

P. 2785

RELATÓRIO DE PESQUISA

HARMONIC SEQUENCES OF HARMONIC 2-SPHERES
IN GRASSMANN MANIFOLDS

Xiaohuan Mo

and

Caio J. C. Negreiros

Fevereiro

RP 12/97

RT-IMECC
IM/4004

INSTITUTO DE MATEMÁTICA
ESTATÍSTICA E COMPUTAÇÃO CIENTÍFICA



UNICAMP

UNIVERSIDADE ESTADUAL DE CAMPINAS

02 MAR 1997

ABSTRACT – We shown in this paper that the maximum of ∂' (or $\frac{\partial}{\partial \bar{Z}}$)-order of harmonic maps $\phi: S^2 \rightarrow G_k(\mathcal{U}^n)$ is equal to $n - 1$ where $G_k(\mathcal{U}^n)$ is the Grassmann manifold of k -planes in \mathcal{U}^n equipped with its natural Fubini-Study metric.

IMECC - UNICAMP
Universidade Estadual de Campinas
CP 6065
13083-970 Campinas SP
Brasil

O conteúdo do presente Relatório de Pesquisa é de única responsabilidade do(s) autor(es).

Fevereiro - 1997

Harmonic sequences of harmonic 2-spheres in Grassmann manifolds

Xiaohuan Mo

(University of Peking and IMECC-UNICAMP)

and

Caio J.C. Negreiros

(IMECC-UNICAMP)

Abstract

We shown in this paper that the maximum of ∂' (or $\frac{\partial}{\partial \bar{z}}$)-order of harmonic maps $\phi : S^2 \rightarrow G_k(\mathbb{C}^n)$ is equal to $n-1$ where $G_k(\mathbb{C}^n)$ is the Grassmann manifold of k -planes in \mathbb{C}^n equipped with its natural Fubini-Study metric.

§0 Introduction

The study of harmonic maps of compact Riemann surfaces into homogeneous spaces is receiving a large attention in Geometry and Physics.

In the late 1960's Chern [4] and Calabi [3] published several works on minimal immersions into spheres or more generally real projective spaces, which are in the spirit of this paper.

The problem was reexamined by physicists Din-Zakarewski [6] and Glaser and Storal [9] which complexify it. Inspired by these ideas Eells and Wood [8] gave a complete classification for harmonic 2-spheres in $\mathbb{C}P^n$, and also some important partial results for the higher genus cases in terms of holomorphic data.

A number of related results have appeared including Burstall and Wood [2], Chern and Wolfson [5] and Uhlenbeck [14]. These authors have studied harmonic 2-spheres into complex Grassmannians and [14] also studies the symmetric space case in general via the use of a Cartan's theorem.

We also want to mention that the study of critical points of the energy functional (which are the harmonic maps!) is linked with the study of Yang-Mills-Higgs fields in 3 dimensions, Yang-Mills in 4 dimensions or more generally with the Seiberg-Witten equations.

We will now state the main result in this paper (3.1 Theorem). Let $G_k(\mathbb{C}^n)$ the complex Grassmann manifold consisting of k -planes in \mathbb{C}^n and $k = 0, 1, \dots, n-1$. We define Ω_k as the set of harmonic 2-spheres in $G_k(\mathbb{C}^n)$ where $S^2(\approx \mathbb{C}P^1)$ and $G_k(\mathbb{C}^n)$ are equipped with its natural Fubini-Study metric. Let $\Omega = \bigcup_{k=1}^{n-1} \Omega_k$.

We define the function $\tau : \Omega \rightarrow \mathbb{N} \cup \{0\}$ by $\tau(\phi) =$ the ∂' (or $\frac{\partial}{\partial \bar{Z}}$)-order of ϕ . Hence:

0.1. Theorem ([8]):

- a) $\max_{\phi \in \Omega_1} \tau(\phi) = n-1$
- b) The set $\{\phi \in \Omega_1; \tau(\phi) = n-1\}$ is equal to the set of full holomorphic 2-spheres in $G_1(\mathbb{C}^n) (\approx \mathbb{C}P^{n-1})$.

