is well known that from the Heisenberg equation, we can immediately determine whether or not a given observable is a constant of the motion. If neutrinos have mass, the operator $\gamma^5$ does not commute with the mass-eigenstate Hamiltonians. This means that for massive neutrinos chirality is not a constant of the motion. Observing that neutrinos with positive chirality are decoupled from charged weak currents, this additional effect cannot be ignored. We have already seen that localized states contain, in general, plane-wave components of negative and positive frequencies. As an immediate consequence of this, the interference between positive and negative frequencies, responsible for the additional oscillatory term in DFCO($\ell$), will also imply an oscillation in the average of chirality. Thus, the use of Dirac equation as evolution equation for neutrino mass-eigenstate wave packets leads to an oscillation formula containing both “flavor-appearance” (neutrinos of a flavor not present in the original source) and “chiral-disappearance” (neutrinos of wrong chirality) probabilities.

We obtain the Dirac flavor and chiral oscillation probability formula in the same way as we have obtained the Eq. (37). By assuming that the normalizable mass-eigenstate wave functions $\psi_i(z,t)$ are created at time $t = 0$ as a $-1$ chiral eigenstate, we can write

$$ P(\nu_{\alpha,L} \rightarrow \nu_{\beta,L}; t) = \int_{-\infty}^{+\infty} dz [\psi_{\beta,L}(z,t)]^2 
$$

$$ = \int_{-\infty}^{+\infty} dz \psi_{\beta}^*(z,t) \frac{1 - \gamma^5}{2} \psi_{\beta}(z,t) 
$$

$$ = \sin^2[2\theta] \left[ \frac{1}{2} \sum_{i=1}^{2} \int_{-\infty}^{+\infty} dz |\psi_{i,L}(z,t)|^2 \right] - \text{Re} \left[ \int_{-\infty}^{+\infty} dz \psi_{1,L}^*(z,t)\psi_{2,L}(z,t) \right] 
$$

$$ = \frac{\sin^2[2\theta]}{2} \left[ \text{DCO}(t) - \text{DFCO}(t) \right]. \quad (51) $$

As done in the previous section, the terms DCO($\ell$) and DFCO($\ell$) can be rewritten by using a $p_z$-integration,

$$ \text{DCO}(t) = \frac{1}{2} \sum_{i=1}^{2} \int_{-\infty}^{+\infty} dp_z \varphi^2(p_z - p_0)[1 - c(p_z, m_i, m_j)] 
$$

$$ \times \left[ 1 - \cos[2E(p_z, m_i)t] \right] \quad (52) $$

and

$$ \text{DFCO}(t) = \int_{-\infty}^{+\infty} dp_z \varphi^2(p_z - p_0)[1 - c(p_z, m_i, m_j)] 
$$

$$ \times \cos[\epsilon_-(p_z, m_1, m_2)t] + c(p_z, m_1, m_2) \times \cos[\epsilon_+(p_z, m_1, m_2)t], \quad (53) $$

where

$$ c(p_z, m_i, m_j) = f(p_z, m_i, m_j) + \frac{m_im_j}{2E(p_z, m_i)E(p_z, m_j)}. $$

The functions $c(p_z, m_i, m_j)$ have a common maximum at $p_z = 0$ which, contrary to what happened for $f(p_z, m_1, m_2)$, do not depend on the mass values, $c_{\max}(0, m_i, m_j) = \frac{1}{2}$ and, following the same asymptotic behavior of $f(p_z, m_1, m_2)$, go rapidly to zero for $p_z \gg m_{1,2}$. As a consequence of the first-order approximation (33), we get

$$ c(p_z, m_i, m_j) = \frac{[1 - v_i v_j + v_i v_j (v_i^2 + v_j^2 - 2) \frac{p_z - p_0}{p_0}]}{2} 
$$

$$ = \frac{m_i^2 + m_j^2}{4p_0^2} \left( 1 - 2 \frac{p_z - p_0}{p_0} \right). $$

where we have considered the UR approximation in the second term. By substituting $c(p_z, m_i, m_j)$ in the above integrations (52) and (53) and after some algebraic manipulations, we explicitly calculate
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\begin{equation}
DCO(\tau) \approx 1 - \frac{m_1^2}{4p_0^2} + \exp\left[ -\frac{(2p_0^2 - m_1^2)\tau}{\sqrt{2}ap_0^2} \right] \frac{m_1^2}{4p_0^2} \left[ \cos\left( \frac{2p_0^2 + m_1^2}{p_0} \tau \right) + \frac{4p_0^2 - 2m_1^2}{a^2p_0^3} \sin\left( \frac{2p_0^2 + m_1^2}{p_0} \tau \right) \right] - \frac{m_1^2}{4p_0^2} \\
+ \exp\left[ -\frac{(2p_0^2 - m_1^2)\tau}{\sqrt{2}ap_0^2} \right] \frac{m_1^2}{4p_0^2} \cos\left( \frac{2p_0^2 + m_1^2}{p_0} \tau \right) + \frac{4p_0^2 + m_1^2}{a^2p_0^3} \sin\left( \frac{2p_0^2 + m_1^2}{p_0} \tau \right),
\end{equation}

