Abstract

A concrete model for a 7-dimensional gauge theory under special holonomy is proposed, within the paradigm of Donaldson and Thomas [D-T], over the asymptotically cylindrical $G_2$–manifolds provided by Kovalev’s solution to a noncompact version of the Calabi conjecture [Kov1, Kov2].

One obtains a solution to the $G_2$–instanton equation from the associated Hermitian Yang-Mills problem, to which the methods of Simpson et al. are applied, subject to a crucial asymptotic stability assumption over the ‘boundary at infinity’.

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Introduction

This article inaugurates a concrete path for the study of 7-dimensional gauge theory in the context of $G_2$-manifolds. Its core is devoted to the solution of the Hermitian Yang-Mills (HYM) problem for holomorphic bundles over a noncompact Calabi-Yau 3-fold, under certain stability assumptions.

This initiative fits in the wider context of gauge theory in higher dimensions, following the seminal works of S. Donaldson, R. Thomas, G. Tian and others. The common thread to such generalisations is the presence of a closed differential form on the base manifold $M$, inducing an analogous notion of anti-self-dual connections, or instantons, on bundles over $M$. In the case at hand, $G_2$-manifolds are 7-dimensional Riemannian manifolds with holonomy in the exceptional Lie group $G_2$, which translates exactly into the presence of such a closed structure. This allows one to make sense of $G_2$-instantons as the energy-minimising gauge classes of connections, solutions to the corresponding Yang-Mills equation.

While similar theories in dimensions four [D-K] and six [Tho] have led to the remarkable invariants associated to instanton moduli spaces, little is currently known about the 7-dimensional case. Indeed, no $G_2$-instanton has yet been constructed\(^1\), much less a Casson-type invariant rigorously defined. This is due not least to the success and attractiveness of the previous theories themselves, but partly also to the relative scarcity of working examples of $G_2$-manifolds [Bry][B-S][Joy].

In 2003, A. Kovalev provided an original construction of compact manifolds $M$ with holonomy $G_2$ [Kov1, Kov2]. These are obtained by gluing two smooth asymptotically cylindrical Calabi-Yau 3-folds $W'$ and $W''$, truncated sufficiently far along the noncompact end, via an additional ‘twisted’ circle component $S^1$, to obtain a compact Riemannian 7-manifold $M = (W' \times S^1) \# (W'' \times S^1)$, with possibly ‘long neck’ and $\text{Hol}(M) = G_2$. This opened a clear, three-step path in the theory of $G_2$-instantons:

1. to obtain a HYM connection over each $W^{(i)}$, which pulls back to an instanton $A^{(i)}$ over the product $W^{(i)} \times S^1$,
2. to extend the twisted sum to bundles $\mathcal{E}^{(i)} \to W^{(i)}$, in order to glue instantons $A'$ and $A''$ and obtain a $G_2$-instanton as $A = A' \# A''$, say, over the compact base $M$ [Don4][Tau], and

\(^1\)In the course of submission of this article, Thomas Walpuski [Wal] made significant progress in obtaining instantons over the $G_2$-manifolds constructed by Dominic Joyce [Joy].
(3) to study the moduli space of such instantons and eventually compute invariants in particular cases of interest.

The present work is devoted to the proof of Theorem 58, which guarantees the existence of HYM metrics on suitable holomorphic bundles over such a noncompact 3-fold $W$, and an explicit construction satisfying the relevant hypotheses is also provided, as an example, in Subsection 4.2. Thus it completes step (1) of the above strategy, while postponing the gluing theory for a sequel, provisionally cited as [SaE2] and briefly outlined in Subsection 4.3.

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Summary

I begin Section 1 recalling basic properties of $G_2$–manifolds, then move on to adapt gauge-theoretical notions, such as self-duality of 2–forms under a $G_2$–structure (Definition 3), topological energy bounds for the Yang-Mills functional and the relationship between Hermitian Yang-Mills (HYM) connections over a Calabi-Yau 3–fold $(W, \omega)$, satisfying $\hat{F} := F \cdot \omega = 0$, and so-called $G_2$–instantons over $W \times S^1$ (Subsections 1.1 to 1.3). Crucially, the question of finding $G_2$–instantons reduces to the existence of HYM metrics over $W$:

**Proposition 8.** Given a holomorphic vector bundle $\mathcal{E} \to W$ over a Calabi-Yau 3–fold, the canonical projection $p_1 : W \times S^1 \to W$ gives a one-to-one correspondence between HYM connections on $\mathcal{E}$ and $S^1$–invariant $G_2$–instantons on the pull-back bundle $p_1^* \mathcal{E}$.

A quick introduction to Kovalev’s manifolds [Kov1, Kov2] is provided in Subsection 1.4, featuring the statement of his noncompact Calabi-Yau-Tian theorem (Theorem 11) and a discussion of its ingredients. In a nutshell, given a suitable compact Fano 3-fold $W$, one deletes a K3 surface $D \subseteq \{-K_W\}$ to obtain a noncompact, asymptotically cylindrical Calabi-Yau manifold $\hat{W} = W \setminus D$. Topologically we interpret $\hat{W} = W_0 \cup W_\infty$ as a compact manifold-with-boundary $W_0$, with a tubular end $W_\infty$ attached along $\partial W_0$ and the divisor $D$ situated ‘at infinity’. This sets the scene for a natural evolution problem over $W$, given by the ‘gradient flow’ towards a HYM solution.

Sections 2 and 3 form the technical core of the paper. We consider the HYM problem on a holomorphic bundle $\mathcal{E} \to W$ satisfying the asymptotic stability assumption that the restriction $\mathcal{E}|_D$ is stable (Definition 12), which allows the existence of a reference metric $H_0$ with ‘finite energy’ and suitable asymptotic behaviour (Definition 13). This amounts to studying a parabolic equation on the space of Hermitian metrics $[\text{Don}_1, \text{Don}_2, \text{Don}_3]$ over the asymptotically cylindrical base manifold (Subsection 2.1), and it follows a standard pattern [Sim, Guo, But], leading to the following existence result:

**Theorem 36.** Let $\mathcal{E} \to W$ be asymptotically stable, with reference metric $H_0$, over an asymptotically cylindrical $SU(3)$–manifold $W$; then, for any $0 < T < \infty$, $\mathcal{E}$ admits a 1–parameter family $\{H_t\}$ of smooth Hermitian metrics solving

\[
\begin{align*}
H^{-1} \frac{\partial H}{\partial t} &= -2i \hat{F}_H \quad \text{on} \quad W \times [0, T],
H(0) &= H_0
\end{align*}
\]

Moreover, each $H_t$ approaches $H_0$ exponentially in all derivatives along the tubular end.

One begins by solving the associated Dirichlet problem on $W_S$, an arbitrary finite truncation of $W$ at ‘length’ $S$, along the tube, first obtaining short-time solutions $H_S(t)$ (Subsection 2.3), then extending them for all time. Fixing arbitrary finite time, one obtains $H_S(t) \xrightarrow{S \to \infty} H(t)$ on compact subsets of $W$ (Subsection 2.4). Moreover, every metric in the 1–parameter family $H(t)$ approaches exponentially the reference metric $H_0$, in a suitable sense, along the cylindrical end (Subsection 2.5). Hence one has solved the original parabolic equation and its solution has convenient asymptotia.

From Section 3 onwards I tackle the issue of controlling $\lim_{t \to \infty} H(t)$. It is clear from the evolution problem that such a limit $H$, if it exists, will indeed be a HYM metric. Moreover, $H$ has the property of $C^\infty$–exponential decay, along cylindrical sections of fixed length, to the reference metric along the tubular end (Notation 34). The process will culminate in this article’s main theorem:
**Theorem 58.** In the terms of Theorem 36, the limit $H = \lim_{t \to \infty} H_t$ exists and is a smooth Hermitian Yang-Mills metric on $\mathcal{E}$, exponentially asymptotic in all derivatives to $H_0$ along the tubular end:

\[
\hat{F}_H = 0, \quad H \xrightarrow{C^\infty} H_0.
\]

Adapting the ‘determinant line norm’ functionals introduced by Donaldson [Don1, Don2], I predict in Claim 44 a time-uniform lower bound on the ‘energy density’ $\hat{F}$ over a finite piece down the tube, of size roughly proportional to $\|H(t)\|_{C^0(W)}$ (Subsection 3.2). The proof of this fact is quite intricate and it is carried out in detail in Subsection 3.3. That, in turn, is a sufficient condition for time-uniform $C^0$–convergence of $H(t)$ over the whole of $W$, in view of a negative energy bound derived by the Chern-Weil method (Subsection 4.1). Such uniform bound then cascades back through the estimates behind Theorem 36 and yields a smooth solution to the HYM problem in the $t \to \infty$ limit, as stated in Theorem 58.

Finally, Subsection 4.2 brings the illustrative example of a setting $\mathcal{E} \to W$ satisfying the analytical assumptions of Theorem 58, based on a monad construction originally by Jardim [Jar] and further studied by Jardim and the author [J-S].

In view of Proposition 8, the Chern connection $A_H$ of the HYM metric $H$ obtained in Theorem 58 pulls back to a $G_2$–instanton over $W \times S^1$, which effectively completes step (1) of the programme outlined in the Introduction.

For the reader’s convenience, the schemes of proof leading to our key results Theorem 36 and Theorem 58 are graphically sketched at the end of the paper, in Appendix B.

This article is based on the author’s thesis [SaE0].


1 \(G_2\)-instantons and Kovalev’s tubular construction

This Section is devoted to the background language for the subsequent analytical investigation. The main references are [Bry, Sal, Joy] and of course [Kov1, Kov2].

1.1 Background on \(G_2\)-manifolds

Ultimately we want to consider 7-dimensional Riemannian manifolds with holonomy group \(G_2\). We adopt the conventions of [Sal, p.155] for the definition of \(G_2\); denoting \(\{e^i\}_{i=1,...,7}\) the standard basis of \((\mathbb{R}^7)^*\), \(e^{ij} := e^i \wedge e^j\) etc.:

**Definition 1.** The group \(G_2\) is the subgroup of \(GL(7)\) preserving the 3-form

\[
\varphi_0 = (e^{12} - e^{34}) \wedge e^5 + (e^{13} - e^{42}) \wedge e^6 + (e^{14} - e^{23}) \wedge e^7 + e^{567}
\]

under the standard (pull-back) action on \(\Lambda^3 (\mathbb{R}^7)^*\), i.e.,

\[G_2 := \{g \in GL(7) \mid g^* \varphi_0 = \varphi_0\}\,.
\]

This encodes various interesting geometrical facts, which are discussed in some detail in the author’s thesis [SaE0] or this article’s preprint version [SaE’1], and are thoroughly explored in the above references. In particular, \(\varphi_0\) defines an Euclidean metric:

\[
\langle a, b \rangle e^{1...7} = (a \cdot \varphi_0) \wedge (b \cdot \varphi_0) \wedge \varphi_0,
\]

and the group \(G_2\) has several distinctive properties [Bry], which we recall for later use:

**Theorem 2.** The subgroup \(G_2 \subset SO(7) \subset GL(7)\) is compact, connected, simple and simply connected and \(\dim(G_2) = 14\). Moreover, \(G_2\) acts irreducibly on \(\mathbb{R}^7\) and transitively on \(S^6\).

Let \(M\) be an oriented simply-connected smooth 7-manifold.

**Definition 3.** A \(G_2\)-structure on the 7-manifold \(M\) is a 3-form \(\varphi \in \Omega^3 (M)\) such that, at every point \(p \in M\), \(\varphi_p = f^*_p (\varphi_0)\) for some frame \(f_p : T_p M \to \mathbb{R}^7\).

Since \(G_2 \subset SO(7)\) [Theorem 2], \(\varphi\) fixes the orientation given by some (and consequently any) such frame \(f\) and also the metric \(g = g(\varphi)\) given pointwise by (2). We may refer indiscriminately to \(\varphi\) or \(g\) as the \(G_2\)-structure. The torsion of \(\varphi\) is the covariant derivative \(\nabla \varphi\) by the induced Levi-Civita connection, and \(\varphi\) is torsion-free if \(\nabla \varphi = 0\).

**Definition 4.** A \(G_2\)-manifold is a pair \((M, \varphi)\) where \(M\) is a 7-manifold and \(\varphi\) is a torsion-free \(G_2\)-structure on \(M\).

The following theorem [Joy, 10.1.3][Fe-G] characterises the holonomy reduction on \(G_2\)-manifolds:

**Theorem 5.** Let \(M\) be a 7-manifold with \(G_2\)-structure \(\varphi\) and associated metric \(g\); then the following are equivalent:

1. \(\nabla \varphi = 0\), i.e., \(M\) is a \(G_2\)-manifold;

2. \(\text{Hol} (g) \subset G_2\);
3. denoting $\ast_\varphi$ the Hodge star from $g(\varphi)$, one has $d\varphi = 0$ and $d \ast_\varphi \varphi = 0$.

Finally, on compact $G_2$–manifolds, the holonomy group happens to be exactly $G_2$ if and only if $\pi_1(M)$ is finite [Joy, 10.2.2]. The purpose of the ‘twisted’ gluing in A. Kovalev’s construction of asymptotically cylindrical $G_2$–manifolds is precisely to secure this topological condition, hence strict holonomy $G_2$.

1.2 Yang-Mills theory in dimension 7

The $G_2$–structure allows for a 7–dimensional analogue of conventional Yang-Mills theory. The crucial fact is that $\varphi_0$ yields a notion of (anti-)self-duality for 2–forms, as $\Lambda^2 = \Lambda^2(\mathbb{R}^7)^\ast$ splits into irreducible representations.

Since $G_2 \subset SO(7)$, we have $\mathfrak{g}_2 \subset \mathfrak{so}(7) \simeq \Lambda^2$, under the standard identification of 2–forms with antisymmetric matrices. Denote $\Lambda^2_+ := \mathfrak{g}_2$ and $\Lambda^2_- \mathfrak{g}_2$ its orthogonal complement in $\Lambda^2$:

$$
\Lambda^2 = \Lambda^2_+ \oplus \Lambda^2_-.
$$

Then $\dim \Lambda^2_+ = 7$, and we identify $\Lambda^2_+ \simeq \mathbb{R}^7$ as the linear span of the contractions $\alpha_i := v_i \wedge \varphi_0$. Indeed the $G_2$–action on $\Lambda^2_+$ translates to the standard one on $\mathbb{R}^7$:

$$
(g.a) (u_1, u_2) = a_i (g.u_1, g.u_2) = \varphi_0 (v_i, g.u_1, g.u_2) = \varphi_0 (g^{-1}.v_i, u_1, u_2) = ((g^{-1}.v_i) \wedge \varphi_0) (u_1, u_2).
$$

One checks easily that $\alpha_i \in (\mathfrak{g}_2)^1 \subset \mathfrak{so}(7)$; moreover [Bry, p. 541]:

**Claim 6.** The space $\Lambda^2_\pm$ has the following properties:

1. $\Lambda^2_\pm$ is an irreducible representation of $G_2$;
2. $\Lambda^2_\pm$ is the $+2$–eigenspace of the $G_2$–equivariant linear map $T: \Lambda^2 \rightarrow \Lambda^2$

$$
\eta \mapsto T\eta = \ast (\eta \wedge \varphi_0).
$$

By analogy with the 4–dimensional case, we will call $\Lambda^2_+$ (resp. $\Lambda^2_-$) the space of self-dual or SD (resp. anti-self-dual or ASD) forms. Still in the light of Claim 6, there is a convenient characterisation of the ‘positive’ projection in (3). The 4–form dual to the $G_2$–structure (1) in our convention is

$$
\ast \varphi_0 = (e^{34} - e^{12}) \wedge e^{67} + (e^{42} - e^{13}) \wedge e^{75} + (e^{23} - e^{14}) \wedge e^{56} + e^{1234}
$$

and we consider the $G_2$–equivariant map (between representations of $G_2$):

$$
L_{\ast \varphi_0}: \Lambda^2 \rightarrow \Lambda^6
$$

$$
\eta \mapsto \eta \wedge \ast \varphi_0.
$$

Since $\Lambda^2_\pm$ and $\Lambda^6$ are irreducible representations and $\dim \Lambda^2_+ = \dim \Lambda^6$, Schur’s Lemma gives:
Proposition 7. The above map restricts to $\Lambda^2_+^\pm$ as
\[ L_{\ast\varphi_0}|_{\Lambda^2_+^\pm} : \Lambda^2_+^\pm \to \Lambda^6 \quad \text{and} \quad L_{\ast\varphi_0}|_{\Lambda^2_+^-} = 0. \]

Proof. It only remains to check that the restriction $L_{\ast\varphi_0}|_{\Lambda^2_+^\pm}$ is nonzero. Using (1) and (4) we find, for instance,
\[ L_{\ast\varphi_0}\alpha_1 = (v_1 \wedge \varphi_0) \wedge \ast \varphi_0 = (e^{25} + e^{36} + e^{47}) \wedge \ast \varphi_0 = e^{234567}. \]
Not only does this prove the statement, but it also suggests carrying out the full inspection of the elements $L_{\ast\varphi_0}\alpha_i$, which yields
\[ L_{\ast\varphi_0}\alpha_i = e^{1...i...7} \]
as a somewhat aesthetical fact.