We also have:

0.2. Theorem ([2], [5] and [14]):

$$\tau(\phi) < \infty, \forall \phi \in \Omega$$

From these very fundamental theorems two natural questions are raised:

0.3. Question: Is it true that

$$\max_{\phi \in \Omega_k} \tau(\phi) < \infty \text{ for } k = 2, 3, \dots, n-1?$$

0.4. Question: If 0.3 Question is true what is $\max_{\phi \in \Omega_k} \tau(\phi)$ where k and n vary in such way that for a given n we have $k = 0, 1, \dots, n-1$?

Our main result answers both questions. It says the following:

3.1. Theorem: $\max_{\phi \in \Omega_k} \tau(\phi) = n-1$ where $k = 1, 2, \dots, n-1$.

It is a nice open question to describe the set $\{\phi \in \Omega_k; \tau(\phi) = n-1\}$ for $k = 2, 3, \dots, n-1$. We know from 0.1. Theorem that the set $\{\phi \in \Omega_1; \tau(\phi) = n-1\}$ is equal to the set of non-degenerate holomorphic 2-spheres in $G_1(\mathbb{C}^n) (\approx \mathbb{C}P^{n-1})$ but we will show that this fact is not true for $k = 2, 3, \dots, n-1$ because the $u \in \Omega_k$ such that $\tau(u) = n-1$ which we will find in the proof of 3.1 Theorem is neither holomorphic nor antiholomorphic.

The first author would like to thank Professor Weihun Chen for his encouragement and the second author wants to express his sincere gratitude to Professor Karen Uhlenbeck for all her very fundamental support throughout these years.

§1. Preliminaries

We recall that $G_k(\mathbb{C}^n)$ is the set formed by k -dimensional complex subspaces of \mathbb{C}^n . Then the tautologously k -dimensional vector bundle T defined on $G_k(\mathbb{C}^n)$ has as fiber on $V \in G_k(\mathbb{C}^n)$ the same set V seeing as a k -dimensional complex subspace of \mathbb{C}^n .

Hence we identify a smooth map $\phi : M^2 \rightarrow G_k(\mathbb{C}^n)$ with a subbundle $\underline{\phi}$ of $\underline{\mathbb{C}^n} = M^2 \times \mathbb{C}^n$ of rank k which has fibre at $x \in M$ given by $\underline{\phi}_x = T_{\phi(x)}$ i.e. $\underline{\phi} = \phi^*(T)$ and M^2 is an arbitrary compact Riemann surface without boundary.

Any subbundle $\underline{\phi}$ of $\underline{\mathbb{C}^n}$ inherits a metric denoted by $\langle \cdot, \cdot \rangle_{\phi}$ and connection denoted by D_{ϕ} from the flat metric and connection ∂ on $\underline{\mathbb{C}^n}$. More explicitly we have: $\langle V, W \rangle_{\phi} = \langle V(x), W(x) \rangle$ for any $V, W \in \underline{\phi}_x, x \in M^2$ and $(D_{\phi})_Z W = \pi_{\phi}(\partial_Z W)$ where $Z \in T(M)^* = T(M)^{(1,0)}$ and $W \in \Gamma(\underline{\phi})$. Here $\pi_{\phi} : \underline{\mathbb{C}^n} \rightarrow \underline{\phi}$ denotes the Hermitian projection in the subbundle $\underline{\phi}$. We will denote π_{ϕ} by V through this paper.

The ∂' (or $\frac{\partial}{\partial \bar{Z}}$)-second fundamental form of $\underline{\phi}$ in $\underline{\mathbb{C}^n}$ is the vector bundle morphism $A'_{\phi} : \Gamma(\underline{\mathbb{C}^n}) \rightarrow \Gamma(\underline{\phi}^{\perp})$ where $A'_{\phi} = V^{\perp} \circ \frac{\partial}{\partial \bar{Z}} \circ V$. Similarly we define $A''_{\phi} = V^{\perp} \circ \frac{\partial}{\partial Z} \circ V$.

Given holomorphic vector bundles E, F over M^2 and a holomorphic section $s \in \Gamma(\bigotimes_{\mathbb{C}} (M^{(1,0)})^* \otimes L(E, F))$, they determine in a unique way holomorphic subbundles of F and E such that: $(\underline{Lms})_x = \text{Im}(s(x))$ and $(\underline{kercs})_x = \ker(s(x))$ for $x \in M$.

