# ORBITAL STABILITY OF PERIODIC WAVES FOR THE KLEIN-GORDON TYPE EQUATIONS 

F. NATALI

DMA-UEM

This is a joint work with E. Cardoso Jr. - UEM.

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where $u: \mathbb{R} \times \mathbb{R} \rightarrow \mathbb{C}$ is a complex valued function. We assume that $u$ is an $L$-periodic function, that is, $u(x+L, t)=u(x, t)$ for all $x, t \in \mathbb{R}$.

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J=\left(\begin{array}{cccc}
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E(U)=\frac{1}{2} \int_{0}^{L}\left[\left|u_{x}\right|^{2}+\left|u_{t}\right|^{2}+|u|^{2}\left(2-\log \left(|u|^{2}\right)\right)\right] d x \tag{4}
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If one considers periodic waves solutions of the form $u(x, t)=e^{i c t} \phi_{c}(x), t>0, c \in(-1,1)$ and, $\phi_{c}$ is a smooth $L$-periodic function, the Euler-Lagrange equation becomes

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\left(E^{\prime}-c F^{\prime}\right)(\Phi)=\left(\begin{array}{c}
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F(U)=\operatorname{Im} \int_{0}^{L} \bar{u} u_{t} d x=\int_{0}^{L}\left(\operatorname{Re} u \operatorname{Im} u_{t}-\operatorname{Im} u \operatorname{Re} u_{t}\right) d x
$$

An important qualitative aspect regarding the Hamiltonian systems (1) is the orbital stability.

## DEFINITION OF STABILITY.

We assume that $E(U)$ and $F(U)$ are invariant under the action of groups $\mathbf{T}_{1}(s)$ and $\mathbf{T}_{2}(s), s \in \mathbb{R}$.

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\sup _{-\infty<t<\infty} \inf \left\{\left\|U(t)-\mathbf{T}_{1}\left(s_{1}\right) \mathbf{T}_{2}\left(s_{2}\right) \Phi\right\|_{x},-\infty<s_{1}, s_{2}<\infty\right\}<\varepsilon
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Otherwise, we say that the periodic wave is orbitally unstable in $X$ (or $X$-unstable).

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\begin{equation*}
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\begin{equation*}
\mathcal{L}_{c}=E^{\prime \prime}\left(\Phi_{c}\right)-c F^{\prime \prime}\left(\Phi_{c}\right) \tag{6}
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(3) $d^{\prime \prime}(c)>0$, for all $c \in \mathcal{I}$.

In order to deduce the orbital stability of periodic waves, we need to determine (at least) existence and uniqueness of (weak) solutions.

We suppose that $f: \mathbb{R} \rightarrow \mathbb{R}$ is a real function and the Cauchy problem

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\left\{\begin{array}{l}
u_{t t}-u_{x x}+u-f\left(|u|^{2}\right) u=0  \tag{7}\\
u(x, 0)=u_{0}(x), \quad u_{t}(x, 0)=u_{0}^{\prime}(x)
\end{array}\right.
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has a unique (local) solution

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u \in C\left([0, T] ; H_{p e r}^{1}([0, L])\right) \cap C^{1}\left([0, T] ; L_{p e r}^{2}([0, L])\right)
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In addition, we assume that problem (7) has two (convenient) conserved quantities $E$ and $F$.

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## Theorem 1.

Consider $\left(u_{0}, u_{0}^{\prime}\right) \in H_{p e r}^{1}([0, L]) \times L_{p e r}^{2}([0, L])$. The Cauchy Problem (7) has a unique (local) weak solution $u \in C\left([0, T] ; H_{p e r}^{1}([0, L])\right) \cap C^{1}\left([0, T] ; L_{p e r}^{2}([0, L])\right)$. In addition, we have the following conserved quantities