Hence we may think of $L_{\ast\varphi_0}$, the ‘wedge product with $\ast\varphi_0$’, as the orthogonal projection of $2$–forms into the subspace $\Lambda^2_+^\pm \simeq \Lambda^6$.

Consider now a vector bundle $E \to M$ over a compact $G_2$–manifold $(M, \varphi)$; the curvature $F_A$ of some connection $A$ conforms to the splitting (3):
\[ F_A = F_A^+ \oplus F_A^-, \quad F_A^\pm \in \Omega^2_\pm (\text{End } E). \]
The $L^2$–norm of $F_A$, if it is well-defined (e.g. if $M$ is compact), is the Yang-Mills functional:
\[ YM(A) := \|F_A\|^2 = \|F_A^+\|^2 + \|F_A^-\|^2. \] (5)

It is well-known that the values of $YM(A)$ can be related to a certain characteristic class of the bundle $E$,
\[ \kappa(E) := \int_M \text{tr} \left( F_A^2 \right) \wedge \varphi. \]
Using the property $d\varphi = 0$, a standard argument of Chern-Weil theory [M-S] shows that $[\text{tr} \left( F_A^2 \right) \wedge \varphi]dR$ is independent of $A$, thus the integral is indeed a topological invariant. Using the eigenspace decomposition from Claim 6 we find:
\[ \kappa(E) = - \int_M (F_A \wedge (F_A \wedge \varphi))_g = - (F_A, 2F_A^+ - F_A^-) = \|F_A^-\|^2 - 2\|F_A^+\|^2. \]
Comparing with (5) we get:
\[ YM(A) = 3\|F_A^+\|^2 + \kappa(E) = \frac{1}{2} \left( 3\|F_A^-\|^2 - \kappa(E) \right) \]
As expected, $YM(A)$ attains its absolute minimum at a connection whose curvature is either SD or ASD. Moreover, since $YM \geq 0$, the sign of $\kappa(E)$ obstructs the existence of one type or the other. Fixing $\kappa(E) \geq 0$, these facts motivate our interest in the $G_2$–instanton equation:
\[ F_A^+ := (L_{\ast\varphi}|_{\Omega^2_+})^{-1} (F_A \wedge \varphi) = 0 \] (6)
where $L_{\ast\varphi}$ is given pointwise by $L_{\ast\varphi_0}$, as above in page 7.
1.3 Relation with 3–dimensional Hermitian Yang-Mills

We now switch, for a moment, to the complex geometric picture and consider a holomorphic vector bundle $E \to W$ over a Kähler manifold $(W, \omega)$. To every Hermitian metric $H$ on $E$ there corresponds a unique compatible (Chern) connection $A = A_H$, with $F_A \in \Omega^{1,1} (\text{End } E)$. In this context, the Hermitian Yang-Mills (HYM) condition is the vanishing of the $\omega$–trace:

$$\hat{F}_A := F_A \cdot \omega = 0 \in \Omega^0 (\text{End } E).$$  

(7)

If $W$ is a Calabi-Yau 3–fold, the Riemannian product $M = W \times S^1$ is naturally a real 7–dimensional $G_2$–manifold [Bry, p.564][Joy, 11.1.2]. In this Subsection, we will check the corresponding gauge-theoretic fact that HYM connections on $E \to W$ pull back to $G_2$– instantons over the product $M$.

Indeed, given the Kähler form $\omega$ and holomorphic volume form $\Omega$ on $W$, we obtain a natural $G_2$–structure [ibid.] as follows:

$$\varphi := \omega \wedge d\theta + \text{Im } \Omega, \quad \ast \varphi = \frac{1}{2} \omega \wedge \omega - \text{Re } \Omega \wedge d\theta.$$  

(8)

Here $d\theta$ is the coordinate 1–form on $S^1$, and the Hodge star on $M$ is given by the product of the Kähler metric on $W$ and the standard flat metric on $S^1$.

Now, a connection $A$ on $E \to W$ pulls back to $p_1^* E \to M$ via the canonical projection

$$p_1 : W \times S^1 \to W,$$

and so do the forms $\omega$ and $\Omega$ (for simplicity I keep the same notation for objects on $W$ and their pull-backs to $M$). In particular, under the isomorphism $L_{\ast \varphi}|_{\Omega^2_+} : \Omega^2_+ \to \Omega^6$ [Proposition 7], the SD part of curvature maps to

$$L_{\ast \varphi} (F_A^+) = F_A \wedge \varphi = \frac{1}{2} F_A \wedge (\omega \wedge \omega - 2 \text{Re } \Omega \wedge d\theta).$$  

(9)

**Proposition 8.** Given a holomorphic vector bundle $E \to W$ over a Calabi-Yau 3–fold, the canonical projection $p_1 : M = W \times S^1 \to W$ gives a one-to-one correspondence between Hermitian Yang-Mills connections on $E$ and $S^1$–invariant $G_2$–instantons on the pull-back bundle $p_1^* E$.

**Proof.** A HYM connection $A$ satisfies $F_A \in \Omega^{1,1} (W)$ and $\hat{F}_A = 0$. Taking account of bidegree, the former implies $F_A \wedge \Omega = F_A \wedge \overline{\Omega} = 0$, hence

$$F_A \wedge 2 \text{Re } \Omega = F_A \wedge (\Omega + \overline{\Omega}) = 0.$$

Replacing this in (9), we check that $F_A^+$ maps isomorphically to the origin:

$$F_A^+ \cong \frac{1}{2} F_A \wedge \omega \wedge \omega \quad \in \quad \Omega^{3,3} (W)$$

$$= (\text{cst.}) \hat{F}_A \otimes \text{dVol} (W)$$

$$= 0$$

using the HYM condition $\hat{F}_A = 0$ and $\omega \wedge \omega = (\text{cst.}) \frac{1}{\|\omega\|^2} \ast \omega$. \(\square\)

Thus, by solving the HYM equation over $CY^3$, one obtains $G_2$–instantons over the product $CY^3 \times S^1$, which is this article’s motivation. For some further discussion of $G_2$–manifolds of the form $CY^3 \times S^1$, see [Brg].
1.4 Asymptotically cylindrical Calabi-Yau 3–folds

I will give a brief account of the building blocks in the construction of compact $G_2$–manifolds by A. Kovalev [Kov1, Kov2]. These are achieved by gluing together, in an ingenious way, a pair of noncompact asymptotically cylindrical 7–manifolds of holonomy $SU(3)$ along their tubular ends. Such components are of the form $W \times S^1$, where $(W, \omega)$ is 3–fold given by a noncompact version of the Calabi conjecture, thus they carry $G_2$–structures as in Subsection 1.3.

**Definition 9.** A base manifold for our purpose is a compact, simply-connected Kähler 3–fold $(\tilde{W}, \tilde{\omega})$ admitting the following:

- a $K3$–surface $D \in |-K_{\tilde{W}}|$ (simply-connected, compact, $c_1(D) = 0$) with holomorphically trivial normal bundle $\mathcal{N}_{D/\tilde{W}}$;
- the complement $W = \tilde{W} \setminus D$ has finite fundamental group $\pi_1(W)$.

One wants to think of $W$ as a compact manifold $W_0$ with boundary $D \times S^1$ and a topologically cylindrical end attached there:

$$W = W_0 \cup W_\infty$$

$$W_\infty \simeq (D \times S^1 \times \mathbb{R}_+) .$$

![Figure 1: Asymptotically cylindrical Calabi-Yau 3-fold W](image)

Here $W_S$ denotes the truncation of $W$ at ‘length $S$’ on the $\mathbb{R}_+$ component.

Let $s_0 \in H^0(\tilde{W}, K_{\tilde{W}}^{-1})$ be the defining section of the divisor at infinity $D$; then, $s_0$ defines a holomorphic coordinate $z$ on a neighbourhood $U \subset \tilde{W}$ of $D$. Since $\mathcal{N}_{D/\tilde{W}}$ is trivial, we may assume $U$ is a tubular neighbourhood of infinity, i.e.,

$$U \simeq D \times \{ |z| < 1 \}$$

as real manifolds. Denoting $s \in \mathbb{R}_+$ and $\alpha \in S^1$, we pass to the asymptotically cylindrical picture (10) via $z = e^{-s-i\alpha}$. For later reference, let us establish a straightforward result on the decay of differential forms along $W_\infty$:

$$10$$
Lemma 10. With respect to the model cylindrical metric, 
$$|dz|, |d\bar{z}| = O \left( \left| e^{-s} \right| \right).$$

Proof. In holomorphic coordinates \((z, \xi^1, \xi^2)\) around \(D \subset U\) with \(z = e^{-s-ia}\) and \(D = \{ z = 0 \} \), we have \(|z| = |\bar{z}| = e^{-s}\) and
\[
dz = -z \left( ds - i \alpha \right),
\]
\[
d\bar{z} = -\bar{z} \left( ds + i \alpha \right). \]

Furthermore, the holomorphic coordinate \(z\) on \(U\) is the same as a local holomorphic function \(\tau\), say. The assumptions that \(\bar{W}\) is simply connected, compact and Kähler imply the vanishing in Dolbeault cohomology [Huy, Corollary 3.2.12, p.129]
\[
H^{0,1}(\bar{W}) \oplus \overline{H^{0,1}(\bar{W})} = H^1(\bar{W}, \mathbb{C}) = 0,
\]
in which case one can solve Mittag-Leffler’s problem for \(1/\tau\) on \(\bar{W}\) [G-H, pp.34-35] and \(\tau\) extends to a global fibration
\[
\tau : \bar{W} \xrightarrow{D} \mathbb{CP}^1 \tag{12}
\]
by \(K3\)-surfaces generically diffeomorphic (though not in general biholomorphic) to \(D\). In fact, this holomorphic coordinate can be seen as pulled-back from \(\mathbb{CP}^1\), i.e., \(K_W^{-1}\) is the pull-back of a degree-one line bundle \(L \to \mathbb{CP}^1\) and \(z = \tau^* s_0\) for some \(s_0 \in H^0(L)\) [Kov$_2$, §3].

Finally, in order to state Kovalev’s noncompact version of the Calabi conjecture, notice that the \(K3\) divisor \(D\) has a complex structure \(I\) inherited from \(\bar{W}\); by Yau’s theorem, it admits a unique Ricci-flat Kähler metric in \(\bar{\omega}|_D\):
\[
\kappa_I := \bar{\omega}|_D + \frac{1}{2\pi} dd^c u_0 \tag{13}
\]
where \(u_0\) is smooth and \(\text{supp}(u_0) \subset U\). Ricci-flat metrics on a complex surface are hyper-Kähler [BHPV, pp.336-338], which means \(D\) admits additional complex structures \(J\) and \(K = IJ\) satisfying the quaternionic relations, and the metric is also Kähler with respect to any combination \(aI + bJ + cK\) with \((a, b, c) \in S^2\). Let us denote their Kähler forms by \(\kappa_J\) and \(\kappa_K\). In those terms we have [Kov$_1$, Theorem 2.2]:

Theorem 11 (Calabi-Yau-Tian-Kovalev). For \(W = \bar{W} \setminus D\) as in Definition 9:

1. \(W\) admits a complete Ricci-flat Kähler metric \(\omega\);

2. along the cylindrical end \(D \times S^1 \times (\mathbb{R}_+)^3\), the Kähler form \(\omega\) and its holomorphic volume form \(\Omega\) are exponentially asymptotic to those of the (product) cylindrical metric induced from \(D\):
\[
\omega_{\infty} = \kappa_I + ds \wedge d\alpha
\]
\[
\Omega_{\infty} = (ds + i d\alpha) \wedge (\kappa_J + i \kappa_K) ; \tag{14}
\]

3. \(\text{Hol} (\omega) = SU(3)\), i.e., \(W\) is Calabi-Yau.

By exponentially asymptotic a forms can be written along the tubular end as
\[
\omega|_{W_\infty} = \omega_\infty + d\psi, \quad \Omega|_{W_\infty} = \Omega_\infty + d\Psi
\]
where the 1-form \(\psi\) and the 2-form \(\Psi\) are smooth and decay as \(O \left( e^{-\lambda s} \right)\) in all derivatives with respect to \(\omega_\infty\), for any \(\lambda < \min \left\{ 1, \sqrt{\lambda_1(D)} \right\}\), and \(\lambda_1(D)\) is the first eigenvalue of the Laplacian on differential forms on \(D\), with the metric \(\kappa_I\).
2 Hermitian Yang-Mills problem

The interplay between the algebraic and differential geometry of vector bundles is one of the main aspects of gauge theory, dating back at least to Narasimhan and Seshadri’s famous correspondence between stability and flatness over Riemann surfaces. In the general Kähler setting, given a bundle $E$ over $(W, \omega)$, Hitchin and Kobayashi suggested a generalisation of the flatness condition for a Hermitian metric $H$, in terms of the natural contraction of $(1, 1)$–forms, expressed by the Hermitian-Einstein (HE) condition:

$$\hat{F}_H := F_H \cdot \omega = \lambda \cdot \text{Id} \in \Omega^0(\text{End} E), \quad \lambda \in \mathbb{i} \mathbb{R},$$

where $\lambda$ is proportional to the slope of $E$. The one-to-one correspondence between indecomposable HE connections and stable holomorphic structures was then established by Donaldson over projective algebraic surfaces in [Don1] and extended to compact Kähler manifolds of any dimension by Uhlenbeck and Yau, with a simpler proof in the projective case again by Donaldson in [Don2]. The methods organised in those two articles rely on the interpretation of HE metrics as critical points of the Yang-Mills functional (henceforth Hermitian Yang-Mills metrics), which allows for the application of PDE techniques, inspired in Eeels and Sampson [E-S], to prove convergence and regularity of the associated gradient flow. That set of tools forms the theoretical backbone of the present investigation.

Several noncompact variants of the question unfold in the work of numerous authors, but two texts in particular have an immediate, pivotal relevance to my proposed problem. Guo’s study of instantons over certain cylindrical 4-manifolds [Guo] shows that one may obtain uniform bounds on the ‘heat kernel’ of the parabolic flow under suitable asymptotic conditions on the ‘bundle at infinity’, hence prove regularity of solutions by bootstrapping, as in the compact case. On the other hand, Simpson explores the more general, higher-dimensional noncompact case [Sim], assuming an exhaustion by compact subsets and truncating ‘sufficiently far’ with fixed Dirichlet conditions, then taking the limit in the family of solutions obtained over each compact manifold-with-boundary. Both insights will be effectively combined in the technical argument to follow.

2.1 The evolution equation on $W$ The evolution equation on $W$

Let $(W, \omega)$ be an asymptotically cylindrical Calabi-Yau 3–fold as given by Theorem 11 and $E \to W$ the restriction of a holomorphic vector bundle on $\tilde{W}$ under certain stability assumptions. Our guiding thread is the perspective of obtaining a smooth Hermitian metric $H$ on $E$ satisfying the Hermitian Yang-Mills condition

$$\hat{F}_H = 0 \in \Omega^0(\text{End} E), \quad (15)$$

which would solve the associated $G_2$–instanton equation on $W \times S^1$ [Proposition 8]. Consider thus the following analytical problem.

Let $W_S$ be the compact manifold (with boundary) obtained by truncating $W$ at length $S$ down the tubular end. On each $W_S$ we consider the nonlinear ‘heat flow’

$$\begin{cases} H^{-1} \frac{\partial H}{\partial t} = -2i\hat{F}_H & \text{on } W_S \times [0, T] \\ H(0) = H_0 \end{cases} \quad (16)$$

with smooth solution $H_S(t)$, defined for some (short) $T$, since (16) is parabolic. Here $H_0$ is a fixed metric on $E \to W$ extending the pullback ‘near infinity’ of an instanton over $D$, and one imposes
the Dirichlet boundary condition

\[ H \mid_{\partial W} = H_0 \mid_{\partial W}. \quad (17) \]

Taking suitable \( t \to T \) and \( S \to \infty \) limits of solutions to (16) over compact subsets \( W_{S_0} \), we obtain a solution \( H(t) \) to the evolution equation defined over \( W \), for arbitrary \( T < \infty \), with two key properties:

- each metric \( H(t) \) is exponentially asymptotic in all derivatives to \( H_0 \) over typical finite cylinders along the tubular end;
- if \( H(t) \) converges as \( t \leq T \to \infty \), then the limit is a HYM metric on \( E \).

Moreover, the infinite-time convergence of \( H(t) \) over \( W \) can be reduced to establishing a lower ‘energy bound’ on \( \hat{F}_{H(t)} \), over a domain down the tube of length roughly proportional to \( \| H(t) \|_{C^0(W)} \). That result is eventually proved, yielding a smooth HYM solution as the \( t \to \infty \) limit of the family \( H(t) \).