1.1. Definition: Let $\phi : M^2 \rightarrow G_k(\mathbb{C}^n)$ harmonic. $\underline{Im} A'_\phi$ is a holomorphic subbundle of ϕ^* called the ∂' -Gauss bundle of ϕ (we will denote it by $G'(\phi)$).

1.2. Theorem ([2], [5] or [14]) $G'(\phi)$ is harmonic.

We can iterate the construction above, hence we have:

1.3. Definition Let $\phi : M^2 \rightarrow G_k(\mathbb{C}^n)$ harmonic we define $\phi^i (i \in \mathbb{N} \cup \{0\})$ by $\phi^0 = \phi, \phi^i = G'(\phi^{i-1})$.

1.4. Remark We of course can do the same with respect to ∂'' .

1.5. Definition We say that a harmonic map $\phi : M^2 \rightarrow G_k(\mathbb{C}^n)$ has ∂' -order r if $\phi^r \neq 0$ but $\phi^{r+1} = 0$

Let \mathbb{C}^n the set formed by $n \times n$ matrices with complex coefficients. We know that $U(n) = \{A \in \mathbb{C}^n, A^{-1} = \overline{A}^t\}$ and its Lie algebra $u(n) = \{M \in \mathbb{C}^n, M + \overline{M}^t = 0\}$

Let $\mu \in \Gamma(T^*(U(n)) \otimes u(n))$ be the Maurer-Cartan form of $U(n)$ i.e. for any $X \in T(U(n))_a [u(X)]_a = X$

1.6. Lemma If $u(n) \cong T(U(n))_I$ then $\mu(X) = a^{-1}X$ for any $X \in (T(U(n)))_e$.

Proof: According to its definition we have: $\mu(X) = [\mu(X)]_I = (dL_{a^{-1}})[\mu(X)]_a = (dL_{a^{-1}})(X)$. Then by the definition of the derivative we can consider $\gamma = \gamma(t) \subseteq U(n), \gamma(0) = a$ and $\gamma'(0) = X$ and so we have:

$$\mu(X) = (dL_{a^{-1}})(X) = \frac{d}{dt}(a^{-1}\gamma) \Big|_{t=0} = a^{-1} \frac{d\gamma}{dt} \Big|_{t=0} = a^{-1}X.$$

We know that the complex structure of M^2 determines a natural splitting $(T(M))^* \otimes \mathbb{C} = (T(M)')^* \oplus (T(M)'')^*$. So if $\psi : M^2 \rightarrow U(n)$ is an arbitrary smooth map, $\alpha = \psi^*u$ is equal to $\psi^{-1}d\psi$ by 1.6. Lemma and by making use of the this natural splitting we have that $\alpha = \alpha' + \alpha''$ where $\alpha' \in \Gamma((T(\mathbb{C}P^1))'^* \otimes u(n))$ and $\alpha'' \in \Gamma((T(\mathbb{C}P^1))''^* \otimes u(n))$

For each $\lambda \in \mathbb{C}^* = \mathbb{C} - \{0\}$ we define

$$\alpha_\lambda = \frac{1}{2}(1 - \lambda^{-1})\alpha' + \frac{1}{2}(1 - \lambda)\alpha''$$

then $\alpha_\lambda \in \Gamma(((T(\mathbb{C}P^1))')^* \otimes u(n))$. Since $u(n)^\mathbb{C} = g\ell(n, \mathbb{C})$ then $U(n)^\mathbb{C} = G\ell(n, \mathbb{C})$

1.7. Definition Let $\Psi : \mathbb{C}^* \times M^2 \rightarrow G\ell(n, \mathbb{C})$. Ψ is called an extended solution of ψ if $\forall \lambda \in \mathbb{C}^* \Psi_\lambda = \Psi(\lambda, \cdot) : M^2 \rightarrow G\ell(n, \mathbb{C})$ satisfies $\Psi_\lambda^{-1}d\Psi_\lambda = \alpha_\lambda$ for any $\psi : M^2 \rightarrow U(n)$.

The fundamental observation of Uhlenbeck is:

1.8. Theorem ([14]) $\psi : S^2 \rightarrow G_k(\mathbb{C}^n)$ is harmonic if and only if $(\Psi_\lambda)_{\lambda \in \mathbb{C}^*}$ is integrable

Proof: See [14].