(54)

\begin{equation}
DFCO(\tau) = \exp\left[ -\frac{(\Delta m_1^2)\tau}{2\sqrt{2}ap_0^2} \right] \left[ 1 - \frac{m_1^2 + m_2^2}{4p_0^2} \right] \cos\left( \frac{\Delta m_1^2}{2p_0^2} \tau \right) + \frac{4p_0^2 + m_1^2 + m_2^2}{a^2p_0^3} \sin\left( \frac{4p_0^2 + m_1^2 + m_2^2}{2p_0^2} \tau \right)
\right].
\end{equation}

(55)

Again, in the hypothesis of minimal slippage between the mass-eigenstate wave packets ($\Delta \nu L \ll a$), and for long distance between source and detector ($L \gg a$), i.e.

$$1 \ll \frac{L}{a} \ll \frac{p_0^2}{\Delta m^2},$$

the standard flavor oscillation probability is reproduced. In fact,

\begin{equation}
P(\nu_{\alpha,L} \rightarrow \nu_{\beta,L}; L) \approx \sin^2[2\theta] \left[ 1 - \frac{m_1^2 + m_2^2}{4p_0^2} \right] \left[ 1 - \cos\left( \frac{\Delta m_1^2}{2p_0^2} \tau \right) \right]
\end{equation}

V. CONCLUSIONS

In order to quantify some subtle changes which appear in the standard flavor oscillation probability [9] due to chiral oscillations coupled to the flavor conversion mechanism of free propagating wave packets, we have reported about some recent results on the study of flavor oscillation with Dirac wave packets [11]. By taking into account the spinorial form of neutrino wave functions and imposing an initial constraint where a pure flavor-eigenstate is created at $t = 0$, for a constant spinor $w$, it is possible to calculate the contribution of positive and negative frequency solutions of the Dirac equation to the wave packet propagation and, finally, to obtain the oscillation probability. Particularly, we have noticed a term of very high oscillation frequency depending on the sum of energies in the new oscillation probability formula. In addition, the spinorial form of the wave functions and their chiral oscillating character subtly modify the coefficients of the oscillating terms in this flavor conversion formula. To describe the time evolution of the mass eigenstates, we have assumed an initial Gaussian localization and performed integrations by considering a strictly peaked momentum distribution.

Under the particular assumption of UR particles, we have been able to obtain an analytic expression for the coupled chiral and flavor conversion formula. In case of Dirac wave packets, these modifications introduce correction factors which are negligible in the UR limit. We have confirmed that the fermionic character of the particles modify the standard oscillation probability which is previously obtained by implicitly assuming a scalar nature of the mass eigenstates.

However, we know the necessity of a more sophisticated approach is understood. It involves a field-theoretical treatment. Derivations of the oscillation formula resorting to field-theoretical methods are not very popular. They are thought to be very complicated and the existing quantum field computations of the oscillation formula do not agree in all respects [11]. The Blasone and Vitiello (BV) model [2,15] to neutrino/particle mixing and oscillations seems to be the most distinguished trying to this aim. They have attempted to define a Fock space of weak eigenstates and to derive a nonperturbative oscillation formula. Flavor creation and annihilation operators, satisfying canonical (anti)commutation relations, are defined by means of Bogoliubov transformations. As a result, new oscillation
formulas are obtained for fermions and bosons, with the oscillation frequency depending not only on the difference but also on the sum of the energies of the different mass eigenstates. Meanwhile, the prescription of oscillating neutrinos as Dirac spinors was not yet completely and accurately described in a quantum field formalism. With Dirac wave packets, the flavor conversion formula can be reproduced [13] with the same mathematical structure as those obtained in the BV model [2,15]. Moreover each new effect present in the oscillation formula can be separately quantified.

In fact, the quantum-mechanical treatment which associates Dirac wave packets with the propagating mass eigenstates is rich in physical insights which were extensively studied in this paper. Besides the review of analytical calculations done with Gaussian wave packets for scalar [11] and fermionic [13] particles, the main conceptual aspect arising from the formalism with Dirac wave packets leads to the study of chiral oscillations. In the standard model flavor-changing interactions, neutrinos with positive chirality are decoupled from the neutrino absorbing charged weak currents [17]. A state with left-handed helicity can be approximated by a state with negative chirality in the UR limit. Once we have assumed the interactions at the source and detector are chiral only the component with negative chirality contributes to the propagation. In this case, we are obliged to consider chiral coupled to flavor oscillations in order to compute the modifications to the standard flavor conversion formula. In fact, when chiral oscillations are taken into account, these modifications introduce correction factors proportional to \( m_{1,2}^2/p_0^2 \) which are, however, practically undetectable by the current experimental analysis. It leads to the conclusion that, in spite of often being criticized, the standard flavor oscillation formula resorting to the plane-wave derivation can be reconsidered when properly interpreted, but a satisfactory description of fermionic (spin one-half) particles requires the use of the Dirac equation as evolution equation for the mass eigenstates.

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