2.2 The concept of asymptotic stability

We start with a holomorphic vector bundle \( E \) over the original compact Kähler 3-fold \((\bar{W}, \bar{\omega})\) [cf. Section 1.4] and we ask that its restriction \( E|_D \) to the divisor at infinity \( D \) be (slope-)stable with respect to \([\bar{\omega}]\). As stability is an open condition, it also holds over the nearby K3 ‘slices’ in the neighbourhood of infinity \( U \supset D \) [cf. (11)], which we denote:

\[ D_z := D \times \{ z \} \subset U. \]

So there exists \( \delta > 0 \) such that \( E|_{D_z} \) is also stable for all \( |z| < \delta \). In view of this hypothesis, we will say colloquially that \( E|_{\bar{W} \setminus D} \), denoted simply \( E \to W \), is stable at infinity over the noncompact Calabi-Yau \((W, \omega)\) given by Theorem 11; this is consistent since \([\omega] = [\bar{\omega}|_W]\). Such asymptotic stability assumption will be crucial in establishing the time-uniform ‘energy’ bounds on the solution to the evolution problem [cf. Lemma 45]. In summary:

**Definition 12.** A bundle \( E \to W \) will be called stable at infinity (or asymptotically stable) if it is the restriction of a holomorphic vector bundle \( E \to \bar{W} \) such that \( E|_D \) is stable, hence also \( E|_{D_z} \) for \( |z| < \delta \) (in particular, \( E \) is indecomposable as a direct sum.)

Explicit examples satisfying Definition 12 can be obtained for instance by monad techniques, following the ADHM paradigm [cf. Subsection 4.2].

The last ingredient is a suitable metric for comparison. Fix a smooth trivialisation of \( E|_U \) ‘in the \( z\)–direction’ over the neighbourhood of infinity \( U \approx D \times \{|z| < 1\} \subset \bar{W} \), i.e., an isomorphism

\[ E|_U \simeq \{|z| < 1\} \times E|_D. \]

Define \( H_0|_D \) as the Hermitian Yang-Mills metric on \( E|_D \) and denote \( K \) its pull-back to \( E|_U \) under the above identification. For definiteness, let us fix

\[ \det H_0|_D = \det K = 1. \quad (18) \]

Indeed, for the purpose of Yang-Mills theory we may always assume \( \det H = 1 \), since the \( L^2 \)–norm of \( \text{tr} F_H \) is minimised independently by the harmonic representative of \([c_1(E)]^dR\).
Then, for each $0 \leq |z| < \delta$, the stability assumption gives a self-adjoint element $h_z \in \text{End} \left( \mathcal{E}|_{D_z} \right)$ such that $H_0|_{D_z} := K \cdot h_z$ is the HYM metric on $\mathcal{E}|_{D_z}$. Supposing, for simplicity, that $\mathcal{E}$ is an $SL(n, \mathbb{C})$–bundle and fixing $\det h_z$, I claim the family $h_z$ varies smoothly with $z$ across the fibres. This can be read from the HYM condition; writing $F_{0|z}$ for the curvature of the Chern connection of $H_0|_{D_z}$ and $\Lambda_z$ for the contraction with the Kähler form on $D_z$ (hence, by definition, $\hat{F} := \Lambda_z F$), we have:

$$\hat{F}_{0|z} = 0 \iff P_z (h_z) := \Delta_K h_z + i \left( \hat{F}_K \cdot h_z + h_z \cdot \hat{F}_K \right) + 2i\Lambda_z \left( \partial_K h_z \cdot h_z^{-1} \cdot \partial_K h_z \right) = 0$$

where $\{P_z\}$ is a family of nonlinear partial differential operators depending smoothly on $z$. Linearising [Don1, p.14] and using the assumption $\hat{F}_{0|0} = 0$ we find $(\delta_z P)|_{z=0} = \Delta_0$, the Laplacian of the reference metric, which is invertible on metrics with fixed determinant. This proves the claim, by the implicit function theorem; namely, we obtain a smooth bundle metric $H_0$ over the neighbourhood $U$ which is ‘slicewise’ HYM over each cross-section $D_z$.

Extending $H_0$ in any smooth way over the compact end $\bar{W} \setminus U$, we obtain a smooth Hermitian bundle metric on the whole of $\mathcal{E}$. For technical reasons, I also require $H_0$ to have finite energy [cf. equation (29) in Subsection 2.5]:

$$\|\hat{F}_{0}\|_{L^2(W, \omega)} < \infty.$$  

**Definition 13.** A reference metric $H_0$ on the asymptotically stable bundle $\mathcal{E} \to W$ is (the restriction of) a smooth Hermitian metric on $\mathcal{E} \to \bar{W}$ such that:

- $H_0|_{D_z}$ are the corresponding HYM metrics on $\mathcal{E}|_{D_z}$, $0 \leq |z| < \delta$;
- $\det H_0 \equiv 1$;
- $H_0$ has finite energy.

**Remark 14.** Denote $A_0$ the Chern connection of $H_0$, relative to the fixed holomorphic structure of $\mathcal{E}$. Then by assumption each $A_0|_{D_z}$ is ASD [D-K, p.47]. In particular, $A_0|_D$ induces an elliptic deformation complex

$$\Omega^0 (\mathfrak{g}) \overset{d_{A_0}}{\longrightarrow} \Omega^1 (\mathfrak{g}) \overset{d_{A_0}}{\longrightarrow} \Omega^2 (\mathfrak{g})$$

where $\mathfrak{g} = \text{Lie} (\mathcal{G}|_D)$ generates the gauge group $\mathcal{G} = \text{End} \mathcal{E}$ over $D$. Thus, the requirement that $\mathcal{E}|_D$ be indecomposable imposes a constraint on cohomology:

$$H^0_{A_0|_D} = 0,$$

as a non-zero horizontal section would otherwise split $\mathcal{E}|_D$.

Furthermore, although this will not be essential here, it is worth observing that one might want to restrict attention to acyclic connections [Don1, p.25], i.e., whose gauge class $[A_0]$ is isolated in $\mathcal{M}_{\mathcal{E}|_D}$. In other words, such requirement would prohibit infinitesimal deformations of $A_0$ across gauge orbits, which translates into the vanishing of the next cohomology group:

$$H^1_{A_0|_D} = 0.$$

This nondegeneracy will be central in the discussion of the gluing theory $[\text{SaE}_2]$.

The underlying heuristic in our definitions is the analogy between looking for finite energy solutions to partial differential equations over a compact manifold, with fixed values on a hypersurface, say, and over a space with cylindrical ends, under exponential decay to a suitable condition at infinity.
2.3 Short time existence of solutions and $C^0$–bounds

The short-time existence of a solution to our evolution equation is a standard result:

**Proposition 15.** Equation (16) admits a smooth solution $H_S(t)$, $t \in [0,\varepsilon[$, for $\varepsilon$ sufficiently small.

**Proof.** By the Kähler identities, (16) is equivalent to the parabolic equation

$$
\frac{\partial h}{\partial t} = - \left\{ \Delta_0 h + i \left( \hat{F}_0, h + h, \hat{F}_0 \right) + 2i \lambda \left( \bar{\partial}_0 h, h^{-1}, \partial_0 h \right) \right\}
$$

for a positive self-adjoint endomorphism $h(t) = H_0^{-1} H_S(t)$ of the bundle, with Hermitian metric $H_0$. Then the claim is an instance of the general theory [Ham, Part IV, §11, p.122].

The task of extending solutions for all time is left to Subsection 2.4; let us first collect some preliminary results. We begin by recalling the parabolic maximum principle:

**Lemma 16** (Maximum principle). Let $X$ be a compact Riemannian manifold with boundary and suppose $f \in C^\infty_\mathbb{R}^+ \times X$ is a nonnegative function satisfying:

$$
\left( \frac{d}{dt} + \Delta \right) f_t(x) \leq 0, \quad \forall (t,x) \in \mathbb{R}^+_t \times X
$$

and the Dirichlet condition:

$$
f_t|_{\partial X} = 0.
$$

Then either $\sup X f_t$ is a decreasing function of $t$ or $f \equiv 0$.

The crucial role of the Kähler structure in this type of problem is that it often suffices to control $\sup |\hat{F}_H|$ in order to obtain uniform bounds on $H$ and its derivatives, hence to take limits in one-parameter families of solutions. Let us then establish such a bound; I denote generally $\hat{e} := |\hat{F}_H|^2_H$ and, in the immediate sequel, $\hat{e}_t := |\hat{F}_{H_S(t)}|^2_{H_S(t)}$.

**Corollary 17.** Let $\{H_S(t)\}_{0 \leq t < T}$ be a smooth solution to (16) on $W_S$. Then $\sup_{W_S} \hat{e}_t$ is non-increasing with $t$; in fact, there exists $B > 0$, independent of $S$ and $T$, such that

$$
\sup_{W_S} |\hat{F}_{H_S(t)}|^2 \leq B. \quad (19)
$$

**Proof.** Using the Weitzenböck formula [D-K, p. 221], one finds

$$
\left( \frac{d}{dt} + \Delta \right) \hat{e}_t = -|d'_{H_S(t)} F_{H_S(t)}|^2 \leq 0. \quad (20)
$$

At the boundary $\partial W_S$, for $t > 0$, the Dirichlet condition (17) means precisely that $H_S|_{\partial W_S}$ is constant, hence the evolution equation gives $\hat{e}_t|_{\partial W_S} = |H_S^{-1} \hat{H}_S|^2|_{\partial W_S} \equiv 0$. Then $B := \sup W_S \hat{e}_0$. \qed

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In order to obtain $C^0$-bounds and state our first convergence result, let us digress briefly into two ways of measuring metrics, which will be convenient at different stages. First, given two metrics $H$ and $K$ of same determinant we write

$$H = K.e^{\xi}$$

where $\xi \in \Gamma(\text{End} \mathcal{E})$ is traceless and self-adjoint with respect both to $H$ and $K$, and define

$$\bar{\lambda} : \text{Dom}(\xi) \subseteq W \to \mathbb{R}_{\geq 0}$$

as the highest pointwise eigenvalue of $\xi$. This is a nonnegative, Lipschitz function on $W$, and a transversality argument shows that it is, in fact, smooth away from a set of real codimension 3 [Don2, pp.240, 244]. Now, clearly

$$|H - K| \leq (\text{cst}).|K|.(e^{\bar{\lambda}} - 1),$$

so it is enough to control sup $\bar{\lambda}$ to get a bound on $\|H\|_{C^0}$ relatively to $K$. Except where otherwise stated, we will assume $K = H_0$ to be the reference metric.

Remark 18. The space of continuous (bounded) bundle metrics is complete with respect to the $C^0$-norm [Rud, Theorem 7.15], so the uniform limit of a family of metrics is itself a well-defined metric.

Second, there is an alternative notion of ‘distance’ [Don1, Def. 12], which is more natural to our evolution equation, as will become clear in the next few results:

Definition 19. Given any two Hermitian metrics $H, K$ on a complex vector bundle $\mathcal{E}$, let

$$\tau(H, K) = \text{tr} H^{-1} K$$

$$\sigma(H, K) = \tau(H, K) + \tau(K, H) - 2\text{rk}\mathcal{E}.$$ 

The function $\sigma$ is symmetric, nonnegative (since $a + a^{-1} \geq 2, \forall a \geq 0$) and it vanishes if and only if $H = K$.

Although $\sigma$ is not strictly speaking a distance, it does provide an equivalent criterion for $C^0$-convergence of metrics with fixed determinant, based on the following estimate:

$$\sigma(H, K) = \text{tr} (e^\xi + e^{-\xi} - 2\text{Id}) \geq e^{\bar{\lambda}} + e^{-\bar{\lambda}} - 2 = e^{-\bar{\lambda}}(e^{\bar{\lambda}} - 1)^2.$$ 

Remark 20. A sequence $\{H_i\}$ of metrics with fixed determinant converges to $H$ in $C^0$ if, and only if, sup $\sigma(H_i, H) \to 0$. The former because sup $e^{\bar{\lambda}} \to 1$ and the latter because obviously

$$\limsup |H_i^{-1}H - I| = \limsup |H^{-1}H_i - I| = 0.$$ 

Indeed, $\sigma$ compares to $\bar{\lambda}$ by increasing functions; from the previous inequality we deduce, in particular,

$$e^{\bar{\lambda}} \leq \sigma + 2.$$ 

(23)
Conversely, it is easy to see that
\[ \sigma \leq 2r. e^{(r-1)\lambda} \quad \text{with} \quad r := \text{rk} \mathcal{E}. \]

Furthermore, in the context of our evolution problem, \( \sigma \) lends itself to applications of the maximum principle [Don1, Proposition 13]:

**Lemma 21.** If \( H_1(t) \) and \( H_2(t) \) are solutions of evolution equation (16), then \( \sigma(t) = \sigma(H_1(t), H_2(t)) \) satisfies
\[ \left( \frac{d}{dt} + \Delta \right) \sigma \leq 0. \]

Combining Lemma 16 and Lemma 21, we obtain the following straightforward consequences:

**Corollary 22.** Any two solutions to (16) on \( W_S \), with Dirichlet boundary conditions (17), which are defined for \( t \in [0, T] \), coincide for all \( t \in [0, T] \).

**Corollary 23.** If a smooth solution \( H_S(t) \) to (16) on \( W_S \), with Dirichlet boundary conditions (17), is defined for \( t \in [0, T] \), then \( H_S(t) \xrightarrow{c^0} H_S(T) \) and \( H_S(T) \) is continuous.

**Proof.** The argument is analogous to [Don1, Corollary 15]. As discussed in Remarks 18 and 20, it suffices to show that \( \sup \sigma(H_S(t), H_S(t')) \to 0 \) when \( t' > t \to T \). Clearly
\[ f_t := \sup_{W_S} \sigma(H_S(t), H_S(t + \tau)) \]
satisfies the (boundary) conditions of Lemma 16, so it is decreasing and
\[ \sup_{W_S} \sigma(H_S(t), H_S(t + \tau)) < \sup_{W_S} \sigma(H_S(0), H_S(\tau)) \]
for all \( t, \tau, \delta > 0 \) such that \( 0 < T - \delta < t < t + \tau < T \). Taking \( \delta < \varepsilon \) in Proposition 15 we ensure continuity of \( H_S(t) \) at \( t = 0 \), so the right-hand side is arbitrarily small for all \( t \) sufficiently close to \( T \). Hence the family \( \{H_S(t)\}_{t \in [0, T]} \) is uniformly Cauchy as \( t \to T \) and the limit is continuous. \( \square \)

Looking back at (16), we may interpret \( \hat{F}_H \) intuitively as a velocity vector along 1-parameter families \( H(t) \) in the space of Hermitian metrics. In this case, Corollary 17 suggests an absolute bound on the variation of \( H \) for finite (possibly small) time intervals \( 0 \leq t \leq T \) where solutions exist. A straightforward calculation yields
\[
\dot{\sigma} = \frac{d}{dt} \sigma(H_S(t), H_0) \leq \text{tr} \left( \left( H_S^{-1} \dot{H}_S \right) \cdot (e^\delta - e^{-\delta}) \right) \\
\leq 2 \text{tr} \left( i \hat{F}_H \right) \cdot (e^\delta - e^{-\delta}) \leq (\text{est.}) \| \hat{F}_H \| e^{\lambda} \leq B.e^\lambda
\]
using the evolution equation and Corollary 17. Combining with (23) and integrating,

\[ e^{\lambda} \leq \sigma + 2 \leq 2e^{\beta T} := C_T, \quad \forall t \leq T. \quad (24) \]

Consequently, for any fixed \( S_0 > 0 \), the restriction of \( H_S(t) \) to \( W_{S_0} \), lies in a \( C^0 \)-ball of radius \( \log C_T \) about \( H_0 \) in the space of Hermitian metrics, for all \( S \geq S_0 \) and \( t \leq T \). Since \( C_T \) doesn’t depend on \( S \), the next Lemma shows that the \( H_S \) converge uniformly on compact subsets \( W_{S_0} \subset W \) for any fixed interval \([0, T]\) (possibly trivial) where solutions exist for all \( S > S_0 \):
Lemma 24. If there exist $C_T > 0$ and $S_0 > 0$ such that, for all $S' > S \geq S_0$, the evolution equation
\[
\begin{cases}
H^{-1} \frac{\partial H}{\partial t} = -2i\hat{F}_H \\
H(0) = H_0, \quad H|_{\partial W_S} = H_0|_{\partial W_S}
\end{cases}
on W_S \times [0, T]
\]
amits a smooth solution $H_S$ satisfying
\[
\sigma(H_S, H'_{S'})|_{W_S} \leq C_T,
\]
then the $H_S$ converge uniformly to a (continuous) family $H$ defined on $W_{S_0} \times [0, T]$.