§2 On extended solutions

We will consider from now on the set $ES(\psi)$ where $\Psi \in ES(\psi)$ if and only if Ψ is an extended solution of ψ .

2.1. Theorem ([14]) Let $\psi : S^2 \rightarrow U(n)$ harmonic. Then there exists $\Psi \in ES(\psi)$ such that:

$$(i) \Psi_1 = I \text{ (where } I(x) = I \text{ } \forall x \in S^2)$$

$$(ii) \Psi_\lambda = \sum_{u=0}^m T_u \lambda^u, T_m \neq 0 \text{ where } T_u : S^2 \rightarrow g\ell(n) = \text{End}(\mathbb{C}^n) \text{ or equivalently } T_u : S^2 \times \mathbb{C}^n \rightarrow \mathbb{C}^n$$

$$(iii) \text{span } I_m(T_0) = \mathbb{C}^n$$

$$(iv) \sum_{u=0}^m T_u T_{u+V} = \delta_{V0} I, V = 0, \pm 1, \dots, \pm m$$

and $T_u = 0$ for $u < 0$ or $u > m$.

Proof See [14]. We can also use Theorem 4.2 and 4.3 in [13] keeping in mind that the definitions of harmonic and pluri-harmonic maps are equivalent if $\phi : M^2 \rightarrow (N^n, h)$ is an arbitrary smooth map.

We also have:

2.2. Lema Suppose $\psi : S^2 \rightarrow U(n)$ is harmonic and $\Psi \in ES(\psi)$. Then there exists $\psi' : S^2 \rightarrow U(n)$ harmonic and $\Psi'_\lambda \in ES(\psi')$ where $\Psi'_\lambda = \lambda^{-1} \Psi_\lambda (V + \lambda V^\perp), \psi' = \psi(V^\perp - V)$. (As usual V stands for $\underline{Im} A'_\psi$.)

Proof: Using [14] we can show that V is an instanton (or a flag factor) of ψ . Therefore

$$V^\perp \alpha' V = 0$$

$$\text{and } V^\perp (\partial V + \frac{1}{2} \alpha'' V) = 0$$

where $\bar{\partial} V = dV|_{V_0 S^2}$

Therefore by using again [14] we have that $\Psi_{-1}(V - V^\perp) : S^2 \rightarrow U(n)$ is harmonic then $\Psi_\lambda = \alpha\psi$ hence $-\psi' : S^2 \rightarrow U(n)$ is harmonic (up to a constant $\alpha \in U(n)$) and $\lambda\Psi'_\lambda \in ES(\Psi_{-1}(V - V^\perp)) = ES(-\psi')$

Therefore if we fix $\lambda \in \mathbb{C}^*$ we have:

$(\Psi'_\lambda)^{-1} d\Psi'_\lambda = (\lambda\Psi'_\lambda)^{-1} d(\lambda\Psi'_\lambda)$. But $(\psi')^{-1} d\psi' = (-\psi')^{-1} d(-\psi')$ so $\psi' : S^2 \rightarrow U(n)$ is harmonic and $\Psi'_\lambda \in ES(\psi')$.

Our next result is the following:

2.3. Lemma: Let $\phi : M^2 \rightarrow U(n)$ be a harmonic map. Then:

$$a) \ker A''_{G'(\phi)} = 0$$

$$b) \ker A''_\phi \oplus G'(\phi^\perp) = \phi$$

Proof: a) Let $\zeta \in \Gamma(G'(\phi))$ such that $A''_{G'(\phi)}(\zeta) = 0$.

Hence $0 = \langle \eta, A''_{G'(\phi)}(\zeta) \rangle = -\langle A'_{G'(\phi)^\perp} \eta, \zeta \rangle$ for any $\eta \in \Gamma(G'(\phi)^\perp)$ therefore $\phi \subset G'(\phi)^\perp$ and $\langle A'_\phi \eta, \zeta \rangle = \langle A'_{G'(\phi)^\perp} \eta, \zeta \rangle = 0$ for any $\eta \in \Gamma(\phi)$.