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E(U)=\frac{1}{2} \int_{0}^{L}\left[\left|u_{x}\right|^{2}+\left|u_{t}\right|^{2}+|u|^{2}\left(2-\log \left(|u|^{2}\right)\right)\right] d x
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4 \pi^{2} \int_{0}^{L}|u|^{2} \log |u| d x & \leq \int_{0}^{L}\left|u_{x}\right|^{2} d x \\
& +2 \pi^{2} \int_{0}^{L}|u|^{2} \log \left(\frac{2 \pi}{L} \int_{0}^{L}|u|^{2} d x\right) d x
\end{aligned} \\
u \in H_{p e r}^{1}([0, L])
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\varphi_{t t}-\varphi_{x x}+\varphi-u \log \left(|u|^{2}\right)+v \log \left(|v|^{2}\right)=0  \tag{8}\\
\varphi(x, 0)=0, \quad \varphi_{t}(x, 0)=0
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\begin{align*}
& \qquad(x, t)=\frac{1}{2} \int_{0}^{t} \int_{x-t+\tau}^{x+t-\tau}\left[u \log \left(|u|^{2}\right)-v \log \left(|v|^{2}\right)\right] d y d \tau,  \tag{9}\\
& \text { for all }(x, t) \in \mathbb{R} \times[0, T] .
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- To prove equality above we must consider $0<T<L / 4$.
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- Consider $T>0, \alpha>0, \beta_{0} \in[0,1 / e]$ and $\beta \in L^{\infty}(0, T)$ with $\beta \geq 0$. If

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\beta(t) \leq \beta_{0}-\alpha \int_{0}^{t} \beta(s) \log \beta(s) d s
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a.e. $t \in[0, T]$. Thus,

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\beta(t) \leq \beta_{0}^{e^{-\alpha t}}
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- The linearized operator $\mathcal{L}_{1}=-\partial_{x}^{2}+\left(1-c_{0}^{2}\right)-F\left(\phi_{c_{0}}\right)$ has zero as a simple eigenvalue whose eigenfunction is $\phi_{c_{0}}^{\prime}$ and $n^{-}\left(\mathcal{L}_{1}\right)=1$.


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-\phi_{c_{0}}^{\prime \prime}+\left(1-c_{0}^{2}\right) \phi_{c_{0}}-f\left(\phi_{c_{0}}^{2}\right) \phi_{c_{0}}=0
$$

- The linearized operator $\mathcal{L}_{1}=-\partial_{x}^{2}+\left(1-c_{0}^{2}\right)-F\left(\phi_{c_{0}}\right)$ has zero as a simple eigenvalue whose eigenfunction is $\phi_{c_{0}}^{\prime}$ and $n^{-}\left(\mathcal{L}_{1}\right)=1$. Here $F$ is real function satisfying $\left(f\left(s^{2}\right) s\right)^{\prime}=F(s)$.

In a general framework, let us consider the nonlinear ODE

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-\phi^{\prime \prime}+g(c, \phi)=0 \tag{10}
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In addition, the same approach determines sufficient conditions to obtain the spectral property associated with the operator $\mathcal{L}_{1}$.

In order to find a local minimum, we define the orbit generated by $\Phi$

$$
\mathcal{O}_{\phi_{c}}:=\left\{e^{i \theta}\left(\phi_{c}(\cdot+y), i c \phi_{c}(\cdot+y)\right) ; \quad(y, \theta) \in[0, L] \times[0,2 \pi)\right\} .
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Taking $v:=u_{t}$, let us consider $(y, \theta) \in[0, L] \times[0,2 \pi)$. Let $t \in[0, T]$ be arbitrary but fixed. We define the continuous function

$$
\begin{align*}
\Omega_{t}(y, \theta) & :=\left\|u_{x}(\cdot+y, t) e^{i \theta}-\phi_{c}^{\prime}\right\|_{L_{p e r}^{2}}^{2} \\
& +\left(1-c^{2}\right)\left\|u(\cdot+y, t) e^{i \theta}-\phi_{c}\right\|_{L_{p e r}^{2}}^{2}  \tag{11}\\
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\end{align*}
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Since $\Omega_{t}, t \in[0, T]$, is continuous and $[0, L] \times[0,2 \pi)$ is bounded, we can write,
$\Omega_{t}(y(t), \theta(t))=\inf _{(y, \theta) \in[0, L] \times[0,2 \pi)} \Omega_{t}(y, \theta):=\left[\rho_{c}\left(\vec{u}(\cdot, t), \mathcal{O}_{\phi_{c}}\right)\right]^{2}$.

Furthermore, the map

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t \mapsto \inf _{(y, \theta) \in[0, L] \times[0,2 \pi)} \Omega_{t}(y, \theta)
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\begin{equation*}
u(x+y, t) e^{i \theta}:=\phi_{c}(x)+w(x, t) \text { where } w:=A+i B \tag{13}
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Denoting

$$
\vec{w}=(w, z)=(\operatorname{Re} w, \operatorname{Im} z, \operatorname{Im} w, \operatorname{Re} z)=(A, D, B, C) .
$$

By using the minimum property (above) one has

$$
\begin{equation*}
\left\langle\binom{ A(\cdot, t)}{D(\cdot, t)},\binom{\log \left(\phi_{c}^{2}\right) \phi_{c}^{\prime}+2 \phi_{c}^{\prime}}{c \phi_{c}^{\prime}}\right\rangle_{2,2}=0 \tag{15}
\end{equation*}
$$

and

$$
\begin{equation*}
\left\langle\binom{ B(\cdot, t)}{C(\cdot, t)},\binom{\phi_{c} \log \left(\phi_{c}^{2}\right)}{-c \phi_{c}}\right\rangle_{2,2}=0 \tag{16}
\end{equation*}
$$

$\forall t \in[0, T]$.