Proof. It is of course possible to find a function $\phi : W \to \mathbb{R}$ such that
\[
\begin{cases}
\phi \equiv 0, \text{ on } W_0 \\
\phi(y, \alpha, s) = s, \text{ for } s \geq 1 \\
|\Delta \phi| \leq L
\end{cases}
\]
thus giving an exhaustion of $W$ by our compact manifolds-with-boundary $W_S \simeq \{p \in W \mid \phi(p) \leq S\}$,
$S \geq S_0$. Taking $S_0 < S < S'$, I claim
\[
\sigma(H_S(t)|_{W_S}, H_{S'}(t)|_{W_S}) (p) \leq \frac{C_T}{S} (\phi(p) + Lt), \quad \forall (p, t) \in W_S \times [0, T],
\]
which yields the statement, since its restriction to $W_{S_0}$ gives
\[
\sigma(H_S, H'_{S'})|_{W_{S_0}} \leq \frac{C_T}{S} (S_0 + LT) \xrightarrow{S \to \infty} 0.
\]
The inequality holds trivially at $t = 0$ and on $\partial W_S$ by (24), hence on the whole of $W_S \times [0, T]$, by
the maximum principle [Lemma 16]:
\[
\left(\frac{d}{dt} + \Delta\right) \left(\sigma(H_S, H_{S'}) - \frac{C_T}{S} (\phi + Lt)\right) \leq -\frac{C_T}{S} (\Delta \phi + L) \leq 0,
\]
using $|\Delta \phi| \leq L$ and Lemma 21. \qed

This defines a 1-parameter family of continuous Hermitian bundle metrics over $W$:
\[
H(t) := \lim_{S \to \infty} H_S(t), \quad t \leq T.
\]
(25)

It remains to show that any $H_S$ can be smoothly extended for all $t \in [0, \infty]$ and that the limit $H(t)$
is itself a smooth solution of the evolution equation on $W$, with satisfactory asymptotic properties
along the tubular end.

2.4 Smooth solutions for all time

Following a standard procedure, we will now check that the bound (19) allows us to smoothly
extend solutions $H_S$ up to $t = T$, hence past $T$, for all time [Sim, Corollary 6.5]. More precisely,
we can exploit the features of our problem to control a Sobolev norm $\|\Delta H\|$ by sup $|\hat{F}|$ and weaker
norms of $H$. The fact that one still has ‘Gaussian’ bounds on the norm of the heat kernel in our
noncompact case will be central to the argument [Theorem 60, Appendix A.3].

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Lemma 25. Let $H$ and $K$ be smooth Hermitian metrics on a holomorphic bundle over a Kähler manifold with Kähler form $\omega$; then, for any submultiplicative pointwise norm $\|\|$,

$$
\|\Delta_K H\| \leq (\text{cst.}) \left( \left( \|\hat{F}_H\| + 1 \right) \|H\| + \|\nabla_K H\|^2 \|H^{-1}\| \right)
$$

(26)

where $\Delta_K := 2i\Lambda_\omega \bar{\partial} \partial_K$ is the Kähler Laplacian and (cst.) depends on $K$ and $\|\|$ only.

Proof. Write $h = K^{-1}H$ and $\nabla_K$ for the Chern connection of $K$. Since $\nabla_K K = 0$,

$$
\Delta_K H = K.\Delta_K h.
$$

On the other hand, the Laplacian satisfies [D-K, p.46][Don1, p.15]

$$
\Delta_K h = h \left( \hat{F}_H - \hat{F}_K \right) + i\Lambda_\omega \left( \bar{\partial}h.h^{-1} \wedge \partial_K h \right)
$$

so the triangular inequality and again $\nabla_K K = 0$ yield the result.

Corollary 27. If $\{H_S(t)\}_{0 \leq t < T}$ is a solution of (16) with Dirichlet condition (17) on $\partial W_S$, then the $H_S(t)$ are bounded in $L^p_2(W_S)$ uniformly in $t$, for all $1 \leq p < \infty$, and $H_S(T)$ is of class $C^1$.

Proof. By Corollary 23 and (19), $\{H_S(t)\}_{0 \leq t < T}$ satisfies respectively (1) and (2) in Lemma 26. □

The Corollary gives, in particular, a time-uniform bound on $\|F_{H_S}\|_{L^p(W_S)}$. This can actually be improved to a uniform bound on all derivatives of curvature:

Lemma 28. $F_{H_S}$ is bounded in $C^k(W_S)$, uniformly in $t \in [0,T]$, for each $k \geq 0$.

Proof. By induction on $k$:

$k = 0$: following [Don1, Lemma 18], we obtain a uniform bound on $e_S(t) := |F_{H_S(t)}|^2$, using the fact that

$$
\left( \frac{d}{dt} + \Delta \right) e_S \leq (\text{cst.}) \left( (e_S)^2 + e_S \right)
$$

[Don1, Proposition 16, (ii)], and consequently

$$
e_S(t) \leq (\text{cst.}) \left( 1 + \int_0^t \|K_{t-\tau}\|_{L^p(W_S)} \| (e_S)^2 + e_S \|_{L^q(W_S)} \right),
$$

(27)
where \( K_t \) is the heat kernel associated to \( \frac{d}{dt} + \Delta \) and \( \frac{1}{p} + \frac{1}{q} = 1 \). On the complete [Theorem 11] 6–dimensional Riemannian manifold \( W_S \), \( K_t \) satisfies [E-S, §9] the diagonal condition

\[
K_t(x, x) \leq \frac{(cst.)}{t^3}, \quad \forall x \in W_S
\]

of Theorem 60 in Appendix A.3, which gives a ‘Gaussian’ bound on the heat kernel. So, fixing \( C > 4 \) and denoting \( r(., .) \) the geodesic distance, we have

\[
K_t(x, y) \leq \frac{(cst.)}{t^3} \exp \left\{ -\frac{r(x, y)^2}{Ct} \right\}, \quad \forall x, y \in W_S.
\]

Hence, for each \( x \in W_S \), we obtain the bound

\[
\|K_t(., x)\|_{L^p(W_S)} \leq \frac{(cst.)}{t^3} \left( \int_{W_S} \exp \left\{ -\frac{r(x, y)^2}{Ct} \right\} dy \right)^{\frac{1}{p}} \leq \frac{(cst.)}{t^3} \left( \int_0^\infty (ct_0)^{-\frac{3}{q}} u^\frac{3}{q} e^{-u^2} du \right)^{\frac{1}{p}} \leq \tilde{c}_p t_0^{\frac{3}{q}(1-p)}.
\]

Now, \( p < \frac{3}{2} \leftrightarrow \frac{3}{p} (1-p) > -1 \), in which case

\[
\int_0^T \|K_t(., x)\|_{L^p(W_S)} dt \leq c_p(T).
\]

Inequality (27) gives the desired result provided \((c_S)^{\frac{3}{2}} \in L^q(W_S)\) for some \( q > 3 \); this means \( F_{\mathcal{H}_S(t)} \in L^q(W_S) \) for some \( \tilde{q} > 9 \), which is guaranteed by Corollary 27.

\[ k = k + 1 : \] The general recurrence step is identical to [Don1, Corollary 17 (ii)], using the maximum principle [Lemma 21] with boundary conditions.

We are now in shape to put into use the Kähler setting, combining the \( C^k \)-bounds on \( F \) (hence on \( \mathcal{F} \)) with inequality (26), via elliptic regularity:

\textbf{Lemma 29.} For \( 0 \leq I \leq \infty \), let \( \{H_i\}_{0 \leq i \leq I} \) be a one-parameter family of Hermitian metrics on a holomorphic vector bundle \( \mathcal{E} \to X \) over a compact \( n \)-dimensional Kähler manifold-with-boundary such that

1. \( \{H_i\} \) is bounded in \( L^p_2(X) \), for all \( 1 \leq p < \infty \), and \( H_i \big|_{\mathcal{E}/i=l} H \), where \( H \) is a continuous metric,
2. \( \{\mathcal{F}_H\} \) is bounded in \( L^p_k(X) \), for each \( (p, k) \in [1, \infty] \times \mathbb{N} \),
3. \( \{H_i\} \) is bounded in \( L^p_k(\partial X) \), for each \( (p, k) \in [1, \infty] \times \mathbb{N} \);

then \( H_i \big|_{\mathcal{E}/i=l} H \) and \( H \) is smooth.
Proof. Fixing $p > n$, I will prove the following statement by induction in $k$:

$$\|H_i\|_{L^p_k(X)}^2 \leq c_0 \left( \|\Delta H_i\|_{L^p_k(X)}^2 + \|H_i\|_{L^p_{k+1}(X)}^2 \right)$$

so $H_i$ is bounded on $(0, \infty)$, and all those terms are bounded by assumption.

Since $p$ could be chosen arbitrarily big, the family $\{H_i\}$ is uniformly bounded in each $C^r$. But we know it converges to $H$ in $C^1$, hence in fact it converges in $C^\infty$ and the limit is smooth. \[\square\]

**Corollary 30.** Under the Dirichlet conditions (17), the limit metric $H_S(T)$ is smooth.

Proof. We apply Lemma 29 to the one-parameter family $\{H_S(t)\}_{0 \leq t \leq T}$ of Hermitian metrics on the restriction $E \to W_S$ given by Lemma 24. Then Corollary 27 gives hypothesis (1), Lemma 28 gives (2) and the Dirichlet condition on $\partial W_S$ gives (3), as $H_0$ is smooth. \[\square\]

Since $H_S(t) \xrightarrow{C^\infty(W_S)} H_S(T)$, the solution can be smoothly extended beyond $T$, by short-time existence, hence for all time [Sim, Proposition 6.6]:

**Proposition 31.** Given any $T > 0$, the family of Hermitian metrics $H(t)$ on $E \to W$ defined by (25) is the unique, smooth solution of the evolution equation

$$H^{-1} \frac{\partial H}{\partial t} = -2i\bar{F}_H$$

with $\det H = \det H_0$ and $\sup_{W} |H| < \infty$. Furthermore,

$$\sup_{W} |\tilde{F}_{H(t)}| \leq B = \sup_{W} |\tilde{F}_{H_0}|.$$

Proof. Using Lemma 29 on any compact subset $\Omega_S := W_{S_0} \times [0, T]$, the $H_S$ are $C^\infty$-bounded (uniformly in $S$). By the evolution equation,

$$\frac{\partial H_S}{\partial t} \xrightarrow{s \to \infty} \frac{\partial H}{\partial t}$$

so $H$ is a solution on $\Omega_{S_0}$ satisfying the same bounds, and this is independent of the choice of $S_0$. The bound on $\sup_{W} |\tilde{F}_{H(t)}|$ is immediate from Corollary 17 and uniqueness is the statement of Corollary 22. \[\square\]
2.5 Asymptotic behaviour of the solution

We have a solution \( \{ H(t) \} \) of the flow on \( W \) \([Proposition 31]\), giving a Hermitian metric on \( \mathcal{E} \to W \) for each \( t \in [0, T] \). Let us study the asymptotic properties of \( H(t) \) along the non-compact end. Set

\[
\dot{e}_t = |\dot{F}_{H(t)}|^2.
\]

First of all, as a direct consequence of \( \text{Lemma 10} \), I claim

\[
\dot{e}_0 \leq B \epsilon, \quad \epsilon := \begin{cases} 1 & \text{on } W_0, \\ e^{-s} & \text{on } \partial W_s, \; s \geq 0 \end{cases} \tag{28}
\]

where \( B = \sup_W \dot{e}_0 \) \([Corollary 17]\). In the trivialisation \( \mathcal{E}|_U \simeq \{ |z| < 1 \} \times \mathcal{E}|_D \) over the neighbourhood of infinity \( U \), with coordinates \((z, \xi^1, \xi^2)\) such that \( D = \{ z = 0 \} \subset \hat{W} \), the curvature \( F_{H_0} \) is represented by the endomorphism-valued \((1,1)\)-form:

\[
F_{H_0}|_{U \times D} = F_{zz} \frac{dz \wedge d\bar{z}}{O(|z|^2)} + \sum_i (F_{zi} \frac{dz \wedge d\bar{\xi}^i}{O(|z|)} + F_{iz} \frac{d\xi^i \wedge d\bar{z}}{O(|z|)}) + \sum_{i,j} F_{ij} \frac{d\xi^i \wedge d\bar{\xi}^j}{O(|z|)}.
\]

The terms involving \( dz \) or \( d\bar{z} \) decay at least as \( O(|z|) \) along the tubular end \([Lemma 10]\), and all the coefficients of \( F_{H_0} \) are bounded, so \( F_{H_0}|_{|z|=0} \to \sum F_{ij} d\xi^i \wedge d\bar{\xi}^j \). Consequently,

\[
\dot{F}_{H_0} \big|_{z, \xi^1, \xi^2 \to 0} \to \dot{F}_{H_0} \big|_D (\xi^1, \xi^2) = 0,
\]

i.e., \( \dot{F}_{H_0} \) decays exponentially to zero as \( s \to \infty \). From (28) we now obtain the exponential decay of each \( \dot{e}_t \) along the cylindrical end:

**Proposition 32.** Take \( B \) and \( \epsilon \) as in (28); then

\[
\dot{e}_t \leq (Be^t) \epsilon \quad \text{on } W.
\]

**Proof.** The statement is obvious on \( W_0 \). For any \( s_0, t_0 \geq 0 \), take \( T \in [0, T] \) and consider on \( \Sigma_S := W_S \setminus W_0 \) the comparison function \( g(t, s) := Be^{t-s} \). Using the Weitzenböck formula one shows that \( \left( \frac{d}{dt} + \Delta \right) \dot{e}_S \leq 0 \) \([cf. (20)]\), where \( \dot{e}_S = |\dot{F}_{H_S}|^2 \) and \( H_S \) is a solution of our flow on \( W_S \) as in \( \text{Lemma 24} \). For \( \psi := \dot{e}_S - g \), one clearly has \( \left( \frac{d}{dt} + \Delta \right) \psi \leq 0 \) (recall our sign convention is \( \Delta := -\sum \frac{d^2}{dx_i^2} \)) and, by the maximum principle \([Lemma 16]\),

\[
\psi \leq \max_{\partial [0,T] \times \Sigma_S} \left\{ \dot{e}_S - Be^{t-s} \right\} \leq 0.
\]

To see that the r.h.s. is zero, there are four boundary terms to check:

- \( s = S \) : the Dirichlet condition means \( \dot{e}_S (t, S) = 0 \), \( \forall t > 0 \), so \( \psi (t, S) \leq 0 \);
- \( s = 0 \) : \( \psi (t, 0) \leq B (1 - e^t) \leq 0 \);
- \( t = 0 \) : (28) gives \( \psi (0, s) \leq 0 \);
- \( t = T \) : again by \( \text{Corollary 17} \) we have \( \psi (T, s) \leq B (1 - e^{T-s}) \leq 0 \).
This shows that \( \hat{e}_S(t,s) \leq B e^{t-s} \) on \( \Sigma_S \times [0,T] \). Take \( T = S \to \infty \).

As a result, we may replace pointwise \( \left( \hat{B} e^T \right) e^{-s} \) for \( \hat{B} \) in (24) to obtain exponential \( C^0 \)-convergence of \( H(t) \) along the cylindrical end:

\[
\sigma(H(t),H_0)_{\partial W_S} \leq 2 \left( e^{(\hat{B} e^T)e^{-s}} - 1 \right) = O(e^{-s}).
\] (30)

The next result establishes exponential decay of \( H(t) \) in \( C^1 \), emulating the proof of [Don3, Proposition 8]. I state it in rather general terms to highlight the fact that essentially all one needs to control is the Laplacian, hence \( \hat{F} \) in view of (26).