If we choose $\eta_0 \in \Gamma(\phi)$ such that $A'_\phi \eta_0 = \zeta$ then we have that $\zeta = 0$

b) Let $\zeta \in \Gamma(\phi)$ such that $A''_\phi(\zeta) = 0$ and $\eta \in \Gamma(G'(\phi^\perp))$ that is there exists $\theta \in \Gamma(\phi^\perp)$ such that $A'_{\phi^\perp} + \theta = \eta$

Hence $\langle \eta, \zeta \rangle = \langle A'_{\phi^\perp} + \theta, \zeta \rangle = \langle \theta, A''_\phi(\zeta) \rangle = 0$. Therefore $\ker A''_\phi + G'(\phi^\perp)$

But $A''_\phi = -(A'_{\phi^\perp})^*$ therefore $\text{rank}(G''(\phi)) = \text{rank } G'(\phi^\perp)$. Then finally we have: $\text{rank}(\ker A''_\phi \oplus G'(\phi^\perp)) = \text{rank}(\ker A''_\phi) + \text{rank}(G'(\phi^\perp)) = \text{rank}(\phi) - \text{rank}(G''(\phi)) + \text{rank}(G'(\phi^\perp)) = \text{rank}(\phi)$.

We will denote by $c : G_k(\mathbb{C}^n) \rightarrow U(n)$ ($V \mapsto V - V^\perp$) which is predicted by Cartan's theorem. Let $\psi' = \psi(V^\perp - V)$ the map whose existence is predicted in 2.2 Lemma. We have:

2.4. Lemma Let $\phi \in \Omega_k$ and $\psi = c \circ \phi$. If $\ker A''_\phi = 0$ then $\psi' = c \circ \phi'$

Proof. Let $\alpha = \psi^{-1} d\psi = A''_\psi + A''_\psi d\bar{Z}$ where as usual $A''_\psi = \psi^{-1} \frac{\partial \psi}{\partial Z} =$

$$(\phi - \phi^\perp)^{-1} \frac{\partial(\phi - \phi^\perp)}{\partial Z} = (\phi - \phi^\perp) \left(\frac{\partial \phi}{\partial Z} - \frac{\partial \phi^\perp}{\partial Z} \right) = \phi \frac{\partial \phi}{\partial Z} - \phi^\perp \frac{\partial \phi}{\partial Z} - \phi \frac{\partial \phi^\perp}{\partial Z} +$$

$$\phi^\perp \frac{\partial \phi^\perp}{\partial Z} = \frac{1}{2} \frac{\partial}{\partial Z} [\phi^2 + (\phi^\perp)^2] - \phi^\perp \frac{\partial \phi}{\partial Z} - \phi \frac{\partial \phi^\perp}{\partial Z} = \frac{1}{2} \frac{\partial}{\partial Z} (I) - (A'_\phi + A'_{\phi^\perp}) = -(A'_\phi + A'_{\phi^\perp}) \text{ (remember that we are identifying } \phi \text{ with } \phi)$$

But by hypothesis $\ker A''_\phi = 0$ so according to the previous lemma $G'(\phi^\perp) = \phi$ so $V = \text{Im } A''_\psi = G'(\phi) \oplus G'(\phi^\perp) = G'(\phi) \oplus \phi$.

Therefore $\psi' = \psi(V^\perp - V) = (\phi - \phi^\perp)(V^\perp - \phi \oplus G'(\phi)) = -\phi^\perp V^\perp - \phi^2 + G'(\phi) = \phi - (\phi')^\perp = c \circ \phi'$.

See [10], [11] or [12] for related material.

§3 Proof of Main theorem

We will prove in this section the following result:

3.1. Theorem $\max_{\phi \in \Omega_k} \tau(\phi) = n - 1$ where $k = 1, 2, \dots, n - 1$.

Proof: If $\phi \in \Omega_k$ is constant then $G'(\phi) = 0$ so $\tau(\phi) = 0$.

Then without loss of generality we can assume that ϕ is not constant $c : G_k(\mathbb{C}^n) \rightarrow U(n)$ the Cartan's embedding is known to be totally geodesic and an embedding. Therefore $\psi = c \circ \phi$ is non-constant and a harmonic map from S^2 to $U(n)$. Then according to 2.1 Theorem there exists $\Psi \in ES(\psi)$ such that (i)-(iv) of this Theorem are true.