Next, since $G=E-c F$ is a conserved quantity and $G^{\prime}\left(\phi_{c}, i c \phi_{c}\right)=\left(E^{\prime}-c F^{\prime}\right)\left(\phi_{c}, i c \phi_{c}\right)=0$, we deduce from Taylor's Theorem

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$$
\begin{aligned}
\Delta G & :=G\left(u_{0}, u_{1}\right)-G\left(\phi_{c}, i c \phi_{c}\right) \\
& =G\left(w(\cdot, t)+\phi_{c}, z(\cdot, t)+i c \phi_{c}\right)-G\left(\phi_{c}, i c \phi_{c}\right) \\
& \geq \frac{1}{2}\left\langle\mathcal{L}_{R}\binom{A(\cdot, t)}{D(\cdot, t)},\binom{A(\cdot, t)}{D(\cdot, t)}\right\rangle_{2,2} \\
& +\frac{1}{2}\left\langle\mathcal{L}_{l}\binom{B(\cdot, t)}{C(\cdot, t)},\binom{B(\cdot, t)}{C(\cdot, t)}\right\rangle_{2,2} \\
& -\beta_{3}\|\vec{w}(\cdot, t)\|^{3}-\beta_{4}\|\vec{w}(\cdot, t)\|^{4}-\mathcal{O}\left(\|\vec{w}(\cdot, t)\|^{5}\right),
\end{aligned}
$$

Here, operators $\mathcal{L}_{R}$ and $\mathcal{L}_{/}$are defined as

$$
\mathcal{L}_{R}=\left(\begin{array}{cc}
-\partial_{x}^{2}+1-\log \left(\left|\phi_{c}\right|^{2}\right)-2 & -c  \tag{17}\\
-c & 1
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- Since zero is a simple eigenvalue of $\mathcal{L}_{1}$ and $n^{-}\left(\mathcal{L}_{1}\right)=1$, we can use the min-max Theorem to guarantee that zero is a simple eigenvalue of $\mathcal{L}_{R}$ whose eigenfunction is $\left(\phi_{c}^{\prime}, c \phi_{c}^{\prime}\right)$.

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- The fact that $\phi_{c}$ is positive enable us to conclude that zero is the first eigenvalue of $\mathcal{L}_{/}$which is simple.

Thus, classical methods of orbital stability (in the sense of definition above) is established on the set

$$
\mathcal{A}=\left\{(u, v) \in H_{p e r}^{1} \times L_{p e r}^{2} ; F(u, v)=F\left(\phi_{c}, i c \phi_{c}\right)\right\},
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provided that

$$
\left\langle\mathcal{L}_{R, \phi_{c}}^{-1}\binom{c \phi_{c}}{\phi_{c}},\binom{c \phi_{c}}{\phi_{c}}\right\rangle_{2,2}=\underbrace{\left\langle\binom{ M}{N},\binom{c \phi_{c}}{\phi_{c}}\right\rangle_{2,2}}_{:=-d^{\prime \prime}(c)}<0,
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where

$$
\binom{M}{N}=\binom{\frac{d}{d c}\left(\phi_{c}\right)}{\phi_{c}+c \frac{d}{d c}\left(\phi_{c}\right)}
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However, one has

$$
\begin{equation*}
-d^{\prime \prime}(c)=\int_{0}^{L} \phi_{c}^{2} d x+c \underbrace{\frac{d}{d c}\left(\int_{0}^{L} \phi_{c}^{2} d x\right)}_{I_{c}} . \tag{19}
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I_{c}=-2 c \int_{0}^{L} \phi_{c}^{2} d x \tag{21}
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A simple application of the triangle inequality and the fact that $G$ is $C^{1}$ map in a neighborhood of the point $\left(\phi_{c}, i c \phi_{c}\right)$ give us the orbital stability if $(u, v) \notin \mathcal{A}$

THANK YOU VERY MUCH!

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