**Proposition 33.** Let \( V \) be an open set of a Riemannian manifold \( X \), \( V' \subset V \) an interior domain and \( Q \to X \) some bundle with connection \( \nabla \) and a continuous fibrewise metric. There exist constants \( \varepsilon, A > 0 \) such that, if a smooth section \( \phi \in \Gamma(Q) \) satisfies:

1. \( \|\phi\|_{C^0(V)} \leq \varepsilon \);
2. \( |\Delta \phi| \leq f(|\nabla \phi|) \) on \( V \), for some non-decreasing function \( f : \mathbb{R}^+ \to \mathbb{R}^+ \);

then

\[ \|\phi\|_{C^1(V')} \leq A \|\phi\|_{C^0(V)}. \]

**Proof.** I first contend that \( \phi \) obeys an *a priori* bound

\[ |(\nabla \phi)_r(x)| \leq 1, \quad \forall x \in V \]

where \( r(x) : V \to \mathbb{R} \) is the distance to \( \partial V \). Since the term on the left-hand side is zero on \( \partial V \), its supremum is attained at some \( \hat{x} \in V \) (possibly not unique). Write

\[ m : = |(\nabla \phi)_r|, \quad R = r(\hat{x}) \]

and suppose, by contradiction, that \( R > \frac{1}{m} \). If that is the case, then we rescale the ball \( B_R(\hat{x}) \) by the factor \( m \), obtaining a rescaled local section \( \hat{\phi} \) defined in \( \hat{B}_m \subset \hat{B}_1 \). In this picture, any point in \( \hat{B} := \hat{B}_{\frac{1}{2}} \) is further from \( \partial V \) than \( \frac{R}{2} \); hence, by definition of \( \hat{x} \), \( \|\nabla \hat{\phi}\|_{C^0(\hat{B})} \leq 2 \). By (2) and (2') there exists \( L > 0 \) such that \( \|\Delta \hat{\phi}\|_{C^0(\hat{B})} \leq L \), and elliptic regularity gives

\[ \|\nabla \hat{\phi}\|_{C^{0,\alpha}(\hat{B})} \leq c_\alpha \cdot (L + \varepsilon) : = \tilde{c}_\alpha \]

using assumption (1). Now, the rescaled gradient at \( \hat{x} \) has norm \( |(\nabla \hat{\phi})_{\hat{r}}| = 1 \) so, taking \( \alpha = \frac{1}{2} \) (say) in a smaller ball of radius \( \rho = \left( \frac{1}{2\tilde{c}_\alpha} \right)^2 \),

\[ |(\nabla \hat{\phi})_{\hat{r}}| \geq 1 - \tilde{c}_\alpha \cdot \rho^2 \geq \frac{1}{2}, \quad \forall x \in \hat{B}_\rho. \]

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This means $|\tilde{\phi}|$ varies by some definite $\delta > 0$ inside $\tilde{B}_r$ and we reach a contradiction choosing $\varepsilon < \delta$. So

$$
|\nabla \phi| \leq \left( \inf_{\partial U} r \right)^{-1}, \quad \forall x \in U \subset V
$$

for some open set $U \supseteq V'$. To conclude the proof, it suffices to control the $L^2$–norm of $\nabla \phi$ on $U$:

$$(\text{cst.}) \|\nabla \phi\|_{L^2(V')}^2 \leq \|\nabla \phi\|_{L^2(U)}^2 = \int_U (\nabla \phi, \nabla \phi) = \int_U \langle \phi, \Delta \phi \rangle
$$

and the last term is obviously bounded by $(\text{cst.}) \|\phi\|_{C^0(V')}^2$.

Now let $\text{End} \mathcal{E} = Q$ in Proposition 33, with connection $\nabla_0$ induced by $H_0$.

**Notation 34.** Given $S > r > 0$, write $\Sigma_r(S)$ for the interior of the cylinder $(W_{S+r} \setminus W_{S-r})$ of ‘length’ $2r$. We denote the $C^k$–exponential tubular limit of an element in $C^k(\Gamma(Q))$ by:

$$
\phi \xrightarrow{\mathcal{C}_s} \phi_0 \iff \|\phi - \phi_0\|_{C^k(\Sigma_1(S), \omega)} = O(e^{-S}).
$$

For $S \geq 3$, let $V = \Sigma_3(S)$ and $V' = \Sigma_2(S)$ so that the distance of $V'$ to $\partial V$ is always 1. In view of (30), for whatever $\varepsilon > 0$ given by the statement, it is possible to choose $S \gg 0$ so that $\phi(\cdot) = (H(t) - H_0)|_{\Sigma_3(S)}$ satisfies the first condition (for arbitrary fixed $t$), hence also the second one by (26), with $f(x) = (\text{cst.}) \left( (B + 1) \varepsilon + x^2 \right)$ and $(\text{cst.})$ depending only on $H_0$ and $\varepsilon$. We conclude, in particular, that $H(t)$ is $C^1$–exponentially asymptotic to $H_0$ in the tubular limit:

$$
H(t) \xrightarrow{s \to \infty} H_0.
$$

Furthermore, in our case the bound on the Laplacian (26) holds for any $L^p_k$–norm, given our control over all derivatives of the curvature [Lemma 28], so the argument above lends itself to the obvious iteration over shrinking tubular segments $\Sigma_{3+\frac{1}{k}}(S)$:

**Corollary 35.** Let $\{H(t) | t \in [0, T]\}$ be the solution to the evolution equation on $\mathcal{E} \to W$ given by Proposition 31; then

$$
H(t) \xrightarrow{s \to \infty} H_0, \quad \forall k \in \mathbb{N}.
$$

Combining existence and uniqueness of the solution for arbitrary time [Proposition 31] and $C^\infty$–exponential decay [Corollary 35], one has the main statement:

**Theorem 36.** Let $\mathcal{E} \to W$ be stable at infinity, with reference metric $H_0$, over an asymptotically cylindrical $SU(3)$–manifold $W$ as given by the Calabi-Yau-Tian-Kovalev Theorem 11; then, for any $0 < T < \infty$, $\mathcal{E}$ admits a 1–parameter family $\{H_t\}$ of smooth Hermitian metrics solving

$$
\begin{cases}
H^{-1} \frac{\partial H}{\partial t} & = -2i \Delta H \\
H(0) & = H_0
\end{cases}
$$

on $W \times [0, T]$.

Moreover, each $H_t$ approaches $H_0$ exponentially in all derivatives over tubular segments $\Sigma_1(S)$ along the non-compact end.
3 Time-uniform convergence

There is a standard way [Don1, §1.2] to build a functional on the space of Hermitian bundle metrics over a compact Kähler manifold the critical points of which, if any, are precisely the Hermitian Yang-Mills metrics. This procedure is analogous to the Chern-Simons construction, in that it amounts to integrating along paths a prescribed first-order variation, expressed by a closed 1-form.

In this Section I will adapt this prescription to $W$, restricting attention to metrics with suitable asymptotic behaviour, and to the $K3$ divisors $D_z = \tau^{-1}(z)$ along the tubular end. On one hand, the resulting functional $N_W$ will illustrate the fact that our evolution equation converges to a HYM metric. On the other hand, crucially, the family $N_{D_z}$ will mediate the role of stability in the time-uniform control of $\{H_t\}$ over $W$.

3.1 Variational formalism of the functional $N$

I will set up this analogous framework in some generality at first, defining an a priori path-dependent functional $N_W$ on a suitable set of Hermitian metrics on $E$. When restricted to the specific 1-parameter family $\{H_t\}$ from our evolution equation, we will see that $N_W(H_t)$ is in fact decreasing and the study of its derivative will reveal that the $t \to \infty$ limit metric, if it exists, must be HYM on $E$.

Let $I_0 := \{h \in \text{End} E \mid h \text{ is Hermitian, } h \overset{C^\infty}{\underset{s \to \infty}{\to}} 0\}$ denote the space of fibrewise Hermitian matrices which decay exponentially along the tube.

**Lemma 37.** Let $H$ be a Hermitian bundle metric and $h$ an element of $I_0$ and denote $\tau := H^{-1}h$; then the curvature of the Chern connection of $H$ varies, to first order, by

$$F_{H+h} = F_H + \bar{\partial}H\tau + O(|\tau|^2).$$

**Proof.** Set $g = H^{-1}(H + h) = 1 + \tau$, so that [D-K, p.46][Don1, p.15]

$$F_{H+h} = F_H + \bar{\partial} (g^{-1}\partial_H g).$$

Observing that $g^{-1} = 1 - \tau + O(|\tau|^2)$, we expand the variation of curvature:

$$\bar{\partial} (g^{-1}\partial_H g) = - (g^{-1}\bar{\partial} g g^{-1}) \partial_H g + g^{-1}\bar{\partial} \partial_H g$$

$$= -(1 - \tau) \bar{\partial} \tau (1 - \tau) \partial_H \tau + (1 - \tau) \bar{\partial} \partial_H \tau + O(|\tau|^2)$$

$$= \bar{\partial} \partial_H \tau + O(|\tau|^2).$$

**Definition 38.** Let $\mathcal{H}_0$ be the set of smooth Hermitian metrics $H$ on $E \to W$ such that:

$$H \overset{C^\infty}{\underset{s \to \infty}{\to}} H_0.$$
(2) There is a well-defined notion of ‘infinitesimal variation’ of a metric $H$, as an object in the ‘tangent space’
\[ T_H \mathcal{H}_0 \simeq \mathcal{I}_0. \]

(3) We know from (28) that $\hat{F}_H \overset{C^0}{\underset{S \to \infty}{\to}} 0$, hence Lemma 37 implies
\[ \left\| \hat{F}_H \right\|_{L^1(W,\omega)} < \infty, \quad \forall H \in \mathcal{H}_0. \]

(4) Any ‘nearby’ $H \in \mathcal{H}_0$, for which $\xi = \log H_0^{-1}H$ is well-defined (i.e., $\|H_0^{-1}H - I\|_{C^0(W,\omega)} < 1$), is joined to $H_0$ by
\[ \gamma : [0, 1] \to \mathcal{H}_0 \]
\[ \gamma (\ell) = H_0 e^{\ell \xi}. \quad \text{(32)} \]
Clearly $\ell \xi \overset{C^\infty}{\underset{S \to \infty}{\to}} 0$, so $\gamma(\ell) \in \mathcal{H}_0, \forall \ell \in [0, 1]$. 

(5) Given any $T > 0$, the solutions $\{H_t\}_{t \in [0, T]}$ of our flow form a path in $\mathcal{H}_0$, since $\hat{F}_H$ decays exponentially along the tube for each $t$ [Theorem 36].

Following [Don1, pp.8-11], let $\theta \in \Omega^1(\mathcal{H}_0, \Omega^{1,1}(W))$ be given by
\[ \theta_H : T_H \mathcal{H}_0 \to \Omega^{1,1}(W) \]
\[ \theta_H (k) = 2\text{itr} (H^{-1} k.F_H). \quad \text{(33)} \]

Then we may, at first formally, write
\[ (\rho_W)_H (k) = \int_W \theta_H (k) \wedge \omega^2, \quad \text{(34)} \]
which will define a smooth 1–form on any domain $H_0 \in \mathcal{U} \subset \mathcal{H}_0$ where the integral converges, for all $H \in \mathcal{U}$ and all $k \in T_H \mathcal{H}_0$. The crucial fact is that $\rho$ is identically zero precisely at the HYM metrics:
\[ (\rho_W)_H = 0 \iff \int_W \text{tr} (H^{-1} k.F_H) \wedge \omega^2 = 0, \quad \forall k \in T_H \mathcal{H}_0 \]
\[ \iff \hat{F}_H \cdot (F_H, \omega) = 0. \]

Following the analogy with Chern-Simons formalism, this suggests integrating $\rho_W$ over a path to obtain a function having the HYM metrics as critical points. Given $H \in \mathcal{H}_0$, let $\gamma (\ell) = H_\ell$ be a path in $\mathcal{H}_0$ connecting $H$ to the reference metric $H_0$, and form the evaluation of $\theta$ along $\gamma$:
\[ \Phi^\gamma(\ell) := [\theta, (\dot{\gamma})](\ell) = 2\text{itr} \left( H^{-1}_\ell \dot{H}_\ell, F_H \right) \in \Omega^{1,1}(W). \quad \text{(35)} \]

For instance, with $\gamma$ as in (32), we have $H^{-1}_\ell.H_\ell = H^{-1}_0.H_0 \overset{\text{e}^{-\ell \xi}}{\underset{\text{e}^{\ell \xi}}{\to}} H_0$ and
\[ (\rho_W)_H (H_\ell) = \int_W \Phi^\gamma(\ell) \wedge \omega^2 = 2i \int_W \text{tr} \xi F_H \wedge \omega^2 \]
\[ = 2i \int_W \text{tr} \xi \hat{F}_H, d\text{Vol}_\omega. \]
is well-defined near $H_0$, since $\xi = \log H_0^{-1} H$ is bounded and $\hat{F}_{H_\ell}$ is integrable [Remark 39]. Thus, in this setting at least, the integral is rigorously defined:

$$N^\gamma_W (H) := \int_\gamma \rho_W.$$  \hfill (36)

There is a convenient relation between $\Phi^\gamma (\ell)$ and the rate of change of the ‘topological’ charge density $\text{tr} F^2$ along $\gamma$, which will be useful later:

**Corollary 40.** Let $\{ \gamma (\ell) = H_\ell \} \subset H_0$ be a $1-$parameter family of metrics on $E$; then the evaluation $\Phi^\gamma$ from (35) satisfies

$$-i\bar{\partial} \partial \Phi^\gamma (\ell) = \frac{d}{d\ell} \text{tr} F^2_{H_\ell}. $$

**Proof.** From the first variation of $F$ [Lemma 37] and the Bianchi identity:

$$\frac{d}{d\ell} \text{tr} F^2_{H_\ell} = 2 \text{tr} \left( \frac{d}{d\ell} F_{H_\ell} \right) \wedge F_{H_\ell} = 2 \text{tr} \, \bar{\partial} \partial H_\ell \left( H^{-1}_\ell . \dot{H}_\ell \right) \wedge F_{H_\ell} = -i\bar{\partial} \partial \Phi^\gamma (\ell).$$

By the same token, if we restrict attention to our family $\{ \gamma (t) = H_t \} \subset H_0$ satisfying the evolution equation

$$\left\{ \begin{array}{l}
H^{-1}_t \frac{\partial H}{\partial t} = -2i \hat{F}_H \\
H (0) = H_0
\end{array} \right., $$

set $N_W (H_0) = 0$ and write for short $\Phi_t := \Phi^\gamma (t)$, we obtain a real smooth function

$$N_W (H_T) = \int_0^T (\rho_W)_{H_t} \left( \dot{H}_t \right) dt = \int_0^T \left( \int_W \Phi_t \wedge \omega ^2 \right) dt. $$

**Proposition 41.** The function $N_W (H_t)$ is well-defined, $\forall t \in [0, \infty [$, and

$$\frac{d}{dt} N_W (H_t) = -\frac{2}{3} \| \hat{F}_{H_t} \|_{L^2 (W)}^2. $$

**Proof.** Using the evolution equation (37):

$$\frac{d}{dt} N_W (H_t) = (\rho_W)_{H_t} \left( \dot{H}_t \right) = \int_W \Phi_t \wedge \omega ^2$$

$$= 2 \int_W \text{tr} \, \bar{H}_t \wedge H^{-1}_t . \dot{H}_t . F_{H_t} \wedge \omega ^2 = \frac{2}{3} \int_W \text{tr} \hat{F}_{H_t}^2 \, d\text{Vol} \omega$$

$$= -\frac{2}{3} \| \hat{F}_{H_t} \|_{L^2 (W)}^2$$

and this is finite, as $\hat{F}_{H_t}$ decays exponentially along $W$ [Proposition 32].

The above Proposition confirms that we are on the right track: if the $\{ H_t \}$ converge to a smooth metric $H = H_\infty$ at all, then $H$ must be HYM.

Finally, our definition of $N_W$ by integration of $\rho_W$ is a priori path-dependent and we have briefly examined two examples [(36) and (38)] which will be relevant in the ensuing analysis. Let us now eliminate the dependence, so that these settings are, in fact, equivalent.
Lemma 42. Let $H \in \mathcal{H}_0$ and $h, k \in T_H \mathcal{H}_0 \cong \mathcal{I}_0$; in the terms of (33), the difference

$$\eta_H (h, k) := \frac{1}{2i} (\theta_{H+h} (k) - \theta_H (k))$$

is anti-symmetric to first order, modulo $\text{img} \, \partial + \text{img} \, \bar{\partial}$.

Proof. In the notation of Lemma 37 and setting $\sigma := hK^{-1}$, the anti-symmetrisation of $\eta_H$ is

$$\xi_H (h, k) := \eta_H (h, k) - \eta_H (k, h) = \text{tr} \left( (\sigma.\tau - \tau.\sigma) H + \sigma.\bar{\partial} H \tau - \tau.\bar{\partial} H \sigma \right) + O(|\sigma|.|\tau|^2) + O(|\tau|.|\sigma|^2).$$

The curvature of the Chern connection of $H$ obeys $F_H = \bar{\partial} H + \partial H \bar{\partial}$, so

$$\sigma.\bar{\partial} H \tau = \sigma. F_H \tau - \sigma. \partial H \bar{\partial} \tau = \sigma. F_H \tau - \partial H (\sigma. \bar{\partial} \tau) - \bar{\partial} (\partial H \sigma. \tau) + \tau. \bar{\partial} \partial H \sigma;$$

mutatis mutandis,

$$\tau \bar{\partial} H \sigma = \tau. F_H \sigma - \partial H (\tau. \bar{\partial} \sigma) - \bar{\partial} (\partial H \tau. \sigma) + \sigma. \bar{\partial} \partial H \tau.$$

Substituting these and using the cyclic property of trace in (39) we find

$$\xi_H (h, k) = \frac{1}{2} \text{tr} \left( \partial H \left( \tau. \bar{\partial} \sigma - \sigma. \bar{\partial} \tau \right) + \bar{\partial} \left( \partial H \tau. \sigma - \partial H \sigma. \tau \right) \right) + O(|\sigma|.|\tau|^2) + O(|\tau|.|\sigma|^2)$$

modulo $\text{img} \, \partial + \text{img} \, \bar{\partial}$, so

$$\xi_H (h, k) \in \text{img} \, \bar{\partial} \sigma + \text{img} \, \partial \sigma + O(|\sigma|.|\tau|^2) + O(|\tau|.|\sigma|^2).$$

\[ \square \]

Corollary 43. Let $\mathcal{U} \subset \mathcal{H}_0$ be a subset where the integral defining the 1-form $\rho_W$ in (34) converges, for all $H \in \mathcal{U}$ and all $k \in T_H \mathcal{H}_0$; then $\rho_W|_{\mathcal{U}}$ is closed.