Case 1. We will suppose that $\ker A''_\phi = 0$

By combining 2.2 Lemma with 2.4 Lemma we have: $\Psi'_\lambda = \lambda^{-1} \Psi_\lambda (V + \lambda V^\perp) \in ES(\psi') = ES(c \circ \phi)$ (1), hence $\Psi \in ES(\psi)$.

Hence $\Psi_\lambda^{-1} d\Psi_\lambda = \alpha_\lambda = \frac{1}{2}(1 - \lambda^{-1})\alpha' + \frac{1}{2}(1 - \lambda)\alpha''$ (2), therefore $\partial\Psi_\lambda = \frac{1}{2}(1 - \lambda^{-1})\Psi_\lambda \alpha'$ (3) and $\bar{\partial}\Psi_\lambda = \frac{1}{2}(1 - \lambda)\Psi_\lambda \alpha''$ (4).

By above $\sum_{u=0}^m (\partial T_u) \lambda^u = \frac{1}{2}(1 - \lambda^{-1}) \sum_{u=0}^m T_u \lambda^u \alpha'$ (5). Similarly

$\sum_{u=0}^m (\bar{\partial} T_u) \lambda^u = \frac{1}{2}(1 - \lambda) \sum_{u=0}^m T_u \lambda^u \alpha''$ (6).

Comparing coefficients in the above expressions we obtain:

$$T_0 \alpha' \stackrel{(5)}{=} 0 \quad (7), \quad \partial T_0 \stackrel{(5)}{=} \frac{1}{2}(T_0 - T_1) \alpha' \quad (8), \quad \bar{\partial} T_0 \stackrel{(6)}{=} \frac{1}{2} T_0 \alpha'' \quad (9).$$

Therefore:

$$\begin{aligned}\Psi'_\lambda &= \lambda^{-1} \sum_{u=0}^m T_u \lambda^u (V + \lambda V^\perp) = \sum_{u=1}^m T_u \lambda^{u-1} V + \\ &+ \sum_{u=0}^m T_u \lambda^u V^\perp = \sum_{u=0}^{m-1} T_{u+1} \lambda^u V + \sum_{u=0}^m T_u \lambda^u V^\perp = \\ &= \sum_{u=0}^m T'_u \lambda^u \quad (10)\end{aligned}$$

where $T'_i = T_u V^\perp + T_{u+1} V$, $T_{m+1} \equiv 0$ (11).

Hence $T'_0 V^\perp = (T_0 V^\perp + T_1 V) V^\perp = T_0 V^\perp + T_0 V = T_0$ (12). Therefore $\text{Im}(T_0) = \text{Im}(T'_0 V^\perp) \subseteq \text{Im}(T'_0)$ (13) (so $\text{rank}(T_0) \leq \text{rank}(T'_0)$).

We now consider $T_0 : S^2 \times \mathbb{C}^n \rightarrow \mathbb{C}^n$ (14). We know according 2.1 Theorem, that $\mathbb{C}^n = \text{span Im } T'_0$ (15).

Let $t_0 = \max_{x \in S^2} \text{rank}(T_0)_x$ and $t'_0 = \max_{x \in S^2} \text{rank}(T'_0)_x$.

Let $X = \{x \in S^2; \text{rank}(T_0)_x = t_0 \text{ and } \text{rank}(T'_0)_x = t'_0\}$. Then for example arguing like in the proof of Lemma 6.3 of [13] we can show that X is a connected, open and dense subset of $\mathbb{C}P^1(\approx S^2)$.

Claim $0 < t_0 < t'_0$

Proof: if $t_0 = 0$ then $\forall x \in S^2$, $\text{rank } T_0 = 0$ i.e. $(T_0)_x = 0$. Therefore $\text{span Im}(T_0) = 0$ which contradicts (15).

On the other hand if $t_0 = t'_0$ then on X $\text{Im}(T_0) \stackrel{(13)}{=} \text{Im}(T'_0)$. Therefore $\text{Im}(T_1 V) \stackrel{(11)}{=} \text{Im}(T'_0 - t_0 V) \stackrel{(13)}{\subseteq} \text{Im}(T_0)$. Then if we apply (8) we see that $\text{Im}(T_0|_X) \subseteq X \times \mathbb{C}^n$ is anti-holomorphic. By (9) there exists a subspace W of \mathbb{C}^n such that $\text{Im}(T_0|_X) = X \times W$. Then we see that $\bigcup_{x \in S^2} (x, \text{Im}(T_0)_x) \subseteq S^2 \times W$.