Proof. Recall that a 1-form is closed precisely when its infinitesimal variation is symmetric to first order. In view of the previous Lemma, it remains to check that $\xi_H (h, k) \wedge \omega^2$ integrates to zero modulo terms of higher order:

$$\lim_{S \to \infty} \int_{W_S} \xi_H (h, k) \wedge \omega^2 = 0.$$

Taking account of bi-degree and de Rham’s theorem, modulo terms with decay $O(|\sigma|.|\tau|^2) + O(|\tau|.|\sigma|^2)$, one has

$$\int_{W_S} \xi_H (h, k) \wedge \omega^2 = \frac{1}{2} \int_{\partial W_S} \text{tr} \left[ (\tau. \bar{\partial} \sigma - \sigma. \bar{\partial} \tau) + (\partial H \tau. \sigma - \partial H \sigma. \tau) \right] \wedge \omega^2$$

$$= \frac{1}{2} \int_{\partial W_S} \text{tr} \left[ 2\tau. \bar{\partial} \sigma + 2\partial H \tau. \sigma - \nabla_H (\sigma. \tau) \right] \wedge \omega^2$$

$$= \int_{\partial W_S} \text{tr} \left( \tau. \bar{\partial} \sigma + \partial H \tau. \sigma \right) \wedge \omega^2 \underset{S \to \infty}{\longrightarrow} 0.$$

On the other hand, from (the Calabi-Yau-Tian-Kovalev) Theorem 11 [cf. (14)],

$$\omega^2|_{\partial W_S} = \kappa_f^2 + \mathcal{O} (e^{-S}).$$
Consequently, as $S \to \infty$, the operation $\cdot \wedge \omega^2$ annihilates all components of the 1-form $\text{tr} (\tau \bar{\partial} \sigma + \partial_H \tau \sigma)$ except those transversal to $D_z (|z| = e^{-S})$ in $\partial W \simeq D_z \times S^1$:

$$\int_{\partial W} \text{tr} (\tau \bar{\partial} \sigma + \partial_H \tau \sigma) \wedge \omega^2 = \int_{\partial W} \text{tr} \left( \frac{\partial \sigma}{\partial \bar{z}} d\bar{z} + ((\partial_H \tau) \bar{\sigma} d\bar{z}) \wedge (\kappa_I^2 + O(e^{-S})) \right)$$

$$= \int_{|z|=e^{-S}} O(|\sigma|) \wedge (\kappa_I^2 + O(|\sigma|)) \underset{|z| \to 0}{\to} 0.$$ 

Here we used that $|dz|, |d\bar{z}| = O(e^{-S}) = O(|z|)$ [Lemma 10], while $\tau$ and $\sigma$ also decay exponentially in all derivatives [Definition 38].

Since $\mathcal{H}_0$ is simply connected, we conclude that

$$\mathcal{N}_W = \int_\gamma \rho_W$$

doesn’t depend on the choice of path $\gamma$.

### 3.2 A lower bound on ‘energy density’ via $\mathcal{N}_{D_z}$

It is easy to adapt this prescription to the $K3$ divisors $D_z = \tau^{-1}(z)$ along the tubular end. Such a family $\mathcal{N}_{D_z}$ will mediate the role of stability in the time-uniform control of $\{H_t\}$ over $W$. Still following [Don1, pp.8-11], by analogy with (33) and (34), define $\theta_z \in \Omega^1 (\mathcal{H}_0, \Omega^{1,1}(D_z))$ by

$$(\theta_z)_H : T_H \mathcal{H}_0 \to \Omega^{1,1}(D_z)$$

$$(\theta_z)_H (k) = 2 \text{tr} \left( H^{-1}_{|D_z} k . \hat{F}_H|_{D_z} \right)$$

and accordingly

$$(\rho_{D_z})_H (k) = \int_{D_z} \theta_H (k) \wedge \omega.$$ 

Setting each $\mathcal{N}_{D_z} (H_0) = 0$, and choosing, at first, a curve $\gamma(\ell) = H_\ell|_{D_z}$ of Hermitian metrics on $\mathcal{E}|_{D_z}$, we write [cf. (34)]

$$\mathcal{N}_{D_z} := \int_\gamma \rho_{D_z} = \int_\gamma \int_{D_z} \theta \wedge \omega.$$ 

Each $D_z$ being a compact complex surface, it is known [ibid.] that this definition of $\mathcal{N}_{D_z}$ is in fact path-independent.

In view of Proposition 57 below, which underlies this article’s main result [Theorem 58], one would like to derive, for small enough $|z|$, a time-uniform lower bound on the ‘energy density’ given by the $\omega$-trace of the restriction of curvature $F_H|_{D_z}$:

$$\hat{F}_{H|z} := \hat{F}_{H|D_z} = (F_{H|D_z}, \omega|_{D_z}).$$ 

Recalling that $\xi_t \in \Gamma (\text{End} \mathcal{E})$ is defined by $H_t = H_0 e^{\xi_t}$ (hence is self-adjoint with respect to both metrics), write $\lambda_t$ for its highest eigenvalue as in (21) and set

$$L_t := \sup_W \lambda_t.$$ 

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Claim 44. There are constants $c, c', c'' > 0$ independent of $t$ and $z$ such that, for every $t \in [0, \infty[$, there exists an open set $A_t \subset \tau(W_\infty) \subset \mathbb{C}P^1$ of parameters [cf. (12)] satisfying:

(1) $\forall z \in A_t$, $|z| < \delta$ as in Definition 12, i.e., $\mathcal{E} |_{D_z}$ is stable;

(2) in the cylindrical measure $\mu_\infty$ induced on $\tau(W_\infty)$ by $ds^2 + d\alpha^2$, with $z = e^{-s + i\alpha}$ [cf. (14)],

$$\int_{A_t} ||\tilde{F}_{|z|}||_2^2 L_2(D_z) ds \wedge d\alpha \geq \frac{c}{2} \mu_\infty(A_t) \left(1 - \frac{c'}{L_t}\right)^2 ;$$

(3) moreover, when $L_t \to 0$, one has $\frac{\mu_\infty(A_t)}{\sqrt{L_t}} \to c''$.

Together with a uniform upper ‘energy bound’ on $F_{H_t}$ over $W$, Claim 44 will suffice to establish the time-uniform $C^0$–bound on $\lambda_t$. In the course of its proof, at last, the asymptotic stability assumption on $\mathcal{E}$ intervenes, by an instance of the following result:

Lemma 45. Suppose $\mathcal{E} |_{D_z}$ is stable with Hermitian Yang-Mills metric $H_0 |_{D_z}$; then there exists a constant $c_z > 0$ such that

$$\mathcal{N}_{D_z}(H_t) \geq c_z \left(||\xi||_L^4(D_z) - 1\right).$$

Moreover, one can choose $\delta > 0$ in Definition 12 small enough to obtain a definite infimum

$$\left(\inf_{|z| < \delta} c_z\right) > 0.$$

Proof. The estimate for any given $D_z$ is the content of [Don2, Lemma 24]. In particular, each constant $c_z$ is determined by the Riemannian geometry of $D_z$, as it comes essentially from an application of Sobolev’s embedding theorem, hence it varies continuously with $z$. As $\mathcal{E} |_{D_z}$ is itself an instance of our hypotheses, with metric $H_0 |_D$ over $D = D_0$, we have in this case $c_0 > 0$. Now one can certainly pick smaller $\delta > 0$, in Definition 12, so that $|z| < \delta \Rightarrow c_z > \frac{c_0}{2}$, say.

Intuitively, our argument for Claim 44 will proceed as follows: on a fixed $D_z$ far enough along the tube, the quantity $\mathcal{N}_{D_z}(H_t)$ is controlled, in a certain sense, by the $\omega$–traced restriction of curvature $\tilde{F}_{|z|}$ [Lemma 46, below]. On the other hand, the stability assumption implies that the same $\mathcal{N}_{D_z}(H_t)$ controls above the slicewise norm $||\xi||_L^4(D_z)$ [Lemma 45], so $\xi |_{D_z}$ arbitrarily ‘big’ would imply on $\tilde{F}_{|z|}$ being at least ‘somewhat big’. Moreover, if this happens at some $z_0$ then it must still hold over a ‘large’ set $A_t \subset \mathbb{C}P^1$ of parameters $z$, roughly proportional to the supremum $L_t = ||\lambda_t||_{C^0(D_{z_0})}$ [Claim 44] at some $z_0$. Adapting the archetypical Chern-Weil technique [cf. Subsection 1.2], I establish an absolute bound on the $L^2$–norm of $F_{H_t}$ over $W$ [estimate (48), below], so that the set $A_t$, carrying a ‘proportional amount of energy’, cannot be too large in the measure $\mu_\infty$. Hence the supremum $L_t$, roughly of magnitude $\mu_\infty(A_t)$, can only grow up to a definite, time-uniform value.

I start by proving essentially ‘half’ of Claim 44:

Lemma 46. There is a constant $c_1 > 0$, independent of $t$ and $z$, such that

$$\mathcal{N}_{D_z}(H_t) \leq c_1 L_t ||\tilde{F}_{|z|}||_L^2(D_z) \quad \forall t \in [0, \infty].$$
Proof. Fixing \( t > 0 \) and \(|z| < \delta\), let us simplify notation by \( \xi = \xi_t \) and \( \|\cdot\| = \|\cdot\|_{L^2(D_z)} \), and consider the curve

\[
\gamma : [0, 1] \to H_0|_{D_z},
\gamma (\ell) = H_0 e^{\ell \xi}
\]

with \( \gamma (1) = H_t \) and \( \gamma_t^{-1} \cdot \ell = \xi \). Using the first variation of curvature [Lemma 37] we obtain

\[
\frac{d}{d\ell} F_\ell = \bar{\partial} \partial \xi
\]

so that \( m (1) = \mathcal{N}_{D_z} (H_t) \) and \( m (0) = 0 \); differentiating along \( \gamma \) we have

\[
m' (\ell) = 2i \int_{D_z} \xi. F_\ell \wedge \omega.
\]

The function \( m (\ell) \) is in fact convex:

\[
m'' (\ell) = 2i \int_{D_z} \mathrm{tr} \xi. (\bar{\partial}_\ell \partial_\ell \xi) \wedge \omega = 2 \| \partial_\ell \xi \|^2 = \| \nabla_\ell \xi \|^2 \geq 0
\]

since \( \xi \) is real, and so \( |\partial_\ell \xi|^2 = |\bar{\partial}_\ell \xi|^2 = \frac{1}{2} |\nabla_\ell \xi|^2 \). Now, by the mean value theorem, there exists some \( \ell \in [0, 1] \) such that

\[
\mathcal{N}_{D_z} (H_t) = m (1) = m (0) + m' (\ell) \leq m' (1) \leq 2 \int_{D_z} |\xi. F_1 \wedge \omega|
\]

using convexity and Cauchy-Schwarz, with \( c_1 := 2 \sup_{|z| < \delta} \sqrt{\text{Vol}(D_z)} \) and the notation \( F_1 = F_{H_t} \). \( \square \)

**Remark 47.** Convexity implies that \( \mathcal{N}_{D_z} (H_t) = m (1) \) is positive for all \( t \), because \( m' (0) = 0 \) gives an absolute minimum at \( \ell = 0 \), so \( m (1) \geq m (0) = 0 \).

Finally, it can be shown that \( \Delta \bar{\lambda} \) is (weakly) uniformly bounded [Don2, p.246], hence the maximum principle suggests that \( |\xi_t| \) cannot ‘decrease faster’ than a certain concave parabola along the cylindrical end. With that in mind, let us assume, for the sake of argument, that the following can be made rigorous:

In the terms of **Claim 44**, there exists a set \( A_t \), ‘proportional’ to \( c'' \sqrt{L_t} \), such that

\[
\|\xi_t\|_{L^4(D_z)} \geq c_2 L_t \quad \forall t \in ]0, \infty[,
\]

where \( c_2 \) is independent of \( t \) and \( z \).

Then, together with **Lemma 45** and **Lemma 46**, this would prove **Claim 44**, with

\[
c = \frac{2c_2}{c_1} \left( \inf_{|z| < \delta} c_2 \right) \quad \text{and} \quad c' = \frac{1}{c_2}
\]

This is the heuristics underlying the proof, in the next Section.
3.3 Proof of Claim 44

Recall that one would like to establish, for small enough \(|z|\), a time-uniform lower bound on the ‘energy density’ given by the \(\omega\)-trace of the restriction \(F_{H_t}|_{\mathcal{D}_z}\):

\[
\widehat{F}_{t|z} := \widehat{F}_{H_t}|_{\mathcal{D}_z} = (F_{H_t}|_{\mathcal{D}_z}, \omega|_{\mathcal{D}_z}),
\]

in the weak sense that its \(L^2\)-norm over a cylindrical segment \(\Sigma\) far enough down the tubular end is bounded below by a scalar multiple of \(\text{Vol}\Sigma\). Moreover, the length of such a cylinder \(\Sigma\) can be assumed roughly proportional to \(L_t := \sup_{\mathcal{D}_z} \lambda_t\), so that \(L_t \gg 0\) implies a large ‘energy’ contribution. This will yield the \(C^0\)–bound in Proposition 57.

The strategy here consists, on one hand, of using the weak control over the Laplacian from Lemma 50 below to show that, around the furthest point down the tube where \(L_t = \max_{\mathcal{D}_z} \lambda_t\) is attained, the slicewise supremum of \(\lambda_t\) is always on top of a certain concave parabola \(P_t\). On the other hand, the integral along the tube of the slicewise norms \(\|\lambda_t\|_{L^4}\)\(^3\), which bounds below \(N_{\mathcal{D}_z}(H_t)\) [Lemma 45], can be shown to be itself bounded below by those slicewise suprema, using again the weak bound on the Laplacian to apply a Harnack estimate on ‘balls’ of a standard shape, which fill essentially ‘half’ of the corresponding tubular volume.

Since \(N_{\mathcal{D}_z}(H_t)\) is controlled above by \(\widehat{F}_{H_t}\), in the sense of Lemma 46, this leads to the desired minimal ‘energy’ contribution, ‘proportional’ to the length (roughly \(\sqrt{L_t}\)) of the tubular segment underneath the parabola \(P_t\).

3.3.1 Preliminary analysis

Here I establish some basic wording and technical facts underlying the proof.

**Definition 48.** Given functions \(f, g : W \to \mathbb{R}\), we denote

\[
\Delta f \leq g \iff \int_W f \Delta \varphi \leq \int_W g \varphi, \quad \forall \varphi \in C^\infty_c(W).
\]

In particular, a constant \(\beta \in \mathbb{R}\) is called a weak bound on the Laplacian of \(f\) if \(\Delta f \leq \beta\)

**Lemma 49** (weak maximum principle). Let \(f : W \to \mathbb{R}\) be a Lipschitz function, smooth away from a singular set \(N_0\) with \(\text{codim } N_0 \geq 3\); if \(\Delta f \leq 0\) \(\text{cf. Definition 48}\) over a (bounded open) domain \(U \subset W\), then

\[
f|_U \leq \max_{\partial U} f.
\]

**Proof.** Outside of an \(\varepsilon\)–neighbourhood \(N_\varepsilon\) of the singular set \(N_0\), the function \(\lambda\) is smooth, so the strong maximum principle on \(U \setminus N_\varepsilon\) implies, at the limit \(\varepsilon \to 0\), that any interior maximum point \(q \in U\) must be in \(N_0\). Take then a (small) coordinate neighbourhood \(q \in V \Subset U\) such that the gradient field \(\nabla f\) ‘points inwards’ to \(q\) almost everywhere (a.e.) along \(\partial V\). I claim one can choose a smooth positive bump function \(\varphi \in C^\infty_c(W)\), with \(\text{supp } \varphi \subset V\), peaking at \(q\) and such that \((\nabla f, \nabla \varphi) \geq 0\) a.e. on \(V\). Indeed, the Lipschitz condition guarantees that \(|\nabla f|\) is defined and bounded a.e. on \(W\), so any exponential smoothing of \(f\) within \(V\) will suffice. Then

\[
0 \geq \int_W f \Delta \varphi = \int_V f \Delta \varphi = \lim_{\varepsilon \to 0} \int_{V \setminus N_\varepsilon} f \Delta \varphi = \int_V (\nabla f, \nabla \varphi) \geq 0
\]

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which either iterates all over \( U \) to imply \( f \equiv \max f \) or contradicts the assumption that \( q \) is an interior point. Step \((\ast)\) is rigorous because \( N_0 \) has large enough codimension so that \( d\text{Vol}_\omega |_{\partial N_\varepsilon} = O(\varepsilon^2) \). Thus boundary terms in the integration by parts over \( V \setminus N_\varepsilon \) vanish when \( \varepsilon \to 0 \), again since \( f \) is Lipschitz [Don2, p.244].

Recalling that \( \xi_t \in \Gamma(\text{End} \mathcal{E}) \) is defined by \( H_t = H_0 e^{\xi_t} \) (hence is self-adjoint with respect to both metrics), write \( \bar{\lambda}_t \) for its highest eigenvalue; then:

**Lemma 50.** The Laplacian of \( \bar{\lambda} \) admits a weak bound \( \beta > 0 \):

\[
\Delta \bar{\lambda}_t^w \leq \beta, \quad \forall t > 0.
\]

**Proof.** We know from [Don2, Lemma 25] that

\[
\Delta \bar{\lambda}^w \leq 2 \left( \| \hat{F}_{H_t} \|_{H_t} + \| \hat{F}_{H_0} \|_{H_0} \right),
\]

and the right-hand side is controlled by the time-uniform bound on \( \hat{F}_{H_t} \) [Corollary 17].

**Lemma 51.** Let \((D, g)\) be a compact Riemannian manifold, \( f \in L^\infty(D, \mathbb{R}^+) \), \( p > 1 \) and \( x > 0 \); then there exists a constant \( k_p = k_p(D, g) > 0 \) such that

\[
\| f \|_p \geq \frac{k_p}{F_x} \| f^{1+x} \|_1
\]

with \( \| \cdot \|_q := \| \cdot \|_{L^q(D,g)} \), \( 1 < q \leq \infty \), and \( F := \| f \|_\infty \).

**Proof.** It suffices to write

\[
\| f \|_p := \left( \int_D f^p \, d\text{Vol}_g \right)^{\frac{1}{p}} \geq \left( \int_D f^p \left( \frac{f}{F} \right)^x \, d\text{Vol}_g \right)^{\frac{1}{p}} = F^{-x} \| f^{1+x} \|_p
\]

then apply Hölder’s inequality, finding \( k_p = \text{Vol}_g(D)^{\frac{1}{p} - 1} \).

### 3.3.2 A concave parabola as lower bound

In tubular coordinates \( |z| = e^{-s} \), the supremum of \( \bar{\lambda}_t \) over a transversal slice along \( W_\infty \) defines a smooth function

\[
\ell_t : \mathbb{R}^+ \to \mathbb{R}^+ \quad \ell_t(s) := \sup_{\partial W_s} \bar{\lambda}_t.
\]

Moreover, for each \( t > 0 \), denote \( S_t \) the ‘furthest length’ down the tube at which \( L_t \) is attained, i.e., \( S_t = \max_{L_t = \ell_t(s)} s \), and set

\[
I_t := [S_t, S_t + \delta^+_t] \subset \mathbb{R}^+
\]

with \( \delta^+_t := \frac{1}{2} \left( \sqrt{1 + \frac{2}{\beta} L_t} - 1 \right) \) and \( \beta \) as in Lemma 50.

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Lemma 52. For each \( t > 0 \), the transversal supremum \( \ell_t \) is bounded below by the concave parabola
\[
P_t(s-S_t) := L_t - \frac{\partial}{\partial \omega} s(s-S_t)(s-S_t+1)
\]
of \( I_t \), i.e.,
\[
\ell_t(s) \geq P_t(s), \quad \forall s \in I_t.
\]

Proof. Fix \( t > 0 \), \( \delta^+_t \geq \delta > 0 \) and set \( J_t(\delta) := |S_t - 1, S_t + \delta| \) (I suppress henceforth the \( t \) subscript everywhere, for clarity). The parabola takes the value \( P(-1) = P(0) = L \) at the points \( S - 1 \) and \( S \), and its concavity \( \Delta \lambda'' = \beta \) is precisely the weak bound on \( \Delta \lambda \) [Lemma 50], so we have \( \Delta (\lambda - P) \leq 0 \) on \( J(\delta) \) and Lemma 49 gives
\[
(\lambda - P)|_{J(\delta)} < \max \left\{ \sup_{s=1}^{S_t} (\lambda - P), \sup_{s=\delta+1} (\lambda - P) \right\}
\]
\[
\Rightarrow 0 = \ell(S) - P(S) < \ell(S + \delta) - P(S + \delta), \quad \forall 0 < \delta < \delta^+
\]
since by assumption \( \ell(S - 1) \leq L = P(-1) \). Hence \( \ell \geq P \), \( \forall s \in I_t \). \( \square \)

Remark 53. Fixing \( 0 < \epsilon < 1 \) and setting \( \delta^+_c := \frac{1}{2} \left( \sqrt{1 + \frac{8}{3}(1 - \epsilon)L_t} - 1 \right) \), one has
\[
\ell_t(s) \geq \epsilon L_t, \quad \forall s \in I_{c,t} := [S_t, S_t + \delta^+_c] \cdot \tag{44}
\]
Clearly, if \( L_t \to 0 \), the interval length grows quadratically as fast:
\[
\frac{\delta^+_c}{\sqrt{L_t}} \to \epsilon_t := \sqrt{\frac{2(1-\epsilon)}{\beta}}.
\]

Moreover, we can use a Harnack-type estimate over transversal slices to establish the following inequality for the finite cylinder under the parabola:

Lemma 54. Given \( t > 0 \) and \( 0 < \epsilon < 1 \), let \( \Sigma_t(\epsilon) := I_{c,t} \times D \cong W_{S_t+\delta^+_c} \setminus W_{S_t} \) be the finite cylinder along \( W \), under the parabola \( P_t \) of Lemma 52, determined by the interval of length \( \delta^+_c \) on which (44) holds, and suppose \( 2\pi \delta^+_c \in \mathbb{N} \); then, for each \( x > 0 \), there exists a uniform constant \( k_{x,\epsilon} > 0 \) such that
\[
\int_{\Sigma_t(\epsilon)} \lambda^{1+x}\ d\text{Vol}_{\omega_\infty} \geq k_{x,\epsilon,M} \delta_{\epsilon,t}^{1+x} L_t^{1+x}.
\]

Proof. Again let me suppress the \( t \) subscript, for tidiness, and work all along in the cylindrical metric \( \omega_\infty \). For each \( s \in I_t \), let \( p_S \in \partial W_s \cong D_t \times S^1 \) be a point on the corresponding transversal slice such that the maximum \( \ell(s) = \lambda(p_S) \) is attained, and form the ‘unit’ open cylinder \( B_s \subset \Sigma_t \) of length \( \frac{1}{2\pi} \) (so that the volume integral over \( B_s \) along the \( S^1 \times I_t \) directions is 1), centered on \( p_S \), such that
\[
\text{Vol}B_s = \text{Vol}(B_s \cap D_t) = \frac{1}{2} \text{Vol} D_t,
\]
where \( \text{Vol} D \equiv \text{Vol} D_s \) denotes the (same) four-dimensional volume of (all) \( D_s \).

Under the weak bound \( \Delta \lambda \overset{w}{\leq} \beta \) [Lemma 50], the Harnack inequality [G-T, §§8.6-8.8] [Mos] over \( B_s \) gives
\[
\frac{1}{\text{Vol} B_s} \int_{B_s} \lambda^{1+x} \geq k_x \left( \max_{B_s} \lambda \right)^{1+x} \geq k_x' (\epsilon L)^{1+x}
\]

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where \( k'_x > 0 \) is a uniform constant (as all \( B_s \) are congruent by translation) and the second inequality comes from property (44). In particular, one can choose at most \( 2\pi\delta^+ \in \mathbb{N} \) values \( s_j \in I \) such that the corresponding \( B_{s_j} \) are necessarily disjoint, and form their union

\[
B(\epsilon) := \prod_{j=1}^{2\pi\delta^+} B_{s_j}.
\]

Clearly \( \text{Vol} \ B(\epsilon) \geq \frac{1}{2} (2\pi\delta^+) \text{Vol} D \). Now, the statement about averages (46) goes over to the disjoint union, which proves the Lemma, with \( k_{x,\epsilon} := \pi k'_x \epsilon^{1+x} \text{Vol} D \):

\[
\int_{\Sigma(\epsilon)} \tilde{\lambda}^{1+x} \geq \int_{B(\epsilon)} \tilde{\lambda}^{1+x} \geq \text{Vol} B(\epsilon) k'_x (\epsilon L)^{1+x} \, .
\]

### 3.3.3 End of proof

It is now just a matter of putting together the previous results. Recalling the final remarks of Subsection 3.2, we know from Lemma 45 and Lemma 46 that

\[
L \| \hat{F} \|_2 \geq k' \left( \| \tilde{\lambda} \|_\frac{1}{4} - 1 \right)
\]

over each \( D_z \) sufficiently far down the tube, for a uniform constant \( k' > 0 \). Choosing \( 0 < \epsilon < 1 \) and \( x > 0 \), integrating over \( A_\epsilon := I_\epsilon \times S^1 \) and applying Lemma 51 we have

\[
\int_{A_\epsilon} \| \hat{F} \|_2 \, ds \wedge d\alpha \geq k'' \frac{1}{L^{1+x}} \left( \int_{A_\epsilon} \| \tilde{\lambda}^{1+x} \|_1 \, ds \wedge d\alpha \right) - k' \delta^+ \frac{1}{L}
\]

where \( k'' := k'.k_{\frac{1}{2}} \frac{1}{\pi} \) is still a uniform constant. Moreover, by Lemma 54, the integral term is bounded below by \( k'', k_{x,\epsilon}.\delta^+ \), so Hölder’s inequality gives

\[
(\text{Vol} \ A_\epsilon)^\frac{1}{2} \left( \int_{A_\epsilon} \| \hat{F} \|_2^2 \, ds \wedge d\alpha \right)^\frac{1}{2} \geq \delta_e^+ \left( k'', k_{x,\epsilon}. - k' \right)^2 \, .
\]

Since the interval \( I_\epsilon \) has length precisely \( \delta^+ \), we finally have

\[
\int_{A_\epsilon} \| \hat{F} \|_2^2 \, ds \wedge d\alpha \geq \delta_e^+ \left( k'', k_{x,\epsilon}. - k' \right)^2
\]

which yields the Claim, choosing e.g. \( \epsilon = \frac{1}{2}, x = 1 \) and [cf. Remark 53]

\[
c = \left( k'' . k_{\frac{1}{2},1} \frac{1}{\sqrt{2\pi}} \right)^2, \quad c' = \frac{k''}{k_{x,\epsilon}} \frac{1}{2}, \quad \text{and} \quad c'' = 2\pi \sqrt{\frac{1}{\beta}}.
\]

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4 Conclusion

4.1 Solution of the Hermitian Yang-Mills problem

Let \( \{H_t\} \) be the family of smooth Hermitian metrics on \( E \to W \) given for arbitrary finite time by Proposition 31. In order to obtain a HYM metric as \( H = \lim_{t \to \infty} H_t \) it would suffice to show that \( \{H_t\} \) is \( C^0 \)-bounded, for then it is actually \( C^\infty \)-bounded on any compact subset of \( W \) and the limit \( H \) is smooth [Lemmas 24, 26 and 29]. Concretely, this would mean improving the constant \( C_T \) in (24) to a time-uniform bound \( C_\infty \) or, what is the same, controlling the sequence \( \lambda_t \) of highest eigenvalues of \( \xi_t = \log H_0^{-1}H_t \) [cf. (21)]:

\[
\| \lambda_t \|_{C_0(W)} \leq C_\infty, \quad \forall t > 0.
\] (47)

I will show that this task reduces essentially to Claim 44, as the problem (47) amounts in fact to controlling the size of the set \( A_t \) where the ‘energy density’ \( \hat{F}_t |z \) is bigger than a definite constant.

I begin by stating the announced upper bound:

**Proposition 55.** A solution \( \{H_t\} \) to the evolution equation given by Theorem 36 satisfies the negative energy condition

\[
E(t) := \int_W \left( |F_{H_t}|^2 - |F_{H_0}|^2 \right) d\text{Vol}_\omega \leq 0, \quad \forall t \in [0, \infty[.
\] (48)

**Proof.** The curvature of a Chern connection splits orthogonally as \( F = \hat{F} \omega \oplus F^\perp \) in \( \Omega^{1,1}(\text{End} \ E) \), so \( |F|^2 = |F^\perp|^2 + |\hat{F}|^2 \) (setting \( |\omega| = 1 \)). On the other hand, the Hodge-Riemann equation (53) [see Appendix A] reads

\[
\text{tr} F^2 \wedge \omega = \left( |F^\perp|^2 - |\hat{F}|^2 \right) \omega^3.
\]

Comparing we find \( |F|^2 \omega^3 = \text{tr} F^2 \wedge \omega + 2|\hat{F}|^2 \omega^3 \) [cf. Subsection 1.2], so

\[
\frac{d}{dt} E(t) \leq \int_W \left( \text{tr} F^2_{H_t} - \text{tr} F^2_{H_0} \right) \wedge \omega + 2 \int_W \left( \hat{\partial} \hat{\hat{\partial}} \hat{\hat{\partial}} \right) \omega^3
\]

\[
\leq \lim_{S \to \infty} \int_{\partial W_S} 2 \left[ \text{tr} \left( \hat{F}_{H_t} \hat{F}_{H_t} \right) \wedge \omega + \frac{\partial \hat{\hat{\partial}}}{\partial v} \right] d\text{Vol}_\omega |\partial W_S|
\]

\[
= 0
\]

using Corollary 40 along with its Proof and \((\frac{d}{dt} + \Delta) \hat{\partial} \hat{\hat{\partial}} \hat{\hat{\partial}} \hat{\hat{\partial}} \leq 0 \) as in (20), then complex integration by parts [Lemma 59 in Appendix A] and the Gauss-Ostrogradsky theorem, and finally the exponential decay \( \hat{F}_{H_t} \overset{C^\infty}{\to} 0 \), a direct consequence from Proposition 32 and Corollary 35. This shows that \( E(t) \) is non-increasing, while obviously \( E(0) = 0 \).

From now on I will write, in cylindrical coordinates, \( D_s \) for \( D_z \) when \( |z| = e^{-s} \). Reasoning as above we find, for a Chern connection on \( E|_{D_s} \),

\[
|F|^2 \omega^2 = \text{tr} F^2 + 2|\hat{F}|^2 \omega^2.
\] (49)
Denoting, for convenience, the component of $d\text{Vol}_\omega$ containing the ‘tubular’ factor $ds \wedge d\alpha$ by
\[ dV_{\text{tub}} := \frac{1}{2} ds \wedge d\alpha \wedge (\kappa_I + d\psi)^2, \]
the remainder certainly satisfies $d\tilde{\psi} := d\text{Vol}_\omega - dV_{\text{tub}} = O(e^{-s})$. Moreover, around each $D_s$ we have $d\text{Vol}_\omega|_{D_s} = \frac{1}{2} (\omega|_{D_s})^2 = \frac{1}{2} (\kappa_I + d\psi)^2|_{D_s}$, so that
\[ dV_{\text{tub}} = ds \wedge d\alpha \wedge d\text{Vol}_\omega|_{D_s}. \]

Lemma 56. Let $\Sigma = \tau^{-1}(A) \subset W_\infty$ be any cylindrical domain along the tubular end, parametrised by $A \subset \mathbb{CP}^1$; then
\[ \int_\Sigma \left( |F_t|^2 - |F_0|^2 + R_0 \right) dV_{\text{tub}} \geq 2 \int_A \| F_{t|s} \|^2_{L^2(D_s)} ds \wedge d\alpha \]
where $R_0$ decays exponentially along the tube.