Hence $\mathbb{C}^n = \text{span Im}(T_0) = W$ then $t_0 = n$. Since ψ is not constant, there exists $m > 0$ such that $T_0 T_m = 0$ then $T_m = 0$ on X then $T_m \equiv 0$ which is impossible.

Suppose now $\tau(\phi) = r$. Then $G^{(1)}(\phi) \neq 0, \dots, G^r(\phi) \neq 0$ but $G^{(r+1)}(\phi) = 0$.

We have following diagram:

$$\begin{array}{ccccccc}\phi & \rightarrow & \phi' & \rightarrow & \phi^2 & \rightarrow & \dots \rightarrow \phi^{r+1} \\ \downarrow & & \downarrow & & \downarrow & & \\ \psi & \rightarrow & \psi' & \rightarrow & \psi^2 & \rightarrow & \dots \rightarrow \psi^{r+1} \\ \downarrow & & \downarrow & & \downarrow & & \\ \Psi & \rightarrow & \Psi' & \rightarrow & \Psi^2 & \rightarrow & \dots \rightarrow \Psi^{r+1} \\ \downarrow & & \downarrow & & \downarrow & & \\ 0 < t_0 & < & t'_0 & < & t''_0 & < & \dots < t_0^{r+1}\end{array}$$

Therefore $t_0^{r+1} = \max_{x \in S^2} (T_0^{r+1})_x \leq n$. So $r+2 \leq n$ then $r \leq n-2$ (*).

Case 2 We will suppose that $\ker A''_\phi \neq 0$.

By (1) we know that $\ker A''_{G'(\phi)} = 0$. Hence if we apply (*) we have that $\tau(G'(\phi)) \leq n-2$. Therefore $\tau(\phi) = \tau(G'(\phi)) + 1 \leq n-1$.

It only remains now to find $u \in \Omega_k$ such that $\tau(u) = n-1$. For this purpose we can take any $h : S^2 \rightarrow \mathbb{C}P^{n-1}$ holomorphic and non-degenerate (for example we can consider the Veronese maps $h : \mathbb{C} \cup \{\infty\} \approx S^2 \rightarrow \mathbb{C}P^{n-1}$ where $h(Z) = [1, Z, \dots, Z^{n-1}]$ and $h(\infty) = [0, 0, \dots, 0, 1]$).

We can now apply the notation and results in [2] and [16].

Let the harmonic sequence $h = h^0, h^1, \dots$ in the notation of [16] can be written:

$$h^0 = h \rightarrow h^1 \rightarrow h^2 \rightarrow \dots \rightarrow h^{n-2} \rightarrow h^{n-1}$$

We define:

$$H^k = h^0 \oplus h^{n-k+1} \oplus \dots \oplus h^{n-1}$$

According to [1] we have that $A'_{H^k} = (H^k)^\perp \circ \frac{\partial}{\partial Z} \circ H^k = (h^1 + \dots + h^{n-k}) \circ \frac{\partial}{\partial Z} \circ (h^0 + h^{n-k+1} + \dots + h^{n-1}) = h' \circ \frac{\partial}{\partial Z} \circ h = A'_h$.

Therefore $G'(H^k) = h^1$, and in general $G^{(j)}(H^k) = h^j$ hence $\tau(H^k) = n-1$. So $u = H^k : S^2 \rightarrow G_k(\mathbb{C}^n)$ it is the wanted map in Ω_k such that $\tau(u) = n-1$ since u is harmonic according for example the results in [2] or [16].

3.2. Remark a) The $u \in \Omega_k$ above founded is not holomorphic since $G''(u) = G''(H^k) = h^{n-k}$. Such u is usually called a mixed pair

b) Combining 0.1 Theorem and the result showed in this paper we have:

$$[\tau^{-1}(n-1) \cap \Omega_k] - \text{Hol}(S^2, G_k(\mathbb{C}^n)) = \begin{cases} \phi & \text{if } k = 1 \\ \neq \phi & \text{for } k > 1 \end{cases}$$

The remark above suggest us naturally the following question:

3.3. Question: Describe precisely the set $\{\phi \in \Omega_k; \tau(\phi) = n - 1 \text{ for } k = 2, 3, \dots, n - 1\}$.