Proof. Isolating the component of curvature along each transversal slice $D_s$ in the asymptotia (29) of $F_0$, we have $|F_0|^2 = |F_0|_s|^2 + R_0$ over $W_\infty$, where the remainder indeed satisfies $R_0 = O(e^{-s})$. The Hodge-Riemann property (49) and the decomposition (50) then give, by Fubini’s theorem,
\[ \int_\Sigma \left( |F_0|^2 - R_0 \right) dV_{\text{tub}} = \int_A \left\{ \int_{D_s} |F_0|_s|^2 d\text{Vol}_\omega|_{D_s} \right\} ds \wedge d\alpha \]
\[ = \int_A \left\langle c_2 \langle \mathcal{E}|_{D_s} \rangle, [D_s] \right\rangle ds \wedge d\alpha. \]

On the other hand, again by (49),
\[ \int_\Sigma |F_t|^2 dV_{\text{tub}} \geq \int_\Sigma |F_{t|s}|^2 dV_{\text{tub}} \]
\[ = \int_A \left\{ \int_{D_s} |F_{t|s}|^2 d\text{Vol}_\omega|_{D_s} \right\} ds \wedge d\alpha \]
\[ \geq \int_A \left\langle c_2 \langle \mathcal{E}|_{D_s} \rangle, [D_s] \right\rangle + 2 \int_{D_s} |\tilde{F}_{t|s}|^2 d\text{Vol}_\omega|_{D_s} \right\} ds \wedge d\alpha, \]
using the normalisation $c_1(\mathcal{E}|_{D_s}) = 0$ [cf. discussion following (18)] so that only $c_2 (\mathcal{E}|_{D_s})$ contributes to the integral of $\text{tr} F_{t|s}^2$. Comparing and cancelling the topological terms yields the result. \[\square\]

In the terms of Claim 44, denote $\Sigma_t := A_t \times D_s$ the finite cylinder $\tau^{-1}(A_t)$ along the tubular end $W_\infty$. Then the Lemma gives a lower estimate on the curvature over $\Sigma_t$:
\[ \int_{\Sigma_t} \left( |F_t|^2 - |F_0|^2 + R_0 \right) dV_{\text{tub}} \geq 2 \int_{A_t} \| F_{t|s} \|^2_{L^2(D_s)} ds \wedge d\alpha. \]

(51)

This discussion culminates at the following result:
Proposition 57. Under the hypotheses of Claim 44, there exists a constant \( C_\infty \), independent of \( t \) and \( z \), such that

\[
L_t \leq C_\infty, \quad \forall t \in [0, \infty[.
\]

Proof. Either \( L_t \) is uniformly bounded in \( t \) and there is nothing to prove, or \( \frac{\mu_\infty(A_t)}{\sqrt{T_t}} \xrightarrow{t \to \infty} c'' \) and so (a subsequence of) the family of sets \( \{A_t\}_{0 < t < \infty} \) gets arbitrarily \( \mu_\infty \)-large as \( t \to \infty \). In the latter case, the factor of \( c' \) in the Claim becomes negligible, so

\[
c \cdot \mu_\infty(A_t) \leq \left( \sup_{\Sigma_t} \left( |F_t|^2 - |F_0|^2 + R_0 \right) \right) dV_{tub}.
\]

Now on one hand, Lemma 56 guarantees that the energy contribution from the complement \( W \setminus \Sigma_t \) in the model metric \( d\Vol_\omega - \tilde{d}\psi \) is nonnegative, so the right-hand side extends to the integral over the whole of \( W \). On the other hand, the ratio of volume forms over \( W_\infty \)

\[
dV_{tub} = f \cdot d\Vol_\omega
\]
certainly extends to a positive bounded global function \( f : W \to \mathbb{R}^+ \), so

\[
c \cdot \mu_\infty(A_t) \leq \left( \sup_{W} f \right) \left( E(t) + \|R_0\|_{L^1(W_\omega)} \right)
\]

and we know from the negative energy condition (48) that this is bounded above, uniformly in \( t \). Hence the cylinder \( \Sigma_t \) cannot stretch indefinitely and, by contradiction, there must exist a uniform upper bound

\[
C_\infty \simeq \left( \frac{\left( \sup_{W} f \right) \|R_0\|_{L^1}}{c \cdot c''} \right)^2
\]
yielding the statement. \( \square \)

Finally, replacing the uniform bound for \( C_T \) in (24), this control cascades into the exponential \( C^0 \)-decay in (30) and hence the \( C^\infty \)-decay in Corollary 35. By Proposition 41, the limit metric \( H = \lim_{t \to \infty} H_t \) satisfies \( \hat{F}_H = 0 \). We have thus proved the following instance of the HYM problem:

Theorem 58. Let \( E \to W \) be stable at infinity [cf. Definition 12], equipped with a reference metric \( H_0 \) [cf. Definition 13], over an asymptotically cylindrical \( SU(3) \)-manifold \( W \) as given by the Calabi-Yau-Tian-Kovalev Theorem 11, and let \( \{H_t = H_0 e^{\xi_t}\} \) be the 1-parameter family of Hermitian metrics on \( E \) given by Theorem 36; the limit \( H = \lim_{t \to \infty} H_t \) exists and is a smooth Hermitian Yang-Mills metric on \( E \), exponentially asymptotic in all derivatives [cf. Notation 34] to \( H_0 \) along the tubular end of \( W \):

\[
\hat{F}_H = 0, \quad H \xrightarrow{C^\infty_{S \to \infty}} H_0.
\]

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4.2 Examples of asymptotically stable bundles

It is fair to ask whether there are any holomorphic bundles at all satisfying the asymptotic stability conditions of Definition 12, thus providing concrete instances for Theorem 58.

We know, on one hand, that Kovalev’s base manifolds [cf. Definition 9] are Kähler 3-folds coming from blow-ups $\overline{W} = \text{Bl}_C X$, where $X$ is Fano and the curve $C = D \cdot D$ represents the self-intersection of a $K3$ divisor $D \in |-K_X|$ [Kov2, 6.43]. In addition, several of the examples provided (e.g. $X = \mathbb{C}P^3$, complete intersections etc.) are nonsingular, projective and satisfy the cyclic conditions: $\text{Pic}(X) = \mathbb{Z}$ and $\text{Pic}(D) = \mathbb{Z}$. On the other hand, certain linear monads over projective varieties of the above kind yield stable bundles as their middle cohomology [Jar]. Those are called instanton monads and have the form

$$0 \rightarrow \mathcal{O}_X(-1)^{\oplus c} \xrightarrow{\alpha} \mathcal{O}_X^{\oplus 2+2c} \xrightarrow{\beta} \mathcal{O}_X(1)^{\oplus c} \rightarrow 0. \quad (52)$$

Then, denoting $K := \ker \beta$, the middle cohomology $\mathcal{E} := K/\text{img} \alpha$ is always a stable bundle with

$$\text{rank}(\mathcal{E}) = 2, \quad c_1(\mathcal{E}) = 0, \quad c_2(\mathcal{E}) = c \cdot h^2$$

where $h := c_1(\mathcal{O}_X(1))$ is the hyperplane class and $c \geq 1$ is an integer.

In those terms, twist the monad by $\mathcal{O}_X(-d)$, $d = \deg D$, so the relevant data fit in the following canonical diagram:

$$\begin{array}{cccccc}
0 & \rightarrow & \mathcal{O}_X(-d)^{\oplus c} & \xrightarrow{\alpha} & \mathcal{O}_X^{\oplus 2+2c} & \xrightarrow{\beta} & \mathcal{O}_X(1)^{\oplus c} & \rightarrow & 0 \\
\downarrow & & \downarrow & & \downarrow & & \downarrow & & \\
0 & \rightarrow & K(-d) & \rightarrow & \mathcal{O}_X(-d)^{\oplus 2+2c} & \rightarrow & \mathcal{O}_X(-(d-1))^\oplus c & \rightarrow & 0 \\
\downarrow & & \downarrow & & \downarrow & & \downarrow & & \\
0 & \rightarrow & \mathcal{E}(d) & \rightarrow & \mathcal{E} & \rightarrow & \mathcal{E}|_D & \rightarrow & 0 \\
\end{array}$$

Computing cohomologies, one checks by Hoppe’s criterion [O-S-S, pp.165-166] that indeed $\mathcal{E}|_D$ is stable, i.e., the bundle $\mathcal{E}$ is asymptotically stable.

NB.: Fixing $\overline{W} = \text{Bl}_C (\mathbb{C}P^3)$ and $c = 1, d = 4$ as monad parameters, this specialises to the well-known null-correlation bundle [O-S-S][Bar].

A detailed study with many more examples of asymptotically stable bundles over base manifolds admissible by Kovalev’s construction will be published separately in [J-S].
4.3 Future developments

Kovalev’s construction culminates at producing new examples of compact 7-manifolds with holonomy strictly equal to $G_2$. Loosely speaking, the asymptotically cylindrical $G_2$–structures on certain ‘matching’ pairs $W' \times S^1$ and $W'' \times S^1$ are superposed along a truncated gluing region down the tubular ends, using cut-off functions to yield a global $G_2$–structure $\varphi$ on the compact manifold

$$M_S := W' \#_S W''$$

defined by a certain ‘twisted’ gluing procedure. This structure can be chosen to be torsion-free, by a ‘stretch the neck’ argument on the length parameter $S$. Step (2) in our broad programme, as proposed in the Introduction, is the corresponding problem of gluing the $G_2$–instantons obtained in the present article.

First of all, one needs to extend the twisted sum operation to bundles $E^{(i)} \to W^{(i)}$ in some natural way, respecting the technical ‘matching’ conditions. More seriously, however, one should expect transversality to play an important role. As mentioned in Remark 14, the easiest way to proceed is probably to restrict attention at first to acyclic instantons [Don, p.25], i.e., whose gauge class ‘at infinity’ is isolated in its moduli space $M_{E|D}$. This would require, of course, the existence of asymptotically stable bundles which are also asymptotically rigid, in the obvious sense. Fortunately, Kovalev’s construction admits (at least) a certain class of prime Fano 3-folds $X_{22} \hookrightarrow \mathbb{P}^{13}$, thoroughly studied by Mukai [Muk1, §3][Muk2, §3], which admit bundles exhibiting precisely those properties, thus such investigation does not seem void from the outset.

Furthermore, if an asymptotically rigid gluing result does not present any further meanders, one might then consider the full question of transversality towards a gluing theory for families of instantons. A detailed study of this matter will appear in the sequel, provisionally cited as [SaE2].
A Facts from geometric analysis

I collect in this Appendix three analytical results from both complex and Riemannian geometry which, although well-known to specialists, should be stated in precise terms and in compatible notation due to their importance in the text.

A.1 Integration by parts on complex manifolds with boundary

Lemma 59 (Integration by parts). Let $X^n \subseteq W$ be a compact complex (sub)manifold (possibly $n = 3$), $\Phi$ a $(1,1)$–form, $\Omega$ a closed $(n-2,n-2)$–form and $f$ a meromorphic function on $X$; then

$$\int_X \Phi \wedge dd^c f \wedge \Omega = \int_X f \cdot (-i\bar{\partial}\partial\Phi) \wedge \Omega + i \int_{\partial X} (\Phi \wedge \bar{\partial}f + f \cdot \partial\Phi) \wedge \Omega.$$ 

Proof. By the Leibniz rule and Stokes’ theorem, using $d = \partial + \bar{\partial}$ and taking account of bi-degree, we have

$$\int_X \Phi \wedge dd^c f \wedge \Omega = \int_X \Phi \wedge i\bar{\partial}\partial f \wedge \Omega = i \int_{\partial X} \Phi \wedge \bar{\partial}f \wedge \Omega - \int_X i\partial\Phi \wedge \bar{\partial}f \wedge \Omega$$

and again

$$(*) = i \int_{\partial X} f \cdot \partial\Phi \wedge \Omega + \int_X f \cdot (-i\bar{\partial}\partial\Phi) \wedge \Omega.$$ 

A.2 The Hodge-Riemann bilinear relation

The curvature on a Kähler $n$–fold splits as $F = \tilde{F} \omega + F^\perp \in \Omega^{1,1}(\text{End } \mathcal{E})$, so:

$$F^2 \wedge \omega^{n-2} = \tilde{F}^2 \omega^n + (F^\perp)^2 \wedge \omega^{n-2}.$$

The Hodge-Riemann pairing $(\alpha, \beta) \mapsto \alpha \wedge \beta \wedge \omega^{n-2}$ on $\Omega^{1,1}(W)$ is positive-definite along $\omega$ and negative-definite on the primitive forms in $\langle \omega \rangle^\perp$ [Huy, pp.39-40] (with respect to the reference Hermitian bundle metric); since the curvature $F$ is real as a bundle-valued 2–form, we have:

$$\text{tr } F^2 \wedge \omega^{n-2} = \left( |F^\perp|^2 - |\tilde{F}|^2 \right) \omega^n$$

using that $\text{tr } \xi = -|\xi|^2$ on the Lie algebra part.
A.3 Gaussian upper bounds for the heat kernel

The following instance of [Gri, Theorem 1.1] stems from a long series, going back to J. Nash (1958) and D. Aronson (1971) [op.cit.], of generalised ‘Gaussian’ upper bounds (i.e., given by a Gaussian exponential on the geodesic distance \( r \)) for the heat kernel \( K_t \) of a Riemannian manifold:

Theorem 60. Let \( M \) be an arbitrary connected Riemannian \( n \)–manifold, \( x, y \in M \) and \( 0 \leq T \leq \infty \); if there exist suitable [see below] real functions \( f \) and \( g \) satisfying the ‘diagonal’ conditions

\[
K_t(x,x) \leq \frac{1}{f(t)} \quad \text{and} \quad K_t(y,y) \leq \frac{1}{g(t)}, \quad \forall t \in (0,T),
\]

then, for any \( C > 4 \), there exists \( \delta = \delta(C) > 0 \) such that

\[
K_t(x,y) \leq \frac{\text{(cst.)}}{\sqrt{f(\delta t)g(\delta t)}} \exp \left\{ -\frac{r(x,y)^2}{Ct} \right\}, \quad \forall t \in (0,T)
\]

where (cst.) depends on the Riemannian metric only.

For the present purposes one may assume simply \( f(t) = g(t) = t^{\frac{n}{2}} \), but in fact \( f \) and \( g \) can be much more general [Op. cit. p.37].
B Structures of proof for Theorem 36 and Theorem 58

B.1 Proof of Theorem 36

NB.: Theorem-like results proved in the text are represented by ellipses, individual equations by circles, cited facts by rectangles and the main result by a diamond.
B.2 Proof of Theorem 58

**Theorem 58**

\[ \hat{F}_H = 0, \]

**Proposition 44**

\[ \frac{d}{dt} N_W = -\frac{3}{2} \| \hat{F}_H \|_{L_2}^2. \]

**Lemma 37**

Variation of curvature

\[ F_{H+h} = F_H + \delta \partial H \tau + O(\tau^2) \]

**Corollary 40**

\[ -i \partial \Phi(t) = \frac{1}{2} \text{tr} \hat{F}_H^2. \]

**Lemma 59**

Integration by parts

\[ \int_X \Phi \wedge df \wedge \Omega = \int_X f (-i \partial \Phi) \wedge \Omega + \ldots \]

**Proposition 52**

\[ \ell_n(s) \geq P_n(s) \]

**Lemma 50**

Weak Laplacian bound

\[ \Delta \lambda \leq \beta \]

**Lemma 49**

Weak maximum principle

\[ f \|_\partial \leq \max f \]

**Corollary 40**

\[ \lambda_{i+z} \geq k_{i+z}^{-1} \]

**Theorem 36**

Solutions for all time

\[ H(t) \]

\[ C^\infty \]

\[ \rightarrow \infty \]

\[ H_0 \]

**Lemma 52**

\[ \lambda_{i+z} \geq k_{i+z}^{-1} \]

**Remark 53**

\[ \beta'' = \sqrt{2(1-\epsilon)} \]

**Proposition 44**

\[ \int_{A_t} \frac{n_k}{Dz} \geq c z H(t) \]

**Lemma 54**

\[ \frac{n_k}{D_z} \geq c_2 \mu_{\infty}(A_t) \]

**Claim 44**

\[ \int_{A_t} \| \hat{F}_t \|_{L_2}^2 \geq \frac{1}{2} \mu_{\infty}(A_t) \]

**Lemma 47**

\[ \int_{A_t} \frac{n_k}{D_z} \geq c z H(t) \]

**Lemma 55**

\[ \frac{n_k}{D_z} \leq c \| F_t \|_{L_2} \]

**Corollary 35**

Exponential decay

\[ H(t) \]

\[ C^\infty \]

\[ \rightarrow \infty \]

\[ H_0 \]

**Lemma 56**

\[ \int_{A_t} \| \hat{F}_t \|_{L_2}^2 \geq 2 \int_{A_t} \| \hat{F}_t \|_{L_2}^2 \]

**Proposition 57**

\[ L_1 \leq C_{\infty}, \forall t \]

**Lemma 53**

\[ \frac{n_k}{D_z} \rightarrow c'' \]

**Theorem 58**

\[ F_H = 0, \]

**Proposition 41**

\[ \frac{d}{dt} N_W = -\frac{3}{2} \| \hat{F}_H \|_{L_2}^2. \]

**Lemma 51**

\[ \int_{A_t} \| \hat{F}_t \|_{L_2}^2 \geq \frac{1}{2} \mu_{\infty}(A_t) \]

**Lemma 54**

\[ \frac{n_k}{D_z} \geq c_2 \mu_{\infty}(A_t) \]

**Lemma 58**

\[ \frac{n_k}{D_z} \leq c \| F_t \|_{L_2} \]

**Corollary 35**

Exponential decay

\[ H(t) \]

\[ C^\infty \]

\[ \rightarrow \infty \]

\[ H_0 \]

**Remark 53**

\[ \beta'' = \sqrt{2(1-\epsilon)} \]

**Lemma 47**

\[ \frac{n_k}{D_z} \leq c \| F_t \|_{L_2} \]

**Corollary 40**

\[ \lambda_{i+z} \geq k_{i+z}^{-1} \]

**Lemma 56**

\[ \int_{A_t} \| \hat{F}_t \|_{L_2}^2 \geq 2 \int_{A_t} \| \hat{F}_t \|_{L_2}^2 \]

**Proposition 57**

\[ L_1 \leq C_{\infty}, \forall t \]

**Lemma 58**

\[ \frac{n_k}{D_z} \rightarrow c'' \]

**Theorem 36**

Solutions for all time

\[ H(t) \]

\[ C^\infty \]

\[ \rightarrow \infty \]

\[ H_0 \]

\[ \forall t \]

\[ (24) \]

\[ (30) \]

\[ (53) \]

\[ (29) \]

\[ (49) \]

\[ (50) \]
References