Bibliografia

- [1] F. Burstall, S. Salamon: "Tournaments, flags and harmonic maps", *Math. Ann.* 277, 249-265 (1987).
- [2] F. Burstall, J. Wood: "The construction of harmonic maps into complex Grassmannian", *J. Diff. Geom.* 23, 255-297 (1986).
- [3] F. Calabi, "Minimal immersions of surfaces in Euclidean spheres", *J. of Diff. Geom.* 1, 111-125 (1967).
- [4] S.S. Chern, "On the minimal immersions of the two-sphere in a space of constant curvature", *Problems in Analysis*, Princeton Univ. Press, 27-49 (1970).
- [5] S.S. Chern, J. Wolfson, "Harmonic maps of the two-sphere into a complex Grassmann manifold II", *Ann of Math* 125, 301-335 (1987).
- [6] A. Din, W. Zakrewski, "General classical solution in the CP^{n-1} model", *Nucl. Phys. B* 174, 397-406 (1980).
- [7] J. Eells, L. Lemaire, "Another report on harmonic maps", *Bull. of the London Math. Soc.* 86, vol. 20, 385-524 (1988).
- [8] J. Eells, J. Wood, "Harmonic maps from surfaces to complex projective spaces", *Adv. in Math.* 49, 217-263 (1983).
- [9] V. Glaser, R. Stora, "Regular solutions of the CP^n model and further generalizations", preprint, Cern (1980).
- [10] Xiaohuan Mo, "Inclusive conformal harmonic surface in $G_{2,n}$ to appear at *Adv. in Math.*
- [11] Xiaohuan Mo, "Lagrangian harmonic maps into CP^n ", *Scientia Sinica* 38, 522-532 (1995).
- [12] C. Negreiros, "Harmonic maps into periodic flag manifolds and into loop groups", *J. of Geom. and Phys.* 7, 339-361 (1990).
- [13] Y. Ohnita, G. Valli, "Pluriharmonic maps into compact Lie groups and factorization into unitons", *Proc. London Math. Soc.* (3) 61, 546-570 (1990).

- [14] K.K. Uhlenbeck, "Harmonic maps into Lie groups (classical solutions of the Chiral model)", *J. Diff. Geom.* 30, 1-50 (1989).
- [15] J. Wolfson: "Harmonic sequences harmonic maps and algebraic geometry", *Proc. Colloq. Applications harmoniques*, Luminy, France (1986).
- [16] J. Wood: "The explicit construction and parametrization of all harmonic maps from the two-sphere to a complex Grassmannian", *J. reine angew. Math.* 386, 1-31 (1988).

RELATÓRIOS DE PESQUISA — 1997

- 01/97 Solving Complementarity Problems by Means of a New Smooth Constrained Nonlinear Solver — Roberto Andreani and José Mario Martínez.
- 02/97 Riemannian Submersions of Open Manifolds which are Flat at Infinity — Valery Marenich.
- 03/97 Comparing the Numerical Performance of Two Trust-Region Algorithms for Large-Scale Bound-Constrained Minimization — Maria A. Diniz-Ehrhardt, Márcia A. Gomes-Ruggiero and Sandra A. Santos.
- 04/97 Finsler spaces with constant flag curvature — Xiaohuan Mo.
- 05/97 Symmetric Singularities of Reversible Vector Fields in Dimension Three — João Carlos da Rocha Medrado and Marco Antonio Teixeira.
- 06/97 Nonsmooth Nonconvex Alternative Theorem and Applications — A. J. V. Brandão and M. A. Rojas-Medar.
- 07/97 On the Klein-Gordon and Dirac Equations — E.A. Notté Cuello and E. Capelas de Oliveira.
- 08/97 Augmented Lagrangians and Sphere Packing Problems — José Mario Martínez.
- 09/97 História da Tangente — Eduardo Sebastiani Ferreira.
- 10/97 Exact Penalty Methods With Constrained Subproblems — Sílvia M. H. Janesch and Lúcio Tunes Santos.
- 11/97 Relato de Experiência: O Computador no Ensino de Cálculo, O Problema do Lixo na Unicamp e Outras Aplicações — Vera L. X. Figueiredo e Sandra A. Santos.